
Development and Application of Models of Chemical Fate in Canada

Modelling Guidance Document

Report to Environment Canada

CEMN Report No. 200501

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CANADA

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Contribution Agreement 2004-2005

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TABLE OF CONTENTS

List of Tables and Figures

Executive Summary

1 Introduction

- 1.1 Background
- 1.2 Objectives
- 1.3 Outline

2 The Canadian Regulatory Background

- 2.1 CEPA: the Canadian Environmental Protection Act
 - 2.1.1 Definition of substance
 - 2.1.2 Definition of CEPA toxic
 - 2.1.3 Legislation for ecological risk assessment of existing substances
 - 2.1.4 Legislation for ecological risk assessment of new substances
- 2.2 The Precautionary Principle
- 2.3 Framework for the Environmental Risk Assessment (ERA) of substances under CEPA
 - 2.3.1 Pre-screening and prioritization phase
 - 2.3.2 Environmental fate phase
 - 2.3.3 Assessment phase
 - 2.3.4 Risk characterization
- 2.4 The Need for a Multimedia Approach to Environmental Fate in ERA
- 2.5 Practices in Other Jurisdictions

3 Models as a Contribution to Understanding Environmental Fate

- 3.1 What models can do for you
- 3.2 Environmental Processes and Pathways
 - 3.2.1 Transformation
 - 3.2.2 Advection
 - 3.2.3 Intermedia exchange
- 3.3 Fugacity Concept
 - 3.3.1 Origins, meaning, and usefulness
 - 3.3.2 Defining Z values
- 3.4 An explanation of Levels
 - 3.4.1 Level I: simple equilibrium partitioning calculations
 - 3.4.2 Level II: equilibrium partitioning with loss processes
 - 3.4.3 Level III: steady-state with multimedia transport
 - 3.4.4 Level VI: dynamic
 - 3.4.5 Summary of Levels

- 3.5 A Six-Stage Process to Understanding Chemical Fate
 - 3.5.1 Stage 1: Chemical classification and physical data collection
 - Partitioning
 - Aquivalence
 - Ionizing Chemicals
 - Degradation
 - Data sources and estimation methods
 - Toxicity
 - 3.5.2 Stage 2: Acquisition of discharge data
 - 3.5.3 Stage 3: Evaluative assessment of chemical fate
 - 3.5.4 Stage 4: Regional or far-field evaluation
 - 3.5.5 Stage 5: Local or near-field evaluation (including urban assessments)
 - 3.5.6 Stage 6: Risk evaluation
- 3.6 Other models addressing specific issues
 - 3.6.1 Global modelling
 - 3.6.2 Groundwater
 - 3.6.3 Global Warming Potential
 - 3.6.4 Ozone Depletion Potential
 - 3.6.4 Chemical Space Diagrams

4 CEMN Models

- 4.1 Model Development Process and Implementations
- 4.2 Models Available
- 4.3 Details on Selected CEMN Models
 - 4.3.1 Level I Model (version 3.00)
 - 4.3.2 Level II Model (version 2.17)
 - 4.3.3 Level III Model (version 2.80)
 - 4.3.6 LSER-Level III Model
 - 4.3.4 EQC Model (version 2.02)
 - 4.3.5 ChemCAN Model (version 6.00)
 - 4.3.7 CoZMo-POP Model
 - 4.3.8 Globo-POP Model
 - 4.3.9 TaPL3 Model (version 3.00)
 - 4.3.10 QWASI Model (version 2.80)
 - 4.3.11 AQUAWEB (version 1.0)
 - 4.3.12 BAF-QSAR (version 1.1)
 - 4.3.13 STP Model (version 2.10)

5 Aspects of Interpreting Model Results

- 5.1 Understanding the Model Results
- 5.2 Uncertainty and Variability in Environmental Fate Models
- 5.3 Model Sensitivity

- 5.4 Model Validity and Fidelity
- 5.5 Uncertainty in Dynamic Model Outcomes
- 5.6 Temperature Effects
- 5.7 Steady-State v.s. Dynamic Assumptions
- 5.8 Summary

6 Where to Find Help

References

Appendices

A: Commonly used variables and their units

B: Frequently Asked Questions

C: Case Study of Chemical Evaluation

D: Level I calculation of DDT in a water body such as a lake or harbour.

E: Level II calculation for DDT in a lake.

F: Level III calculation of DDT in a lake.

G: Groundwater Model for Assessing the Potential Transport of New Substances to Surface Water Bodies via Recharge (from CCME 1996)

LIST OF TABLES AND FIGURES

Table 1: Summary of Levels of complexity in multimedia models.

Table 2: Chemical Types are based on partitioning behaviour.

Table 3: Typical physical chemical property data required for each chemical Type.

Table 4: Lognormal degradation classification scheme.

Table 5: K_{OC} estimation methods.

Table 6: K_{QA} estimation methods

Table 7: List of CEMN models with key information about each model.

Table 8: Level I input data and results

Table 9: Level II input data and results

Table 10: Level III input data and results

Table 11: Environmental properties required to define a region in ChemCAN.

Table 12: Emission data requirements for and results from ChemCAN.

Table 13: Qualitative interpretations of values of L_A

Table 14: Persistence and LRT potential in air calculated by TaPL3 for a small set of substances.

Table 15: QWASI (version 2.80) input data and results.

Table 16: A summary of AQUAWEB input requirements.

Table 17: STP (version 2.10) input data and results.

Figure 1: Environmental Risk Assessments under CEPA

Figure 2: Framework for Conducting the Environmental Risk Assessment (ERA) of New and Existing Substances

Figure 3: Relationships between Z values and partition coefficients and summary of Z value definitions.

Figure 4: Chemical space diagrams (a) is the $\log K_{AW}$ vs $\log K_{OW}$ plot; (b) is the $\log K_{AW}$ vs $\log K_{OA}$ plot

Figure 5 Arctic Contamination Potential

Figure 6: Modelling process and decision tree

Figure 7: Globo-POP climate zones

Figure 8: Globo-POP compartments

EXECUTIVE SUMMARY

This document is intended to assist the novice model-user in understanding when, why, and how to use models of chemical fate in the environment.

The complementary nature of monitoring and modelling are described and the role of each is outlined. A key contribution of models is their ability to bring together knowledge about chemical properties, environmental properties, and processes. This facilitates understanding and can highlight knowledge gaps.

Models can be used to establish the entire mass balance of a substance as it is transported, transformed, and bioaccumulated in the environment. They thus enable estimations to be made of certain processes such as volatilization that can not be measure directly. Models can be used to estimate concentrations and fluxes as well as likely time trends in concentrations as a result of changes in emission rates or environmental conditions.

The regulatory background to model use in Environment Canada is described with a brief account of similar approaches in other jurisdictions.

A basic description is provided of principles underlying the use of model, including processes treated, the fugacity concept, the benefits of applying models with increasing degrees or levels of complexity and the contributions of steady-state and dynamic models.

A six-stage process for general evaluations of chemicals is suggested and a number of more specific evaluations are described.

These topics result in a process for using models to establish a general understanding of the behaviour of a chemical in the environment. The emphasis is on organic rather than inorganic chemicals.

The models developed by and available from Canadian Environmental Modelling Network are described and detail is given on model selection and applications. No attempt is made to describe models available from other organisations. The developers of these other models should be consulted directly for information on the nature and applicability of their models.

A number of aspects relating to the interpretation of model results are described including uncertainty, variability, sensitivity, validation, temperature effects, and steady-state and dynamic treatments.

Frequently asked questions are listed with answers and a full list of references is provided.

In total, this document is designed to provide the user, who is not necessarily experienced in model use, with guidance on the use of models for evaluation purposes.

1 INTRODUCTION

1.1 Background

This report is prepared as a part of the Contribution Agreement Development and Application of Models of Chemical Fate in Canada”. It provides guidance on the use of the models in general and it specifically describes the models developed and distributed by members of the Canadian Environmental Modelling Network (CEMN).

1.2 Objectives

The objectives of this report are

- To give an overview of the science of fugacity-based models
- To present a process for understanding the behaviour of a chemical in the environment
- To provide familiarity with CEMN models
- To give guidance on the use of CEMN models especially for the novice user

1.3 Outline

A brief outline of the regulatory background in Canada and around the world is provided.

This report provides basic instructions on the use and interpretation of the environmental fate models produced by the members of the Canadian Environmental Modelling Network.

The role of models in understanding chemical fate in the environment is described including a discussion of the complementary nature of modelling and monitoring.

The fugacity concept is explained and the mathematics of Level I, II, III and IV fugacity models is outlined.

The six-stage process to understand chemical behaviour is described.

A listing of some of the models available from the CEMN is given with details on selected models.

Guidance is given on selecting a model, identifying input data sources, evaluating input data quality, and understanding model outcomes.

2 THE CANADIAN REGULATORY BACKGROUND

2.1 CEPA: The Canadian Environmental Protection Act

The *Canadian Environmental Protection Act, 1999* (CEPA, 1999) is a statute that addresses the responsibility of the Canadian Government to identify potential adverse effects on human health and the environment from chemicals and other substances. CEPA, 1999 provides the federal government with the authority to determine whether chemicals and other substances are “toxic” or capable of becoming toxic in the context of the statute. The Act also provides for a comprehensive “cradle-to-grave” management approach for chemicals and other substances.

2.1.1 Definition of substance

The Canadian Environmental Protection Act, 1999, requires the Ministers of the Environment and of Health to evaluate substances as defined in the Act and is considered key to the protection of the environment. CEPA, 1999 defines substances very broadly and under CEPA as:

“any distinguishable kind of organic or inorganic matter, whether animate or inanimate, and includes

- (a) any matter that is capable of being dispersed in the environment or of being transformed in the environment into matter that is capable of being so dispersed or that is capable of causing such transformations in the environment,
- (b) any element or free radical,
- (c) any combination of elements of a particular molecular identity that occurs in nature or as a result of a chemical reaction, and
- (d) complex combinations of different molecules that originate in nature or are the result of chemical reactions but that could not practicably be formed by simply combining individual constituents,”

and, except for the purposes of sections 66 (the Domestic Substances List), 80 to 89 (New Substances) and 104 to 115 (animate products of biotechnology), includes

- “(e) any mixture that is a combination of substances and does not itself produce a substance that is different from the substances that were combined,
- (f) any manufactured item that is formed into a specific physical shape or design during manufacture and has, for its final use, a function or functions dependent in whole or in part on its shape or design, and

(g) any animate matter that is, or any complex mixtures of different molecules that are, contained in effluents, emissions or wastes that result from any work, undertaking or activity.”

2.1.2 Definition of CEPA toxic

CEPA 1999 requires the Minister of the Environment and the Minister of Health to assess substances in order to determine whether the substance is toxic or capable of becoming toxic. Under the Act (Section 64), a substance is “toxic” if it is entering or may enter the environment in a quantity or concentration or under conditions that:

- (a) have or may have an immediate or long-term harmful effect on the environment or its biological diversity;
- (b) constitute or may constitute a danger to the environment on which life depends; or
- (c) constitute or may constitute a danger in Canada to human life or health.

Substances are assessed by the Ministers of Environment and Health, through the Existing and New Substances Programs jointly administered by Environment Canada and Health Canada.

2.1.3 Legislation for ecological risk assessment of existing substances

Substances assessed under the Existing Substances Program are broadly defined and primarily includes, but is not restricted to those substances found on Canada's original Domestic Substances List (DSL). The original DSL is defined under section 66 and specifies “all substances that the Minister is satisfied were, between January 1, 1984 and December 31, 1986,

- (a) manufactured in or imported into Canada by any person in a quantity of not less than 100 kg in any one calendar year; or
- (b) in Canadian commerce or used for commercial manufacturing purposes in Canada.”

Substances on the original DSL may be given priority for risk assessment through the DSL Categorization Program (ESB 2003). As mandated under Section 73 (a) and (b) of CEPA, 1999, substances on the DSL are categorized as to whether they present to individuals the greatest potential for exposure; or meet the persistence or bioaccumulation criteria, as satisfying the regulations (Canada Gazette, 2000) and are also inherently toxic. Those substances which meet the above criteria will undergo a screening assessment under CEPA, Section 74.

Although the categorization of the substances on the DSL provides a major mechanism to identify substances of potential concern for the environment or human health and subsequent assessment, other substances may be identified for assessment through other mechanisms. Six other mechanisms for substance identification are available and include those found through industry submitted data

(including CEPA, S.70); provincial or international decisions (CEPA, S. 75); public nominations; hazardous classes of substances identified through new substances notifications; emerging science and monitoring; and international assessment or data collection. The Minister is also responsible for compiling a Priority Substances List (PSL) which the Minister considers a priority for risk assessment (CEPA S. 76(1)). Some substances may be exempt from assessment if the substance has already been adequately assessed under another Act of Parliament.

For each substance identified through the mechanisms above, the environmental risk assessment approach will generally follow closely with that described in this document, although some deviations from the approach may occur depending on the purpose and type of assessment and/or the type of substance being assessed. There are also general scientific reviews or investigations (CEPA, Section 68) or support to Ministers and Governor in Council (S. 90), or other scientific reviews for which the Existing Substances Program is responsible.

2.1.4 Legislation for ecological risk assessment of new substances

The New Substances Program is responsible for assessing substances that are defined, by exclusion, through the original DSL (Section 66). Substances that are “new” to Canadian commerce fall under the purview of Parts 5 and 6 of the CEPA, 1999. New substances that are chemicals, polymers and inanimate products of biotechnology are covered in Part 5 of the CEPA, 1999, whereas Part 6 of the CEPA, 1999 deals with new substances that are animate products of biotechnology. This document does not describe the approach for assessing the risk from products of biotechnology.

In CEPA 1999 the approach to the control of new substances is both proactive and preventative, employing a pre-import or pre-manufacture notification and assessment process. When this process identifies a new substance that may pose a risk to health or the environment, the Act empowers Environment Canada to intervene prior to or during the earliest stages of its introduction to Canada. This ability to act early makes the new substances program a unique and essential component of the federal management of toxic substances.

The assessment process begins when Environment Canada receives a New Substances Notification prepared by the company or individual that proposes to import or manufacture a new substance or use it for a Significant New Activity (SNAc). New Substances Notifications must contain all required administrative and technical data and must be provided to Environment Canada by a prescribed date before manufacture or import (Government of Canada, 1994). Notification information is jointly assessed by the Departments of Environment and Health to determine whether there is a potential for adverse effects of the substance on the environment and human health. This assessment, which is considered a risk assessment, must be completed within a specified time, and will reach a conclusion as to whether or not the substance is “toxic” under CEPA. A substance may be notified several times under the New Substances Program depending on the volume of substance manufactured or imported into Canada.

A substance assessed under the New Substances Program may receive several levels of assessment depending on the volume of the substance manufactured or imported into Canada, and whether the

substance is on the non-Domestic Substances List (NDSL). Substances notified at higher volumes (e.g., >5,000 kg/y) contain more information in the notification package and typically require a more detailed assessment, while substances notified at lower volumes (e.g., <5,000 kg/y) often undergo only a preliminary assessment.

The final levels of assessment must meet the higher information requirements of the schedules found in the New Substances Notification Regulation (NSNR) (Government of Canada, 1994). Risk assessment conclusions of lower scheduled assessments may be different than those of the higher Schedules. This document describes the considerations and approaches used to prepare the environmental portion of the risk assessments.

The requirements for notification and assessment in CEPA 1999 do not apply if the new substance is manufactured or imported for a use that is regulated under another Act of Parliament that requires notice and assessment (e.g., Pest Control Products Act). The Governor in Council is responsible for determining that another Act meets these requirements and for placing it on Schedule 2 of CEPA 1999.

Figure 1 provides a schematic representation of how risk assessments for existing and new substances relate to the mandate of substance assessment under CEPA.

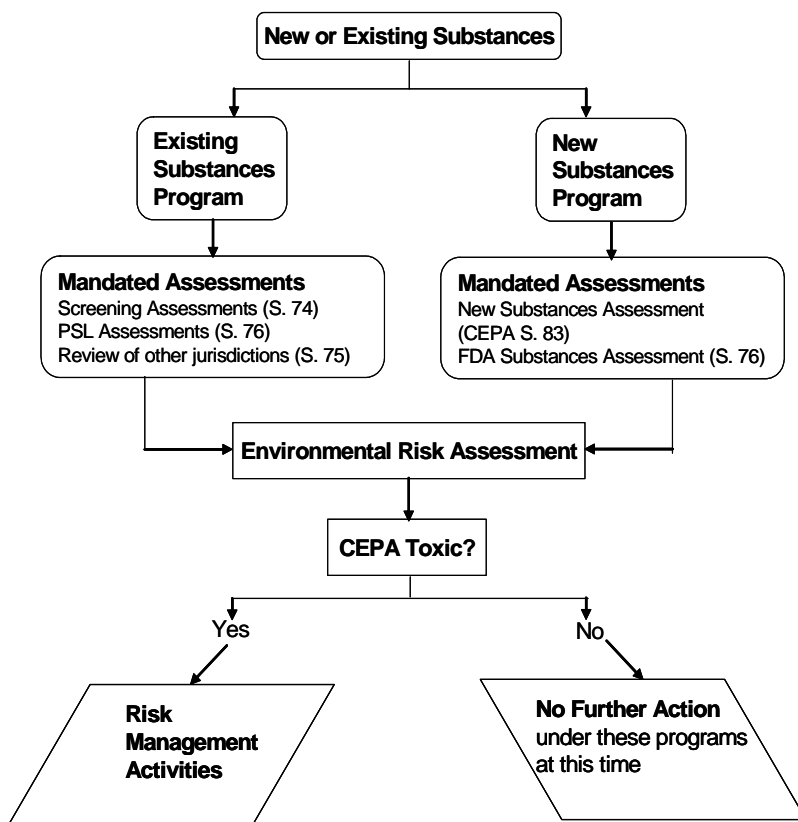


Figure 1: Environmental Risk Assessments (ERA) under CEPA

As the New Substances Notification Regulations are designed for commercial chemicals, a new set of regulations appropriate for the *Food and Drugs Act* products are being developed through the Environmental Assessment Unit (EAU) and the Office of Regulatory and International Affairs, Health Products and Food Branch.

2.2 The Precautionary Principle

Canada has a long-standing history of implementing the precautionary approach in science-based programs related to health and safety, environmental protection, and natural resources conservation. With the increasing emphasis on the adoption of this approach in decision-making, the federal government has been working to develop a set of guiding principles to support consistent, credible, and predictable policy and regulatory decision-making across government when applying the precautionary principle.

During the preparation of an environmental risk assessment, every effort will be made to incorporate the precautionary principle to ensure that decisions are made with a precautionary perspective. The Canadian Environmental Protection Act (CEPA), 1999, specifically addresses the importance of applying the precautionary principle in relation to the assessment and management of substances. In the preamble to the Act and in the introduction under Administrative Duties of the Government of Canada it states that “where there are threats of serious or irreversible damage, lack of full scientific certainty shall not be used as a reason for postponing cost-effective measures to prevent environmental degradation”. In addition, part V of CEPA, 1999, Section 76.1, which deals specifically with conducting and interpreting the results of a screening or PSL risk assessment, or the evaluation of a decision from another jurisdiction, prescribes the application of the precautionary principle through the statement that “Ministers shall apply a weight of evidence approach and the precautionary principle when preparing and interpreting the results of assessments”.

Historically, and as described in this document, the application of the precautionary principle is and will continue to be an integral part of the environmental risk assessment process. The application of the precautionary approaches in the environmental assessment is made through conservative assumptions or quantitative adjustments for uncertainty regarding adverse fate or toxic effects to the environment or to adjust for the unknown or inaccurate exposure scenarios. As a practical example, in the effects assessment stages, a precautionary approach may manifest itself through the selection of the lowest, most protective quantitative measurement or estimate from the available data, or through the application of conservative “assessment” factors which will lower the effect concentration even more to account for data limitations. For the development of the exposure scenarios, precautionary assumptions are often applied through the assumption of large or maximum use or release volumes and or, through the development of potential use or release scenarios.

2.3 Framework for the Environmental Risk Assessment (ERA) of Substances Under CEPA

The environmental risk assessment of substances occurs through three main phases. The first phase called pre-screening and prioritization provides a means for triaging substances so that they may be prioritized for further assessment. The second phase, called the assessment phase, involves

characterizing the environmental exposure of a substance and the potential effects of a substance to non-human biota. The final phase is the risk characterization phase and it involves determining the risk potential of a substance according to a weight of evidence for exposure and effects. Throughout the Environmental Risk Assessment (ERA) process, data are collected to support the assessment as needed. Most data are collected during the pre-screening and prioritization phase and the assessment phase. Dialogue with interested parties (e.g., regulatory managers, industry, and public) is also conducted throughout the ERA as needed and may result in the re-iteration of an ERA as a result of new ideas or data. Figure 2 provides an overview of the process for conducting the ERA of substances.

2.3.1 Pre-screening and prioritization phase

The pre-screening and prioritization phase provides an initial first impression of the potential concern a new or existing substance may pose to the environment. The intent of this stage is to provide a rapid initial evaluation of a substance based on specific properties of the substance (e.g., persistence, bioaccumulation and toxicity) as well as information on the use, import/manufacture volume, how the substance may enter the environment and the multi-media fate of the compound. The results of pre-screening and prioritization can also be used to help set out a plan for the ERA of a substance.

The level of detail of pre-screening and prioritization may vary between substances depending on programs needs and the level of information available for a substance. For example, the pre-screening of new substances is largely based on examination of a chemical's structure, key chemical properties, import/manufacture volume and intended use. This information is combined to give an overall qualitative assessment of the priority of the new substance. For existing substances, a scoring procedure involving several parameters may be used to provide a sequential method of determining the priority of a substance for assessment. Regardless of the level of detail of the pre-screening and prioritization a dialogue among risk assessors and managers is undertaken to ensure that there is consensus on which substances are a priority.

2.3.2 Environmental fate phase

Once a substance is released to the environment from an anthropogenic activity, it becomes important to understand where a substance will reside in the environment, how much of it will reside there and for how long. Along with knowledge of how a substance enters the environment (i.e., mode-of-entry), how much and how often, understanding the environmental fate allows an assessor to understand which environmental compartments are expected to contain the substance and consequently which organisms may be exposed. Key physical-chemical properties of a substance can be used to help determine environment fate (e.g., organic carbon partitioning coefficient, Henry's Law Constant, bioconcentration factor, degradation half-lives). These properties can be entered into a multimedia model to provide a better understanding of the partitioning behaviour of a substance within and between environmental compartments and the overall residence time in a compartment.

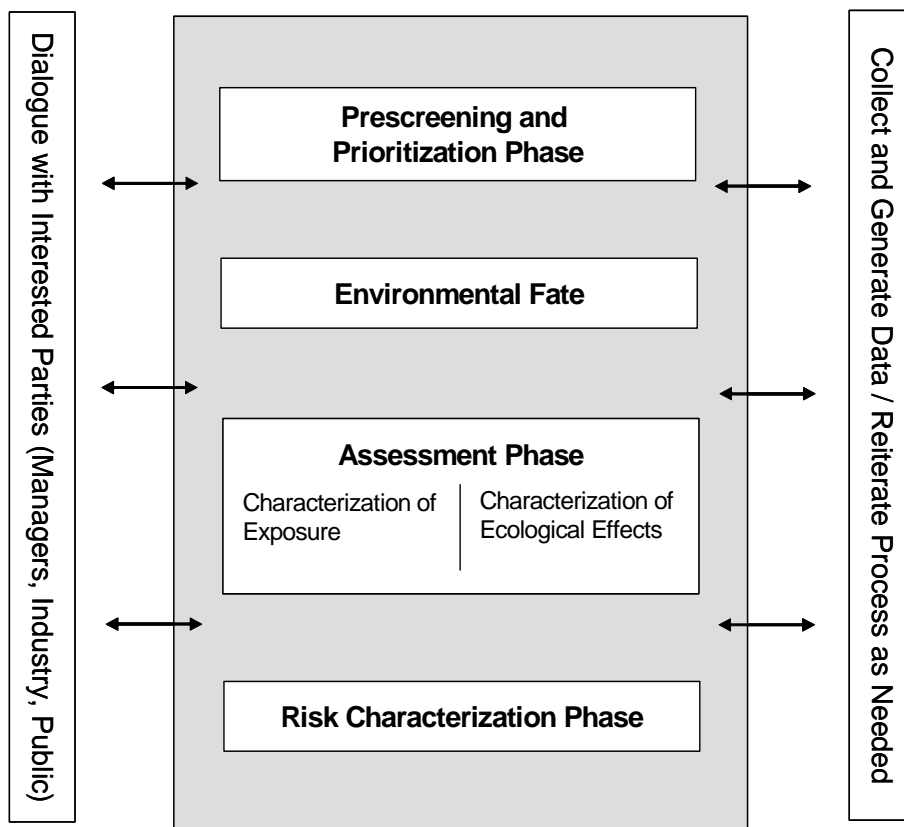


Figure 2: Framework for Conducting the Environmental Risk Assessment (ERA) of New and Existing Substances

2.3.3 Assessment phase

The assessment phase consists of two main parts characterization of exposure and characterization of ecological effects.

Exposure assessment characterizes the potential contact or co-occurrence of stressors (in this case chemicals) with receptors (non-human target biota). Travis et al. (1983, cited in Barnhouse et al. 1986) defines exposure assessment for toxic chemicals as the “determination of the toxic materials in space and time at the interface with target populations”. This is a very broad definition, but is nevertheless important because it implies that the goal of exposure assessment is the determination of a chemical concentration(s) in the environment that is considered toxic or presents a risk to non-human biota. Extrapolating from this definition, it can also be held that regardless of how inherently toxic a chemical can be, without organism exposure, there is no risk. Generally, estimates of exposure are conservative and are based on reasonable worst-case scenarios that err on the side

of caution. The principles of pollution prevention under CEPA are implemented in the exposure assessment by examining all reasonably anticipated future uses and related exposures.

Effects assessment characterizes the type and magnitude of ecological effects resulting from environmental exposure to a chemical or a combination of chemicals. Often, in risk assessments, the most sensitive receptor is used as the baseline from which to determine potential hazards to more than one species. Often the type and magnitude of ecological effects is determined based on measurement endpoints (e.g., median lethal concentration, median effects concentration for reproduction). These endpoints correlate to the protection goals for the assessment (referred to as assessment endpoints) and are used to evaluate potential threats to populations of species in the environment. Ultimately, the effects assessment aims to derive the concentration of a substance in the environment at which no effects are observable in target biota.

During the assessment phase, experimental and predicted data are collected or generated using QSARs or environmental models. A re-iteration of the exposure or effects assessment may be undertaken if there is sufficient concern for the substance or new data have been supplied or collected. As with the pre-screening and prioritization phase, dialogue with interested parties (e.g., other assessors, managers, industry) is also performed to ensure that the characterization of exposure and ecological effects is based on the best available information.

2.3.4 Risk characterization

The risk characterization phase brings together the information from the exposure assessment and effects assessment with the aim of concluding whether a substance poses a risk to non-human biota. The potential risk of a substance can be estimated using simple approaches such as the quotient method or can be based on several lines of evidence (e.g., PBT properties of a substance) for both biotic and abiotic endpoints.

The risk characterization phase also includes a qualitative assessment of the potential risk a substance poses to the environment and will reach a conclusion on the toxicity of a substance as defined under CEPA.

The risk characterization phase also points out where data gaps and uncertainties exist in the assessment and how these factors impact the quality of the assessment. A re-iteration of the assessment may be undertaken

2.4 The Need for a Multimedia Approach to Environmental Fate in ERA

In recent years, the characterization of uncertainty in ecological risk assessment has received much attention and has become increasingly important regardless of the level of assessment performed. Tools for characterizing uncertainty range from relatively simple qualitative approaches to complex probabilistic designs. The ecological risk assessment of new substances in Canada uses a screening level approach. Characterization of uncertainty at the screening level becomes very important because typically fewer data are available and used to estimate risk.

In 1998 and 1999 the New Substances Division of Environment Canada undertook two studies to examine qualitatively the uncertainties associated with the exposure assessment of new substances in Canada (BEC 1999a; BEC 1999b). In the first study, a characterization of the data gaps and short comings of the aquatic driven exposure assessment process was conducted. Specifically, a characterization of the uncertainties associated with: (1) the estimation of release concentration, (2) fate and distribution, and (3) release, fate and distribution in non aquatic media was described. One of the key recommendations from the first study was that a multi media approach to conducting the exposure assessment of new substances in Canada was needed in order to address releases to media other than the water column. Although water column release and exposure form the basis for determining the predicted environmental concentration of a new substance, releases to other media do occur and are appropriate (e.g., accumulation in soils) but are not routinely considered. In the second study, a strategy for dealing with the key data gaps and short comings that contribute to exposure uncertainty was detailed. In this study a preliminary approach to conducting the multi media exposure assessment (MMEA) of new substances was outlined.

The New and Existing Substances Branches of Environment Canada have acted on the MMEA recommendation and strategy, which ultimately, led to the development of guidance for MMEA of substances under CEPA (BEC 2001). Since 2001 many new multimedia tools and approaches have been developed. In particular, as the Existing Substances Program embarks on the risk assessment of substances categorized as persistent or bioaccumulative and inherently toxic, Environment Canada recognized the need for up to date and detailed guidance on multimedia models and their use in risk assessment. The result is this guidance document which is intended to be a evolving piece of work that will be updated periodically as new techniques and understanding develop in the unit world.

2.5 Practices in Other Jurisdictions

Practices in the US are generally similar to those in Canada in a scientific sense but the legislative framework, the Toxic Substances Control Act, is different. Reference should be made to the USEPA, Office of Prevention, Pesticides and Toxic Substances for guidance on current practices.

Chemical assessment in Europe is conducted by the European Chemicals Bureau (ECB) located in Ispra, Italy. This organization collects information on chemicals used in Europe and prepares priority lists. Priority substances are then allocated for assessment to specific member nations of the European Union. Assessment reports are published and make recommendations on whether or not some regulatory activity is deemed desirable. In recent years emphasis has been on “high production volume” chemicals. It is suggested that the reader consult the ECB website (<http://ecb.jrc.it>) for details of the assessment process (EUSES), priority lists, and technical guidance documents. The assessment relies on the “SimpleBox” model developed by RIVM in the Netherlands.

Other national and international agencies also conduct assessments and provide guidance on model use, notably Japan, the OECD, and UNEP.

3 MODELS AS A CONTRIBUTION TO UNDERSTANDING ENVIRONMENTAL FATE

3.1 What models can do for you

When seeking to understand the behaviour of chemicals in the environment, there is a consensus that it is no longer satisfactory to begin production and discharge before having some degree of assurance of the absence of risk. This has been learned from the tragedies of the past as highlighted so elegantly by Rachel Carson (1962) in her book “Silent Spring”. Models provide a fast and inexpensive mechanism for bringing together the best of current science on chemical fate processes in the environment, with chemical properties data collected in the laboratory setting or from QSARs.

This should not be seen as excluding monitoring of in-use chemicals. For in-use and historic-use chemicals, monitoring and modelling should play complementary roles. In the modelling context, monitoring is critical for the continuing evaluation and improvement of the science on which the models are based. Monitoring, in turn, benefits from the insights provided by modelling. Monitoring programs contribute to the scientific understanding of environmental processes; better models are developed; all with the ultimate benefit of improved chemical management.

As focus shifts from those substances already identified as problematic to a more preventive and protective role, the weaknesses of existing science in explaining chemical behaviour become evident. For example, the models which have long been used for such substances as PCBs may not adequately describe fluorinated substances.

Historically, monitoring and modelling have primarily been conducted for the more populated regions of North America and Europe. As global concern shifts from the immediate surroundings of these affluent regions to the third world as a potential source and the polar regions as potential destinations for contaminants, new environmental processes become important. The effects of temperature, snow fall, and ice cover require improved representation within the models. Monitoring and laboratory studies are required to facilitate the quantification of cold climate processes.

There are two fundamentally different types of models used in understanding chemical fate. The first are statistical, knowledge-based models or correlations such as those contained in the EPIWIN suite where similarities in properties imply similarities in behaviour. The second are mechanistic, process-based models. Nearly all of the models developed by the CEMN are process-based models of chemical fate.

Process-based models are a convenient way of bringing together the existing science in a simple format to calculate expected chemical behaviour. Models need to be continually tested for validity and challenged by monitoring programs and updated as the science existing at the time of model development is shown to be inadequate for emerging chemicals or emerging concerns.

The models of the CEMN are founded upon the fundamental law that mass is neither created nor destroyed, and therefore, these models are known as “mass balance models”.

A mass balance environmental model can:

- i) reveal likely relative concentrations, i.e., it is useful for monitoring purposes by indicating likely relative concentrations between media such as air, water, and fish.
- ii) show the relative importance of loss processes, i.e., the process rates that we need to know most accurately
- iii) link loadings to concentrations, i.e., identify key sources and ultimately their effects
- iv) enable time responses to be estimated, i.e., how long recovery will take
- v) generally demonstrate an adequate scientific knowledge of the system

Models are often not necessary when the sources, fate and effect are obvious, but they become more valuable as situations become more complex, subtle, and with multiple sources. The user can also play “sensitivity games” to determine what is most important and what is less important.

Models of the type described in this report can be used for the following general purposes.

- i) to maximize our understanding of a monitored system.
- ii) to obtain the best possible understanding of the likely behaviour of a substance not yet being monitored or not yet in production.
- iii) to enhance a monitoring program by providing guidance on the likely behaviour of the substance of interest.
- iv) to evaluate the results of a monitoring program and test for any systematic error in the chemical analysis, e.g., mis-reported units.

3.2 Environmental processes and pathways

There are three environmental processes or pathways to be considered in a mass balance model; transformation or degradation processes, advection processes that move the chemical out of the modelled system, and exchange processes between environmental media or compartments.

3.2.1 Transformation

A substance can be effectively removed from consideration by being transformed through a chemical reaction. This is often described as degradation and first-order reaction kinetics are assumed in analogy with radioactive decay. These reactions include photolysis, oxidation, hydrolysis, and biodegradation.

Cautionary note:

Our focus here is on the degradation of the original or “parent” chemical. The “daughter” product of any degradation reaction may or may not be more persistent, bioaccumulative, or toxic than the parent substance and thus may merit further investigation.

3.2.2 Advection

Advection processes such as wind transport and river currents can effectively remove a substance from the modelled system by transporting it to a different location.

Cautionary note:

The substance may be more or less of a problem in the new location and thus may merit further investigation.

3.2.3 Intermedia exchange

Exchange between environmental media can occur by a multitude of processes including diffusion, rain dissolution, wet and dry aerosol deposition, runoff, and sedimentation and resuspension. Depending on the specific model other processes may be included. The importance of each process is highly dependent upon the chemical being investigated.

3.3 Fugacity concept

3.3.1 Origins, meaning, and usefulness

Fugacity was introduced by G.N. Lewis in 1901 as a criterion of equilibrium. It is similar to chemical potential, but unlike chemical potential, it is proportional to concentration.

Fugacity, which means escaping or fleeing tendency, has units of pressure and can be viewed as the partial pressure which a chemical exerts as it attempts to escape from one phase and migrate to another. In many respects, fugacity plays the same role as temperature in describing the heat equilibrium status of phases and in revealing the direction of heat transfer.

The application of the fugacity concept to environmental models is fully described in the text by Mackay (2001).

When equilibrium is achieved a chemical reaches a common fugacity in all phases. For example, when the fugacity of benzene in water is equal to its fugacity in air, we may conclude that equilibrium exists. However, these common fugacities will correspond to quite different concentrations. If the fugacity in water exceeds that in the air, benzene will evaporate until a new equilibrium is established.

The use of fugacity instead of concentration thus immediately reveals the equilibrium status of a chemical between phases and the likely direction of diffusive transfer. Further, the magnitude of the fugacity difference controls the rate of transfer, by for example evaporation.

The relationship between fugacity (f , Pa) and concentration (C , mol/m³) is given mathematically in equation (1)

$$C = Zf \quad (1)$$

where Z is a “fugacity capacity” or Z value with units of mol/m³·Pa.

When performing fugacity calculations, the SI units of mol/m³ are used for all concentrations. It is therefore necessary to convert from mg/L for concentrations in water, or mg/kg or μg/g for concentrations in solid phases. A knowledge of the density (kg/m³) of the solid phases is required.

3.3.2 Defining Z values

A Z value expresses the capacity of a phase, or environmental medium, for a given chemical. Z values are large when the chemical is readily soluble in a phase, i.e., the phase can absorb a large quantity of the chemical. A low Z value indicates that the phase can accept only a small quantity of chemical, i.e., the chemical is “less-soluble” in the phase.

To establish Z values for each chemical in each phase, the process usually starts in the air phase. In the air, the Ideal Gas Law is applied.

$$PV = nRT \quad (2)$$

where P is pressure, or fugacity in our case, V is the volume of the air, n is the number of moles of the chemical, R is the gas constant (8.314 Pa·m³/mol·K), and T is absolute temperature (K). Since $C = n / V$, and $C = Zf$ equation 2 can be re-written in fugacity terms as

$$Z_A = 1/RT = C_A / f_A \quad (3)$$

the subscript A referring to the air phase. Z_A is thus about 0.0004 mol/m³·Pa for all chemicals in air.

A partition coefficient is the ratio of the concentrations in two environmental media at equilibrium, thus it is the ratio of the Z values of the two media. For example, the air-water partition coefficient, K_{AW} , is

$$\begin{aligned} K_{AW} &= C_A / C_W \\ &= Z_A f_A / Z_W f_W \end{aligned} \quad (4)$$

and since K_{AW} is measured when f_A equals f_W , i.e., at equilibrium,

$$K_{AW} = Z_A / Z_W \quad (5)$$

In general,

$$K_{ij} = Z_i / Z_j \quad (6)$$

Using the partition coefficients and previously calculated Z values, it is thus possible to calculate Z values for the chemical in other media such as soil, fish, and sediment if dimensionless partition coefficients are known.

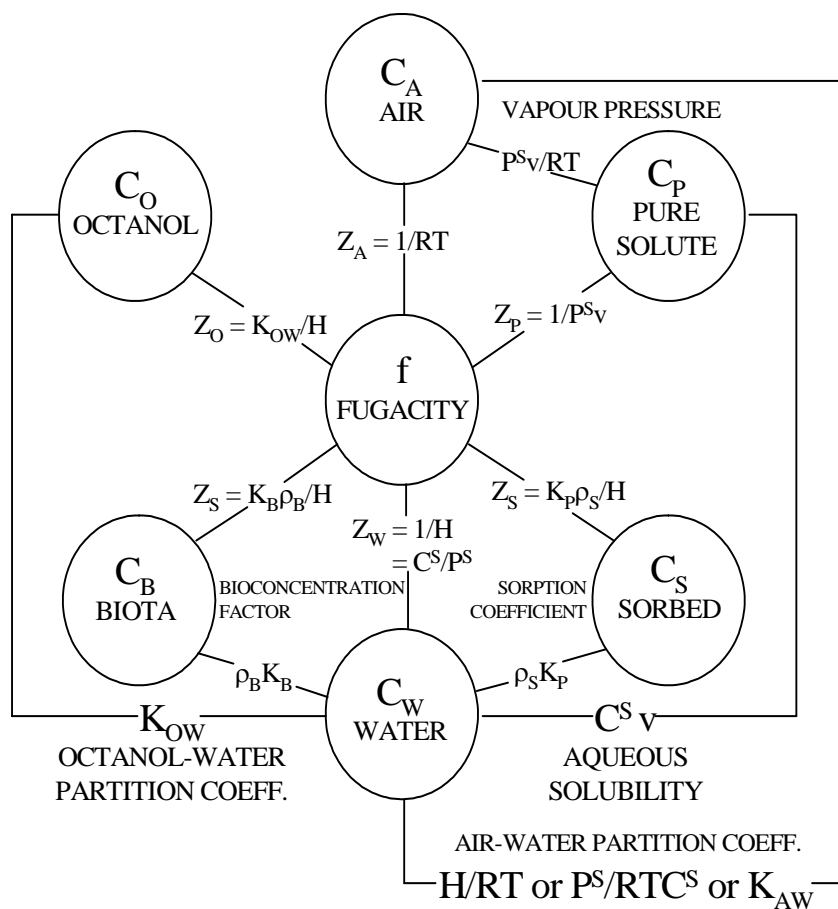
Cautionary Note:

Care must be taken to ensure that the partition coefficients are dimensionless because many are reported in dimensional units such as L/kg, i.e., a ratio of a solid phase concentration (mg/kg) to a liquid phase concentration (mg/L). The preferred units of both concentrations when calculating partition coefficients are mol/m³ or g/m³. This ensures that the partition coefficient is dimensionless.

A very convenient and important description of chemical hydrophobicity or lipophilicity is K_{OW} , the octanol-water partition coefficient, which is the ratio of Z in octanol to Z in water. Octanol is commonly used as a surrogate for lipids and organic matter in the environment. Hydrophobic chemicals such as DDT or PCBs have K_{OW} values of about a million implying that Z_O is about a million times Z_W , i.e., the water phase has a very limited capacity for the chemical. The chemical is thus “water hating” or hydrophobic. K_{OW} is used in correlations to describe partitioning from water into lipids of fish and other organisms and into natural organic carbon such as humic material. It is also used to correlate toxicity data for a variety of chemicals.

Correlations have been developed for several partition coefficients for organic chemicals as a function of chemical properties such as solubility in water, K_{OW} and vapour pressure. These can be exploited to give “recipes” for Z values. Caution must be exercised when using these correlations for chemicals of unusual properties such as ionizing acids or bases, detergents, dyes and polymers. Figure 3 is a summary of expressions which can be used to estimate Z values, detailed justification being given in Mackay (2001). Notable is the use of K_{OW} to estimate K_{OC} the organic carbon partition coefficient which is the key to the estimation of soil-water and sediment-water partition coefficients for many compounds.

Pure solutes are rarely present in the environment except as a result of chemical spills. The Z value for a pure solute is thus of more academic than practical interest. The fugacity of a pure solute is its vapour pressure, P^S (Pa). The concentration (mol/m³) is the reciprocal of the molar volume, v (m³/mol). It follows that Z is $1/(P^S v)$.



Definition of Fugacity Capacities

Compartment	Definition of Z, mol/m ³ Pa	
Air	1/RT	R = 8.314 Pa m ³ / mol K T = temperature, K
Water	1/H or C ^S /P ^S	H = Henry's law constant, Pa m ³ / mol C ^S = aqueous solubility, mol/m ³ P ^S = vapour pressure, Pa
Solid Sorbent (e.g. soil, sediment, particles)	K _p ρ _S / H	K _p = solid-water partition coefficient, L/kg ρ _S = density of solid, kg/L
Biota	K _B ρ _B / H	K _B = biota-water partition coefficient, L/kg or bioconcentration factor (BCF), L/kg ρ _B = density of biota, kg/L (often assumed to be 1.0 kg/L)
Pure Solute	1 / P ^S v	v = solute molar volume, m ³ /mol

Figure 3: Relationships between Z values and partition coefficients and summary of Z value definitions.

3.4 An exploration of Levels

It is useful to explore the behaviour of a chemical in the environment through a series of models of increasing complexity. The simpler models are easiest to understand and have fewest data requirements. As the complexity of the models increase, they become more challenging to understand and the data requirements increase. When more input data must be estimated, the reliability of the model output may become compromised. By stepping through a series of models of increasing complexity, understanding of the chemical's behaviour can be maximized while the likelihood of gross mis-representation is minimized.

Here the assumptions for the four levels of complexity are described. These calculations are embodied in a set of model software, each named for the level it contains, and in other software for specific purposes. The software is described later.

3.4.1 Level I: simple equilibrium partitioning calculations

It is simplest to begin by assuming a closed environment with a constant amount of chemical present at equilibrium between the environmental media. This “pop can” environment has no mechanisms for chemical to be added or removed. There are no degradation or advection processes. There is no active transport between environmental media; to return to the heat capacity analogy used previously, the can has been on the shelf for long enough to have reached a constant temperature. In fugacity terms, this assumption of equilibrium means that a single fugacity exists in the environment, i.e., in a four-compartment environment where A is air, W is water, E is soil or “earth”, and S is sediment,

$$f_A = f_W = f_E = f_S = f \quad (7)$$

A Level I model combines chemical partitioning (measured or estimated) data to give the Z values in each medium in the environment and, more importantly, the chemical's partitioning tendency. The inter-media surface areas are not needed because equilibrium is assumed between well-mixed volumes of media.

The partitioning behaviour of a chemical can be most readily depicted and understood with a chemical space diagram such as that shown later in Figure 4.

Some algebra

M is total moles of chemical in the environment, V_i is volume, and C_i is concentration for compartment i and all summations are over all i.

$$M = \sum V_i C_i = \sum V_i Z_i f_i \quad (8)$$

and since all f_i are equal and can be designated f by equation (7)

$$M = f \sum V_i Z_i \quad (9)$$

or

$$f = M / \sum V_i Z_i \quad (10)$$

This is a Level I calculation.

The calculation sequence is

- given the partition coefficients of a chemical, Z values can be determined
- given compartment volumes, $Z_i V_i$ can be determined
- given an amount of chemical present, f can be determined from equation (10), and
- from f, all concentrations, $C_i = Z_i f$ and all amounts $m_i = C_i / V_i$ can be determined.

As a final check, the sum of all m_i must equal the total amount of chemical present.

A simple worked example for DDT in a water body such as a lake is given in Appendix D.

3.4.2 Level II: equilibrium partitioning with loss processes

The modelled complexity of the environment at equilibrium can be increased by including the loss processes of advection and degradation. Advection includes mechanical removal processes such as achieved by air and water currents and is characterized by a flow rate, G (m³/h). Degrading reactions can include both chemical reactions and biologically-mediated degradation, and are characterized by a half-life, τ (h) or rate constant, k (1/h) = $\ln(2) / \tau$. A set of transport or transformation values known as fugacity rate constants or D values (mol / Pa h) are calculated as $D = G Z$ or $D = k V Z$ where V (m³) is the volume of the medium. Since the equilibrium assumption is maintained in Level II calculations, there is no dependence on the medium to which the chemical is emitted; and total emission into the environment is sufficient to describe chemical entry to this system. Again, the inter-media surface areas are not needed as equilibrium is assumed between well-mixed volumes of media.

Some algebra

E is emission rate in mol/h

$$E = \sum D_i f_i \quad (11)$$

and by equation (7) and re-arranging equation (11)

$$f = E / \sum D_i \quad (12)$$

This is a Level II calculation.

The calculation sequence is

- use the Z values from Level I
- given compartment volumes, rate constants, and flow rates, all D_i values can be determined
 - for advection processes, A: $D_{iA} = G_i Z_i$

- for reaction processes, R: $D_{iR} = k_i V_i Z_i$
 - given an emission rate, f can be determined from equation (12), and
 - from f , all concentrations, $C_i = Z_i f$ and all rates $D_i f$ can be determined.
- As a final check, the sum of all loss rates $D_i f$ must equal the emission rate.

A simple worked example of DDT in a water body is given in Appendix E.

3.4.3 Level III: steady-state with multimedia transport

By removing the equilibrium assumption, the model complexity and data demands are again increased. The steady-state assumption, i.e., the absence of change over time, is retained. Without the equilibrium assumption the chemical's fugacities in each medium generally differ and, it is now necessary to describe active transport processes between environmental media. These can include processes such as diffusion, volatilization, deposition, resuspension, and runoff and require a variety of input data depending on the details of the environment modelled. For example, media volumes are no longer sufficient. The inter-media surface areas are needed to calculate many of these transfer process rates. In general, $D = A U Z$ where U is the transport velocity for the process in units of m/h and A is the area of the exchange surface in m^2 . Medium-specific emission rates, E_i , are now required because the results are strongly dependent on the receiving medium or media, i.e., the "mode-of-entry". This more complicated calculation will yield the same results as a Level II calculation if the chemical is rapidly transported between media such that all media have the same fugacity - the key assumption of Level II.

Some algebra

E_i are the emissions into each medium i , $D_{i,j}$ are the fugacity rate constants for chemical transfer from medium i to medium j . Since chemical mass is conserved, the amount entering each medium must equal the amount removed by either transport into another medium or by one of the loss processes of advection and degradation.

$$\text{Entering} = \text{Advection} + \text{Degraded} + \text{Transferred} \quad (13)$$

Losses in medium i are given by

$$D_{iT} = D_{iA} + D_{iR} + \sum D_{i,j} \quad (14)$$

$$E_i + \sum D_{j,i} f_j = f_i D_{iT} \quad (15)$$

Note that $\sum D_{j,i} f_j$ is the sum of the rate of input from other compartments j to compartment i . Re-arranging equation (15) gives

$$f_i = (E_i + \sum D_{j,i} f_j) / D_{iT} \quad (16)$$

We thus obtain for n media, n equations with n unknown fugacities and we can solve them for all fugacities, from which concentrations, amounts and rates are calculated.

Note that we can have as many boxes as we like - the limitation is our ability to estimate D values, not computing power.

This is a Level III calculation.

The calculation sequence is

- obtain the Z values from Level I, and D_{iA} and D_{iR} from Level II
- given the necessary transfer process information, calculate all D_{ij}
- given all emissions, E_i , the set of mass balance equations (16) will yield the set of fugacities, and
- from all f_i , all concentrations, $C_i = Z_i f_i$ and the total removal rate from the environment $\Sigma(D_{iA} f_i + D_{iR} f_i)$ can be determined.

As a final check, the total removal rate must equal the sum of the emission rates, ΣE_i and each compartment should also display a mass balance with inputs equalling outputs.

A simple worked example for DDT in a water body is given in Appendix F.

3.4.4 Level VI: dynamic

This next level of complexity, Level IV, includes change over time, i.e., it does not assume steady-state. Here a single emission such as a spill may be followed through time, or the effect of emission reductions examined in detail. Sufficient input data is often difficult to obtain and erroneous estimates can lead to false conclusions. Thus, it is important to follow a progression of increasing model complexity and data demands to anticipate the likely dynamic behaviour prior to performing such calculations.

Some algebra and some calculus

For a time-varying emission to medium i, $E_i(t)$, mass balance dictates that all chemical entering medium i must be accounted for through transport into another medium or by one of the loss processes of advection and degradation **or** must become a part of the inventory of chemical in medium i. Thus the amount of chemical in medium i at time t is given by

$$m_i(t) = m_i(t-1) + \Delta t \, dm_i/dt \quad (17)$$

$$dm_i/dt = d(f_i V_i Z_i)/dt = \text{rate of chemical entering} - \text{rate of chemical leaving} \quad (18)$$

or assuming volumes and Z values are constant

$$V_i Z_i \, df_i/dt = E_i(t) + \Sigma D_{j,i}(t) f_j(t) - f_i(t) D_{iT}(t) \quad (19)$$

In equation (19) the left-hand side is the inventory change and the terms on the right-hand side are direct emission to the compartment, transport to the compartment, and losses from the compartment.

D_{IT} is the total of all loss D values by reaction, advection, and intermedia transport. A dynamic calculation for constant emissions, if continued for a long enough period, will achieve the steady-state condition and results will be equivalent to those from the Level III calculation.

There are thus n simultaneous linear differential equations. They can be solved analytically but it is often easier to solve them by numerical integration. The result is the time-course of concentrations in each medium.

3.4.5 Summary of Levels

Table 1: Summary of Levels of complexity in multimedia models

Level	Assumptions
I	Closed system Defined chemical amount Equilibrium between media ==> one fugacity
II	Single chemical emission rate Reaction and advective loss processes Equilibrium between media ==> one fugacity
III	Chemical emission rates and mode-of-entry Reaction and advective loss processes Non-equilibrium between media ==> different fugacities Steady-state system, i.e., unchanging with time
VI	Dynamic system, i.e., changing with time

3.5 A Six-Stage Process to Understanding Chemical Fate

In 1996, Mackay et al (1996a) outlined a 5-stage process to understand the behaviour of a substance in the environment. The five stages are: (1) chemical classification, (2) acquisition of discharge data, (3) evaluative assessment of chemical fate, (4) regional or far-field evaluation, and (5) local or near-field evaluation. A sixth stage was suggested by MacLeod and Mackay (1999) in which an exposure evaluation would be conducted.

Recently, in recognition of the challenges of obtaining the emission data for stage 2, it has been suggested that target emissions can be calculated from critical concentrations to evaluate risk. A more detailed discussion of this risk evaluation is given later.

This process generates an increasing understanding of the chemical of interest. Often sufficient information will be generated early in the process and the final stages will be unnecessary, or allow simplifying assumptions to be made without loss of accuracy. For example, when gathering the data for stage 1, it may become evident that the substance is not multimedia in nature but partitions

exclusively to only one or two environmental media. In such a case, the degradation half-lives in the media to which it does not partition may be assumed to be infinite (i.e., the rate is zero) and do not need to be measured or estimated.

This gradual increase in data requirements and complexity facilitates the mental assimilation of the information generated. By plotting the partitioning properties of a substance much may be learned. By calculating the substance's fate in an evaluative environment, key processes can be identified.

It is argued by some that all the information may be generated by using a single, complex and realistic model. This assumes that all of the required input data are available. It assumes that all the process information encoded in the model is correct and applicable. It assumes that the greatest realism is always required and that the results will always be interpretable.

It is well-established that as models increase in realism and complexity, the data demands increase; data demands that are often impossible to satisfy except through estimation methods. As more input data are estimated, the reliability of the results becomes compromised. Also, as the complexity of the model increases, the results become more challenging to interpret and thus more prone to misinterpretation.

For these reasons, we recommend that simpler models be applied first and the complex models only used when necessary.

Occam's razor or the Principle of Parsimony

Essentia non sunt multiplicanda praeter necessitatem

"What can be done with fewer (assumptions) is done in vain with more"

3.5.1 Stage 1: Chemical classification and physical data collection

Partitioning

For convenience in modelling, three chemical types have been defined (Mackay et al, 1996a). this allows these chemicals to be treated by multimedia models such as those developed by the CEMN. The defining characteristics and some examples are given in Table 2. Table 3 gives the typical property data required for a chemical of each defined type. Data sources and estimation methods are suggested later in this section.

Table 2: Chemical types are based on partitioning behaviour.

Type	Partitions into	Z	Equilibrium criterion	Examples
1	all media	non-zero in all media	fugacity	most organic chemicals (e.g., chlorobenzenes, PCBs) including ionizing chemicals
2	not air	\approx or = 0 in air	aquivalence	cations, anions, involatile organic chemicals, and surfactants
3	not water	\approx or = 0 in water	fugacity	very hydrophobic compounds (eg., long-chain hydrocarbons, silicones)
4	not air and not water	\approx or = 0 in air and water	none	polymers
5				speciating chemicals (e.g., mercury)

Type 4 substances tend to remain in their original state as a solid in the environment thus modelling of the type described in this document does not serve a useful purpose. It should be noted that polymers may contain unreacted monomer and other additives such as plasticisers that are of possible concern. These substances may degrade to form other chemicals that can have adverse effects.

Type 5 substances display complex environmental fate and require case-specific evaluation.

Table 3: Typical physical chemical property data required for each chemical type

Type	Data required
1	molar mass, data collection temperature, solubility in water, vapour pressure, and octanol-water partition coefficient (K_{ow}) and possibly pK_a
2	partition coefficients from solids or organic carbon to water
3	partition coefficients from solids or a pure phase to air

Aquivalence

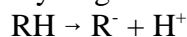
The Z values for Type 2 chemicals are calculated using the equivalence approach (Mackay 2001). Since the vapour pressure and the air-water partition coefficient may be zero, Z for water becomes infinite if calculated as for Type 1. Therefore, calculation starts by defining Z for water as 1.0. All other Z values are deduced from the Z value for water and the partition coefficient of the phase with respect to water.

Ionizing Chemicals

Organic acids and bases such as phenols, carboxylic acids, and amines, may dissociate or ionize in the environment. As a result of this tendency to dissociate an acidic substance may exist in its non-ionic protonated or neutral form and its ionic de-protonated, or charged, form. Bases behave similarly but the protonated form is charged. These forms have different properties, for example the neutral form may evaporate from water, but the ionic form does not evaporate. It is thus essential to calculate the fractions in each form.

The simple, first order approach of Shiu et al (1994) and Mackay et al (2000) to quantifying these fractions is briefly described below.

The neutral form of an acid molecule can be designated RH where R is an organic molecule comprising carbon, oxygen, hydrogen and possibly sulfur, nitrogen and phosphorus. When dissolved in water, the molecule may ionize to form hydrogen ion H^+ and an anion R^-



The molecule may have several hydrogens that can dissociate. No correction is made for the effect of cations other than H^+ . It is assumed that dissociation takes place only in aqueous solution, not in air, organic carbon, octanol or lipid phases. Some ions and ion pairs are known to exist in the latter two phases, but there are insufficient data to suggest a general procedure for estimating quantities.

The dissociation constant is defined as follows.

$$K_a = [R^-] [H^+] / [RH] \quad (20)$$

so

$$pK_a = \log ([R^-] [H^+] / [RH]) \quad (21)$$

Typical values of pKa for chlorinated phenols range from 4 to 8. It is the relative magnitudes of pKa and pH, the environmental acidity, that determine the extent of dissociation.

Rearranging equation (20) gives

$$\begin{aligned} \log ([R^-] / [RH]) &= \log I = -\log [H^+] + \log K_a \quad (22) \\ &= pH - pK_a \end{aligned}$$

where I is the ratio of the ionized to non-ionized concentrations, pH is $-\log [H^+]$ and pKa is $-\log K_a$. Note that base 10 logarithms are used. This leads to the Henderson Hasselbalch equation

$$I = 10^{(pH - pK_a)} \quad (23)$$

Note that if the substance has several pKa values, the one corresponding to the primary or first dissociation process should be used. This has the lowest value of pKa. For example, if values of 5, 8 and 11 are given, use 5 and ignore the others, at least for screening purposes.

Assuming a pH of 7.0 and that the ionic form does not evaporate from water, sorb to organic matter, or bioaccumulate into lipids, this ratio is assumed to apply in all water phases in the environment.

As a result of ionization, there can be ambiguity about the values of the solubility in water and K_{OW} . If experimental data are used, the pH should also have been specified to clarify if the properties are those of the non-ionised or non-ionised plus ionised forms. If the latter applies, the solubility and K_{OW} of the non-ionised form can be calculated. If the data are from an estimation method, the values generated will correspond to the molecular structure provided to the method. For example, a SMILES notation used in QSARs will normally refer to the non-ionised form, not the ionised form

Models treating ionizing chemicals normally require the solubility of neutral species either from an estimation method or measured.

For the general case of a pKa measured at an acidity of pH_d , where the subscript d represents the data pH, the ionic to non-ionic ratio at of pH_d is

$$I_d = 10^{(pH_d - pKa)} \quad (24)$$

thus the non-ionic fraction is

$$x_{N_d} = 1 / (1 + I_d) \quad (25)$$

and the ionic fraction is

$$x_{I_d} = I_d / (1 + I_d) \quad (26)$$

The Z_{T_d} for both species in water at the pH of the data collection is $1/H_d$, therefore the Z for the ionic fraction is

$$Z_{I_d} = x_{I_d} \times Z_{T_d} \quad (27)$$

Similarly the Z for the non-ionic fraction is

$$Z_{N_d} = x_{N_d} \times Z_{T_d} \quad (28)$$

But, the Z for the non-ionic fraction is unaffected by the pH of the water and therefore, at the pH of the environment (e) is given by

$$Z_{N_e} = Z_{N_d} = x_{N_d} \times Z_{T_d} \quad (29)$$

The Z for the ionic fraction, at the pH of the environment, is given by

$$Z_{I_e} = I_e Z_{N_e} \quad (30)$$

And finally, the Z for both species together, at the pH of the environment, is

$$Z_{T_e} = Z_{N_e} + Z_{I_e} \quad (31)$$

To calculate the D values for the loss and transfer processes care must be taken to use the Z value relating to the species participating in the process.

The same arguments apply to bases. Rather than use the constant K_b it is usual to express it also as K_a , noting that $pK_a + pK_b = 14$.

Note that in the Handbook by Mackay et al (2000) the aqueous solubilities selected are primarily those of the non-ionic form.

Degradation

Chemical transformation, or degradation, can be considered to be the decomposition of the substance of interest into water, carbon dioxide, and inorganic compounds, however, only primary degradation, i.e., the degradation causing a change in the identity of the substance, is considered here. The daughter compounds are normally considered separately from the parent compound as they have a completely different set of properties, including a different toxicity, and thus a different priority.

Degradation is normally characterized as a first-order reaction and quantified by a reaction rate constant, k_i (1/h), or a half-life, τ_i (h) = $\ln(2)/k_i$ in each environmental medium, i. Degradation occurs by processes such as photolysis, hydrolysis, oxidation and biodegradation. The rates of these processes are strongly dependent upon environmental conditions such as temperature, solar insolation, and the nature and activity of the microbial community. Thus degradation data are characterized by a high inherent variability. For a more detailed discussion of natural variation in degradation half-lives consult Boethling and Mackay (2000) or Webster et al (1998). Various researchers have sought to acknowledge this variability by defining degradation classes and including a range of values in each class. For example, Syracuse Research Corporation (SRC), uses an semi-quantitative scheme based on designations of hours (4.1 h) , hours to days (30 h), days (56 h), days to weeks (208 h), weeks (360 h), weeks to months (900 h), months (1440 h), and “recalcitrant” (3600 h) (ref). Another is the lognormal classification scheme of Mackay et al (2000) and Webster et al (2003) as shown in Table 4.

Table 4: Lognormal degradation classification scheme.

Class	Mean half-life (hours)	Range (hours)
1	5	<10
2	17 (~ 1 day)	10 - 30
3	55 (~ 2 days)	30 - 100
4	170 (~ 1 week)	100 - 300
5	550 (~ 3 weeks)	300 - 1000
6	1700 (~ 2 months)	1000 - 3000
7	5500 (~ 8 months)	3000 - 10000
8	17000 (~ 2 years)	10000 - 30000
9	55000 (~ 6 years)	30000 - 100000
10	(> 11 years)	> 100000

Cautionary note:

The absence of degradation gives an infinite half-life. In practical terms, this can be represented by the very large half-life value of 10^{11} hours which translates to a negligible reaction rate. A half-life of zero, by contrast, indicates an infinitely fast reaction process. Most CEMN models prohibit the unrealistic entry of a zero half-life.

Data sources and estimation methods

For chemicals that have been studied, there are some excellent compilations of data such as Mackay et al (2000), Verschuere (2001), and the Handbook of Environmental Fate and Exposure Data for Organics by Howard (1989, 1990, 1991, 1993, and 1997).

In the absence of data, estimation methods must be used to determine chemical partitioning properties. These methods should be used with caution and with a knowledge of the limitations of the method. A very brief overview is provided here. For more information, the reader is referred to Boethling and Mackay (2000), and Mackay (2001). Some estimation methods are included in the EPI Suite software (available from http://www.syrres.com/esc/est_soft.htm or <http://www.epa.gov/oppt/exposure/docs/episuitedl.htm>).

Henry's Law Constant, H ($\text{Pa m}^3/\text{mol}$)

The air-water partition coefficient, K_{AW} , is H / RT where R is the gas constant, 8.314 Pa/m^3 , T is temperature (K), and H can be estimated from the vapour pressure, P (Pa), and the water solubility, S (mol/m^3), (Mackay 2001). Note that both P and S must be of the same state, i.e., solid (S) or liquid

(L). That is, $H = P_L / S_L$ or $H = P_S / S_S$. For substances with a melting point greater than 25 °C, i.e., solids at room temperature, a fugacity ratio, F, can be defined from the melting point, MP, and the temperature at which P_S was measured (normally about 25 °C).

$$F = e^{(6.79 \times (1-MP/T))} \quad (32)$$

The sub-cooled liquid vapour pressure, P_{SL} is then P_S / F and $H = P_{SL} / S_L$

Organic Carbon Partition Coefficient, K_{OC} (L/kg)

The organic carbon - water partition coefficient, K_{OC} (L/kg), can be estimated from either K_{OW} , or the water solubility, S.

Table 5: K_{OC} estimation methods

Estimation	Source
K_{OC} (L/kg) = 0.41(kg/L) K_{OW}	Mackay 2001
K_{OC} (L/kg) = 0.35(kg/L) K_{OW}	Seth et al 1999
equations of the form $\log K_{OC} = a \log K_{OW} + b$ with various values of a and b	Boethling and Mackay 2000 p153 Table 8.1
equations of the form $\log K_{OC} = a \log S + b$ with various values of a and b	Boethling and Mackay 2000 p154 Table 8.2

Soil and Sediment - Water Partition Coefficients

The partitioning between the solid fraction of soil or sediment, and water, can be obtained as a measured equilibrium partition coefficient, K_p (L/kg) = C_s (mg/kg) / C_w (mg/L). Using the density of the solids, a dimensionless partition coefficient can be determined. For example, for soil,

$$K_{\text{Soil Solids, Water}} = K_p \text{ (L/kg)} \rho_{\text{Soil Solids}} \text{ (kg/m}^3\text{)} / (1000 \text{ L/m}^3) \quad (33)$$

where $\rho_{\text{Soil Solids}}$ is the density of soil solids and 1000 L/m³ is a volumetric conversion.

It is also possible to estimate K_p from K_{OC} as

$$K_p \text{ (L/kg)} = y K_{OC} \text{ (L/kg)} \quad (34)$$

where y is the mass fraction of organic carbon present in the soil solids (i.e., dry soil) (Boethling and Mackay 2000; Mackay 2001). There are four assumptions that must apply to use this estimation method, otherwise K_d should not be estimated using this equation.

- 1 - sorption is exclusively to the organic component of the soil (or sediment)
- 2 - all soil (or sediment) organic carbon has the same sorption capacity per unit mass
- 3 - equilibrium is observed in the sorption-desorption process
- 4 - desorption isotherms are identical.

Aerosol - Air Partition Coefficient

Aerosol - air partitioning is not normally measured for evaluation purposes. It can be measured in the environment by measuring concentrations in air before and after filtration. It is variously estimated as shown in Table 6.

Table 6: K_{OA} estimation methods

Estimation	Source
$K_{QA} = 6 \times 10^6 / P_L$	Mackay 2001
$K_p (m^3/\mu g) / K_{OA} = B$ where B is $b \times 10^{-12}$ thus $K_{QA} = K_{OA} \times \rho_Q (kg/m^3) \times b \times 10^{-3} (m^3/kg)$ b is approximately 1.5 for most persistent organics	Finizio et al 1997
$\log K_p = (a * \log K_{OA}) + b$ or $K_{QA} = K_{OA}^a \times \rho_Q (kg/m^3) \times 10^{(b+9)} (m^3/kg)$ a is approximately 0.55 and b is -8.23 for most persistent organics	Finizio et al 1997
$\log K_p (m^3/\mu g) = \log K_{OA} - 12.61$ or $K_{QA} = K_{OA} \times \rho_Q (kg/m^3) \times 10^{-3.61} (m^3/kg)$	Bidleman and Harner, 2000

Due to the small volume of aerosols present, the amount of chemical sorbed is small. For substances with a high K_{OA} , the concentration may be high and scavenging may be an important transport vector for removal of the substance from the air. For low K_{OA} substances, partitioning to aerosols is relatively unimportant. When K_{OA} is very large, i.e., 10^{10} and above, most chemical in the atmosphere is likely to be sorbed to aerosols. Benzo[a]pyrene is an example.

Biota - Air and Biota - Water Partition Coefficients

Partitioning between the abiotic and biotic media in the environment is not normally measured. Partitioning between biota (B) and the air or water is usually estimated assuming partitioning to only the lipid fraction. If this assumption is not true, some other estimation method should be used. For aquatic biota such as fish, if a lipid fraction of 0.05 is assumed, then the partitioning coefficient, $K_{BW} = 0.05 K_{OW}$. Similarly for vegetation, if the leaves are assumed to have a lipid fraction of 0.01, the leaf-air partition coefficient, $K_{BA} = 0.01 K_{OA}$. For roots, a root-water partition coefficient may be estimated in the same way.

Degradation

As for chemical partitioning properties, there is a variety of data sources including those listed above. Specific to degradation data is the Handbook of Environmental Degradation Rates (Howard, 1991). Estimation methods have also been developed. A review of existing methods and suggestions for their application are given in a companion document to this report (Arnot et al, 2005). Some estimation methods are included in the EPI Suite software (<http://www.epa.gov/opptintr/exposure/docs/episuite.htm>).

Toxicity

It is important to identify the potential for concern regarding the toxicity of the substance. If a substance is unlikely to have toxic effects at the expected concentrations, it may not warrant further investigation. A substance with a higher probability of toxic effects should be investigated first, and more fully.

To a first approximation there are three methods of expressing toxicity.

First is to define a concentration external to the organism that will cause a specified effect such as lethality or failure to reproduce. The aquatic LC50 and the occupational Threshold Limit Value (TLV) in air, **applicable to occupational exposure**, are examples.

Second is a dose, often expressed in mg/kg body weight per day, which causes an effect. This is widely used in human toxicology. The dose may be the product of a concentration in food and the quantity of food consumed per day.

Third is the delivered dose or the internal concentration or quantity or critical body residue of chemical causing the effect. For example, a chemical that exerts toxicity by narcotic or baseline toxicity does so at a concentration in the body of 1 to 5 mmol/kg body weight. The concentration may also be in a specific tissue within the organism. Generally, chemicals which have similar modes of toxic action have similar internal concentrations at the same effect level. Obviously the calculation of this concentration requires a bioaccumulation or even a pharmacokinetic model.

The issue evaluating toxicity is beyond the scope of this Guidance but it is useful for the modeller to know how the results are to be used in the evaluation of toxic effects. Many believe that the future of environmental toxicology lies in calculating the third metric of toxicity, namely the critical body residue required to cause the specified mode of toxic action. The mode of action can, in principle, be predicted from molecular structure.

3.5.1 Stage 2: Acquisition of discharge data

This is typically a very challenging task. For many substances of concern, discharges are dispersed and highly population and culturally dependent. The components of automobile exhaust are typical of this group of substances. At the other extreme are substances discharged at point-sources, often industrial in nature. Where such discharge data exist they may be incomplete or suited only local-scale evaluations.

3.5.2 Stage 3: Evaluative assessment of chemical fate

Armed with the data collected in stages 1 and 2 of this 6-stage process, modelling is now both possible and useful. An examination of the behaviour of the substance in a generic or “evaluative” environment increases our understanding without requiring regional properties and in a way that can be compared across regulatory jurisdictions. This can be performed with a models such as the 3-part set Level I, Level II, Level III or the EQC model that combines the calculations of the other three but with less flexibility for the user. (See Section 4.3.1 to 4.3.4 for details on these models.) For an evaluative assessment a unit amount or rate of emission can be used as absolute concentrations are not the focus of this stage of the six-stage process.

The area for an evaluative assessment should be large enough that wind dispersion does not dominate the distribution of concentrations to the exclusion of all other information. In the EQC model an area of 10^{11} m² or 10^5 km². This is about the size of southern Ontario or twice the size of Nova Scotia. This is large enough to show chemical exchange behaviour in the environment. If as area on the order of a few kilometres square is used, local sources and wind patterns will dominate and a dispersion model should be used. One can consider two extremes of scale, for very small areas losses are entirely dominated by advection but for the entire globe degradation losses dominate. Degradation and advection losses become equal when the reaction half-life is similar to the advection residence time. The smaller value dominates since it reflects a faster process.

In the Level I calculations, the partitioning properties of a chemical are used to show the environmental media in which it will tend to be present. The term “realistic presence” has been used to designate an amount of at least 5% in an environmental medium (Webster et al 2004a; Woodfine and Mackay 2001; Environment Canada 2003). A multimedia chemical is realistically present in more than one environmental medium. For single-medium chemicals, i.e., chemicals with a realistic presence in only one medium, multimedia models are neither needed nor useful. The fate of a single-medium chemical is limited to processes occurring solely in that medium (advection and degradation). Multimedia models should only be used if the chemical is realistically present in at least two environmental media.

The “realistic presence” concept has been suggested as a rational method of avoiding demands for data which prove to be of little or no value. If a chemical is present only to the extent of say 2% in a medium it is unlikely that the overall fate of the chemical, especially its persistence, will be affected by rates of degradation in that medium. It can thus be argued that no degradation rate data are needed and a zero degradation rate (infinite half-life) can be assumed. The 5% cut-off has been established by experience but is somewhat arbitrary. (Woodfine and Mackay 2001, Webster et al 2004a).

In the Level II calculations, the partitioning and degradation properties of a chemical are combined to give a first estimate of the relative importance of each removal process, and the longevity or persistence (P) and long-range transport potential (LRT) of the chemical. While current Level II models do not explicitly calculate LRT it can be calculated manually as the product of an assumed wind speed (km/h), the overall residence time of the chemical (h), and the ratio of the amount in air

to the total amount in the system. The values of P and LRT should be considered in the context of the values for ranking against other chemicals. The absolute value P and LRT calculated by these models are not meaningful.

For multimedia chemicals that are not realistically present in at least two media as identified in the Level I calculations, the same simplifying assumptions regarding the degradation data requirements for Level II calculations may be made. For example, if a chemical does not partition appreciably into air, the degradation half-life in air can be assumed to be infinite, i.e., assume no degradation of the chemical in a medium in which it is not present. This assumption represents a reduction in the data requirements while having a minimal impact on the model results (Woodfine and Mackay 2001, Webster et al 2004a, Environment Canada 2003).

In a Level III calculation, the partitioning and degradation properties of the chemical are combined with intermedia transport process information. In the absence of the equilibrium assumption, the relative emission rates to each environmental medium becomes essential information because the results are often strongly dependent on the mode-of-entry. It is common practice among researchers, when these relative emission rates are not known, to perform a separate Level III calculation for a unit emission into each potential receiving medium and focus on the worst-case scenario. Thus if there are three potential receiving media, the calculations are performed three times, once for each medium as receiving a unit emission. The results of the three scenarios are compared and the assessor can then focus on the worst-case, e.g., the unit emission to air. Identifying the worst-case scenario will require a knowledge of the target organism and exposure routes. Of course, if emission mode-of-entry data are available they should be used.

From the Level III model and using the evaluative environment the relative importance of each transport and removal process can be ascertained for the chemical and emission scenario under investigation. The importance of each process is chemical and emission scenario dependent and can not be determined in a general way. Consider for example, a chemical with a high K_{OA} emitted to air. This chemical will sorb strongly to aerosols and wet and dry aerosol deposition will be important. Rain rate will be important as it affects the rain scavenging of this aerosol-associated chemical. The dissolved fraction of the rain deposited fraction of chemical will be relatively less important and depends on the K_{AW} of the chemical.

Level III calculations also provide values for P and LRT. When the relative emission rates to each environmental medium are known, this represents an improvement on the estimate provided by the Level II calculations. It is not yet clear that there is any benefit from these estimates of P and LRT where the relative emission rates are not known, at least with some degree of confidence. The value of LRT is, again, not meaningful in an absolute sense but should be compared to the values for other chemicals to provide a ranking. The value of P, while meaningful, may also usefully be compared between chemicals.

Finally, Level III calculations using an evaluative environment can be compared to monitored concentration data. This must be done with some care and acknowledging the inherent error that will be caused by the properties of the evaluative environment not matching those of the region where

the data were collected. There are some advantages to this pseudo-regional modelling. First, monitoring data from a variety of regions can be combined. For example, much of the northern US, southern Canada, and western Europe all have somewhat similar environments. Thus when monitoring data are sparse, data from all of these regions can be combined and the model results compared to the range of monitored values. It is of particular interest to compare the relative concentrations, that is, if the monitored concentration in the soil samples is ten times that of the water samples, is this seen in the model results? This type of comparison may point to selective sampling where only locations of high concentration need be monitored.

3.5.3 Stage 4: Regional or far-field evaluation

Regional or far-field evaluations with region-specific environmental properties provide the first set of concentrations for direct comparison to monitoring data collected in a specified area such as Southern Ontario, or Northern BC.

The ChemCAN model was developed for this purpose and contains a database of properties for 24 regions of Canada. For consistency and comparison the database also contains the standard EQC environment.

As for an evaluative assessment, a region should be defined as an area large enough that wind dispersion does not dominate to the exclusion of all other information. Local hot spots are not treated. It is important when defining a region for this stage in the process to specify an area large enough that advective flow-through will not dominate the chemical removal processes such that all intermedia and degradation effects are obscured. In ChemCAN the Canadian regions range in size from 2176000 km² for the Arctic and North Nunavut region to 80190 km² for the B. C. - South Pacific Maritime region. This is large enough to show chemical exchange behaviour in the environment. If an area on the order of a few kilometres square is used, local sources and wind patterns will dominate and a dispersion model should be used. A region should not be defined with an area of less than about 100 km by 100 km, or 10000 km².

Using too large an area will also lead to erroneous results as the assumption of a single well-mixed air compartment will be violated. As a general rule, the area should not be greater than about 1000 km by 1000 km or 1000000 km². Larger areas should be sub-divided and each section considered separately with wind and river currents acting as transport mechanisms between the sections.

Cautionary Note:

Defining an area less than 10000 km² or greater than 1000000 km² in a regional model may not give meaningful results.

A regional model calculates the average conditions over the region since each environmental medium is treated as a single, well-mixed box and thus has only one concentration for the whole region. These models are not suited to treating point-source releases. Dispersed contaminant sources such as vehicle emissions, releases from consumer products, and historic discharges of persistent contaminants may be understood using a regional-scale model.

Cautionary Note:

Regional models are not suited to treating point-source emissions (e.g. an industrial stack) unless there are numerous point sources (e.g. household chimneys). The model may not give meaningful results.

For a regional model, the relative emission rates to each environmental medium are not sufficient. The absolute discharge rates to each should be known. If these rates are unknown or uncertain but good monitoring data are available, a unit emission with the correct relative emission rates may be used. By scaling the results to obtain the concentration in the best characterized environmental medium the estimated emissions may be compared with reported emissions.

Cautionary Note:

Sampling programs, especially for environmental media such as soil or sediment give only the conditions found locally and are often located in areas suspected of being contaminated and thus may reflect a higher than average concentration of contaminant.

Global modelling is a further extension of the far-field model and is discussed later.

3.5.4 Stage 5: Local or near-field evaluation

Local or near-field models are useful for focussing attention on areas known to have higher than average concentrations of the chemical of interest, or the immediate effect of a local point-source such as a production plant. Local scale models typically treat areas of less than a few kilometres square. Examples of such near-field models are atmospheric dispersion models, water quality models for rivers and lakes, soil fate models (as routinely used in pesticide registration and regulation), and groundwater models.

Cautionary Note:

Defining an area greater than 1000 km² or less than 30 km² in a local-scale model may not give meaningful results.

Local-scale abiotic evaluation

Included in this category of model are the lake model QWASI, and the agricultural field model BASL4 (described in a companion report, Hughes et al 2005). Dispersion models may also be local-scale models, however, these are single medium models and therefore are the domain of other researchers outside the CEMN.

Local-scale biotic evaluation

Included in this category of models are various types of mathematical relationships used to quantify chemical transfer from the abiotic environment into biota such as plants and animals. Several types of bioaccumulation models are described including empirical models, mechanistic models, physiologically-based pharmacokinetic models (PBPK) and food web bioaccumulation models. Empirical models are typically derived by fitting a mathematical relationship to empirical data. For example, quantitative structure-activity relationships (QSAR) provide estimates based on the physical chemical property of the chemical (e.g., K_{ow}). Mechanistic bioaccumulation models include equations that quantify the mass balance of chemical uptake and elimination in a single organism. These models generally consider an organism as either a single compartment (i.e., whole body) or two compartments (i.e., body and gastrointestinal tract). Bioaccumulation models have been developed and parameterized for a number of species including zooplankton, invertebrates, marine mammals, terrestrial mammals, amphibians and birds as well as various plant species ranging from algae to agricultural crops and forests. PBPK models are further developed mechanistic models that include multiple compartments within an organism (e.g., brain, liver, kidney, muscle etc). Thus PBPK models can provide estimates of chemical concentrations in specific tissues as distinct from a whole body concentration. They require significantly more organism-specific information (e.g., tissue perfusion and blood flow rates) than the less detailed bioaccumulation models. For many chemicals and organisms equilibrium within the organism occurs quickly and techniques such as lipid normalization can provide tissue based estimates in the absence of data required for PBPK models. Food web bioaccumulation models consist of a collection of single organism bioaccumulation models that are interconnected by feeding relationships reflecting those observed in the natural environment.

Cautionary Note:

Most bioaccumulation models have been developed for poorly metabolized non-ionic organic chemicals. For chemicals that are metabolized, reliable estimates of the metabolic transformation rate are required. There is greater uncertainty in model predictions for ionizing chemicals. Metals should be modelled using appropriate metal bioaccumulation models. These require metal-specific parameters.

Stage 6: Risk evaluation

A full treatment of risk evaluation is beyond the scope here but it generally involves comparison of a calculated or measured concentration with a concentration known to cause an adverse effect. The ratio of these concentrations is often referred to as a risk quotient or a PEC/PNEC (predicted effect concentration/predicted no-effect concentration) ratio. This quotient or ratio should be as small as possible.

3.6 Other models addressing specific issues

3.6.1 Global modelling

In some circumstances, a model calculation of a chemical's fate on a global scale may be required. This is generally only of interest for substances of high environmental persistence and mobility. One of the advantages of a global modelling approach is that no spatial boundary conditions need to be specified (e.g. there is no need to specify possibly highly uncertain concentrations in media advected into the model region). For virtually all chemicals the global environment can be considered a closed system. Also, as there is only one global environment, model users can typically rely on a default environmental scenario. However, when simulating the real fate of a chemical on a global scale, global emission information is required, which is often difficult to obtain. A variety of global modelling approaches for persistent organic chemicals have been presented (Scheringer and Wania, 2003).

3.6.2 Groundwater

New substances that are water soluble, have low sorptive capacity and are released directly to soil at depth from a use activity such as oil and gas exploration, may come into contact with groundwater and be transported to surface water bodies via groundwater recharge. This is not expected to be a common occurrence for new substances in Canada since relatively few new substances fit the above description. However, a simplified groundwater transport model that assumes a homogeneous soil and other default transport parameters (e.g., hydraulic conductivity) can be used to model a generic situation in which a water soluble compound is released directly to soil in the vicinity of a surface water body (e.g., wetland). This model should be viewed as a first cut and may require more site specific information on soil and groundwater conditions, if available.

In 1996, the Canadian Council of Ministers of the Environment's (CCME's) Subcommittee on Environmental Quality Guidelines for Contaminated Sites developed a generic groundwater transport model to estimate the potential for soil contaminants to impact surface water bodies via groundwater recharge (Appendix C and D, CCME 1996). The model is worked backwards so that a new substance risk assessor can input the Critical Toxicity Value or CTV (formerly called the Concentration of Concern) and back calculate the concentration in groundwater that would cause an exceedence of the CTV in a surface water body recharged by the groundwater.

$$Y = DF \times CTV(K_d + \theta_m)$$

where,

Y = critical soil concentration (mg/kg)

DF = dilution factor (default = 50)

CTV = critical threshold value (mg/L)

K_d = soil-water partition coefficient

θ_m = soil moisture content (default = 41%
from Level III model)

The general equation of the model is given below.

The model is presented in detail in Appendix G and requires information on the physical-chemical properties of the new substance, particularly the K_d . The risk assessor should check to determine if application of the groundwater model is appropriate. Site-specific circumstances may dictate that a groundwater transport pathway is not of concern at a particular site. For instance, it should be known if there is a surface water body within a relatively short distance of where the new substance is released to the sub-soil compartment.

In the ChemCAN model (version 6.00) an estimate is made of groundwater concentration as follows. The soil pore water concentration is calculated, then it is assumed to be reduced in the aquifer by a factor $(1 + K_{ow}/500)$. For example, if K_{ow} is 500 then the estimated groundwater concentration is half the pore water concentration. This should be regarded as only an approximate screening level calculation to obtain an order of magnitude value for the level of contamination of groundwater.

3.6.3 Global warming potential

In Canada's National Action Program on Climate Change, Canadian Federal, Provincial, and Territorial Governments identified climate change as a major sustainable development issue. Canada was one of over 180 countries to sign the Framework Convention on Climate Change at the United Nations Conference on Environment and Development in Rio de Janeiro in June 1992. Canada ratified the Convention on March 21, 1994 and is working on its current commitment to stabilize greenhouse gas emissions at 1990 levels by the year 2000.

Global warming potential (GWP) is the ratio of the warming caused by a substance to the warming caused by a similar mass of carbon dioxide over a period of time. While any time period can be selected, the 100 year GWPs recommended by the IPCC and employed by the United States for policy making and reporting purposes were used in this report (IPCC 1996). The GWP of CO₂ is defined to be 1.0. CFC-12 has a GWP of 8,500, while CFC-11 has a GWP of 5,000. Various HCFCs

and HFCs have GWPs ranging from 93 to 12,100. Water, a substitute in numerous end-uses, has a GWP of 0. A table of GWPs estimated for various greenhouse gases can be found at:

[http://yosemite.epa.gov/OAR/globalwarming.nsf/UniqueKeyLookup/LHOD5MJTD8/\\$File/2003-final-inventory_annex_s.pdf](http://yosemite.epa.gov/OAR/globalwarming.nsf/UniqueKeyLookup/LHOD5MJTD8/$File/2003-final-inventory_annex_s.pdf)

More detailed information on the estimation of GWP using simple climate models is described by the Intergovernmental Panel on Climate Change (IPCC) in a series of technical papers on climate change (IPCC 1997).

Typically, the GWP of a new substance will be provided by the Notifier (when appropriate) based on 20, 100 and 500 year estimates. The atmospheric lifetime of the substance should also be provided based on model calculations. Many GWP estimates for new substances will be provided for HFCs, HFEs, HCFCs, and PFCs. These estimates can be used to evaluate the potential for global warming based on the intended use and release of the substance. The substance may regulatory control solely based on its GWP.

3.6.4 Ozone depletion potential

In 1987 Canada and other countries signed the Montreal Protocol on Substances that Deplete the Ozone Layer. The Protocol in essence, describes the phase out of CFC and other ozone depleting substances by countries that ratified the protocol. The ozone depletion potential (ODP) is the ratio of the impact on ozone of a substance compared to the impact of a similar mass of CFC-11. Thus, the ODP of CFC-11 is defined to be 1.0. Other CFCs and HCFCs have ODPs that range from 0.01 to 1.0. The halons have ODPs ranging up to 10. Carbon tetrachloride has an ODP of 1.2, and methyl chloroform's ODP is 0.11. HFCs have zero ODP because they do not contain chlorine.

The ODP potential of a substance suspected of having ODP (e.g., contains chlorine, bromine and no hydrogen) should be specified by the Notifier or if not, should be obtained from the Notifier. However, because of the phase out of ozone depleting substances under the Montreal Protocol, chemical companies in Canada are seeking replacements to OD substances. As a result, it is not likely that many new CFC-type substances will be notified in Canada. Rather, replacements such as HFCs, HFEs, HCFCs will be more common. These substances have low ODP but high GWP.

More information on the estimation of ODP can be found in the Scientific Assessment of Ozone Depletion by the World Meteorological Organization (WMO) (WMO 1998).

3.6.5 Chemical Space Diagrams

When assessing chemical behaviour it is often useful to identify the chemical's location on a chemical space diagram (Gouin et al., 2000; Meyer et al., 2005). Two such diagrams are in current use. First is a plot of $\log K_{AW}$ vs $\log K_{OW}$ as shown in Figure 4a. If the partition coefficients are internally consistent (Cole and Mackay, 2000; Beyer et al., 2002), i.e. if the effect of the mutual solubility of water and octanol on the chemical's solvation in water and octanol is neglected, then the diagonals shown in Figure 4a correspond to lines of constant K_{OA} or K_{OW} / K_{AW} . Second is a plot of $\log K_{AW}$ vs $\log K_{OA}$ as shown in Figure 4b. The diagonals correspond to lines of constant K_{OW} .

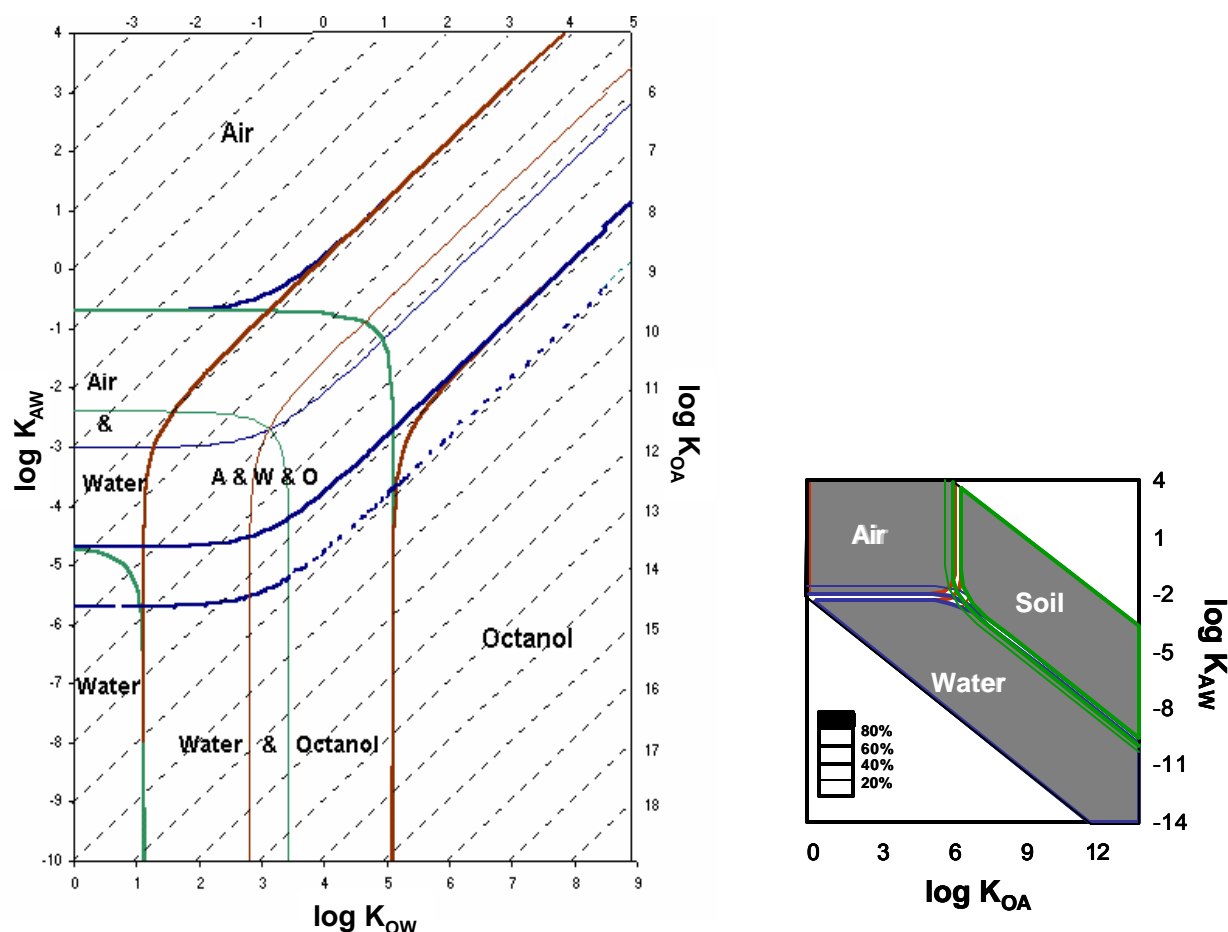


Figure 4: Chemical space diagrams (a) is the $\log K_{AW}$ vs $\log K_{OW}$ plot; (b) is the $\log K_{AW}$ vs $\log K_{OA}$ plot

By placing the chemical on such a plot it becomes apparent which environmental compartment, or compartments if the chemical partitions equally between two or more compartments, will likely be important in assessing the chemical fate of the substance. In this method an equilibrium steady-state mass balance model (Level I) is used to identify into which environmental compartment a substance

will most likely partition. A useful simplification is to view the environment (for partitioning purposes) as volumes of air, water, and octanol. The octanol represents organic matter in soil and sediment. The environment is divided into compartments of air, water and octanol, where the octanol compartment represents the organic carbon content associated with surface media, commonly represented by the soil and sediment compartments. For example the partitioning between water and organic carbon, K_{OC} , can be estimated as $0.35 \times K_{OW}$ (Seth et al., 1999).

Knowing the volume ratios of each environmental compartment, as well as the partition coefficients, K_{AW} and K_{OW} , it is possible to determine the mass fraction, F , of a chemical in each medium by

$$F_i = (V_i K_{iW} / (K_{WW} V_W + K_{AW} V_A + K_{OW} V_O))$$

where the subscript i is air, water or octanol, K_{WW} is 1.0 and V is the volume. The volume ratios for air:water:octanol used by Gouin et al. (2000), and adopted here, are respectively 650 000:1300:1. This method is useful for identifying where a substance will partition, which is useful for identifying the environmental half-lives that are most likely influencing the overall persistence of the substance, as described by performing a Level II calculation. For instance, if a substance is found to have a mass fraction in a particular compartment that is greater than 99%, then it is likely that the half-lives for the other environmental compartments will have a negligible influence on the overall persistence, overall, and can therefore be ignored.

It is important to note that the mass fraction of a substance for a particular environmental medium, as determined using the equation shown above, will be strongly influenced by the volume fractions used. Thus, the partitioning behaviour of a substance will largely depend on the environment into which it is emitted, and may vary from region to region. Also notable, is the temperature dependence of the partition coefficients, K_{AW} , K_{OW} and K_{OA} . Typically, the position of a chemical substance in the chemical space will shift to the lower right in Figure 4a in response to decreasing temperatures (Beyer et al., 2003).

As an illustration of the use of these diagrams we describe below their use in calculating the Arctic Contamination Potential.

The Globo-POP model (which is described in detail later) has been used in the context of predicting which chemicals have the potential to reach and accumulate in the Arctic environment. An indicator, termed Arctic Contamination Potential (ACP) was defined as the ratio of a chemical present in Arctic surface media relative to the total globally emitted (eACP) or accumulated (mACP) amount of a chemical (Wania, 2003, 2004). The eACP can be interpreted as indicator of LRT, which is independent of the emission amount and which can be compared directly with simpler LRT indicators such as the characteristic travel distance or the spatial range. The mACP on the other hand is indicating what fraction of the total global inventory is present in Arctic latitudes. Even for completely persistent substances eACP and mACP are not necessarily the same, because loss processes other than degradation may result in the total globally accumulated amount being smaller than the total globally emitted amount. This applies in particular to substances that sorb to suspended solids, which can be transferred to the deep sea or buried fresh water sediments (Wania, 2004).

The numerical value of the ACP quotients is dependent on the time and location of chemical release into the global environment. For comparative and predictive investigations it is therefore useful to use a standardized emission scenario. This generic emission scenario assumes that the zonal distribution of emissions mirrors the latitudinal distribution of the human population (Wania, 2003). It further assumes continuous steady emissions over a one (ACP1) or ten (ACP10) year time period. The eACP10 is most suitable for comparing chemicals in terms of their LRT behaviour, and is the form of the ACP that was used in intercomparison studies with other LRT assessment models (Fenner et al., 2005). Among the current LRT assessment methods, the ACP/Globo-POP approach is unique in taking into account the spatial and temporal variability of temperature on the globe. This has an impact both on chemical persistence (because of the zonal dependence of degradation rates) and gas phase-condensed phase distribution, which in turn affects air-surface exchange and thus transport and accumulation. Consequently, model intercomparison studies (e.g. Fenner et al., 2005) reveal that assessment results for both P and LRT potential by Globo-POP can deviate quite substantially from those of simpler assessment techniques. The Globo-POP approach also considers transport in both atmosphere and ocean, and can deal with different modes of emission. However, it is limited in terms of the partitioning properties of the substances that can be evaluated. Specifically, very volatile ($\log K_{OA} < 3$) and very water soluble substances ($\log K_{AW} < -5$) lead to model instability and can therefore not be simulated.

One approach to find the substances with highest potential for accumulation in remote cold regions seeks to identify the hypothetical combination of chemical distribution and degradation properties which lead to maximum ACP values. If one first neglect the influence of degradation properties, it is possible to first focus on the distribution characteristics. As in Globo-POP these are expressed in terms of K_{OA} , K_{AW} and K_{OW} , ACP results for perfectly persistent chemicals can be displayed in two dimension partitioning maps (Figure 5, Wania, 2003) as introduced earlier in this document.

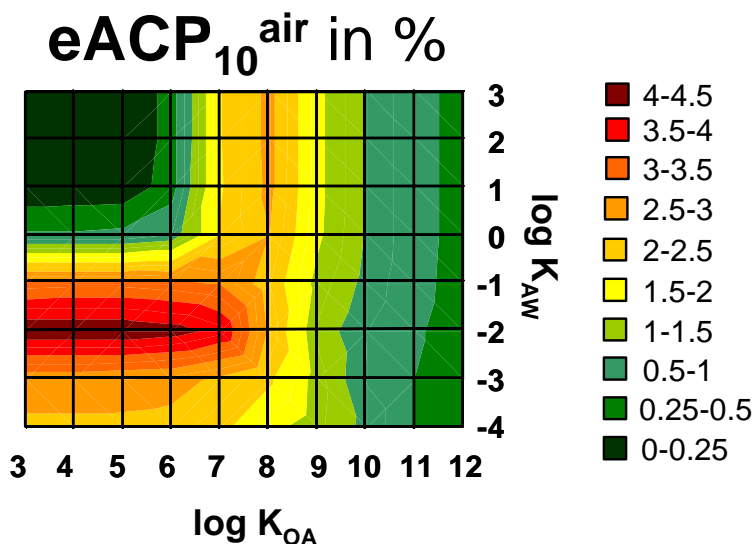


Figure 5: Arctic Contamination Potential

The ACP of a perfectly persistent organic chemical tends to be higher for two combinations of partitioning properties: relatively volatile ($\log K_{OA} < 9$) and water soluble ($4 > \log K_{AW} > -0.5$) substances and substances which are semi-volatile ($6.5 < \log K_{OA} < 10$) and relatively hydrophobic ($\log K_{AW} > -3$). Very volatile chemicals with $\log K_{OA} < 6.5$ and $\log K_{AW} > -0.5$ remain in the atmosphere even under Arctic temperature conditions, whereas very involatile chemical with a $\log K_{OA} > 10$ are efficiently and irreversibly deposited with atmospheric particles before reaching the Arctic (Wania, 2003).

As a result, the ACP can be rapidly estimated for any persistent chemical from its location on the chemical space diagram. It is believed that such diagrams can be used in other contexts in which partitioning properties are important.

4 CEMN MODELS

4.1 Model Development Process and Implementations

Model development is an ongoing and iterative process. Laboratory and field measurements lead to an understanding of processes of chemical transfer and transformation. The mental model resulting from this understanding is captured as a set of equations for the processes that are generally considered to be important. The physical representation of a mental model may take any one of a variety of forms, most often spreadsheets are used by the researchers as they develop their understanding of the processes individually and in context. The ease with which equations and parameters may be modified in a spreadsheet make it a very useful tool for model implementation during the development stages. Once the set of equations is established, “software” can be developed. Software is distinguished by its independent nature; it is complete in itself and does not require external software (such as Excel®) to operate. Good software development ensures model stability, the complete repeatability of results regardless of the user, and is contained in a functional framework. Ideally, the visual appearance of the model should coincide with the user’s mental model of the system being represented. While some CEMN models are in spreadsheet form, many are software.

4.2 Models Available

In this section, the currently available CEMN models are listed, and details given on selected models. The reader should consult the CEMN member websites (listed in Section 6) for updates. CEMN models are available only from the developers.

Table 7: List of available models

CEMC Models Currently Available (http://www.trentu.ca/cemc)				
	Version	Released	Model Format	Key Information; To answer questions of the type -
AirWater	2.00	2004	W	air-water exchange characteristics of a chemical based on its physical chemical properties and total air and water concentrations
	1	1991	B	
ChemCAN	6.00	2003	W	region-specific multimedia fate; database of Canadian regions; user may add new regions Q: Are the documented emissions sufficient to account for the measured concentrations of current chemical "B"?
ChemSCORER	1	2002	E	a rapid assessment of environmental hazard and ranks the chemical for P, B, T, and LRT against a set of reference chemicals

Format Codes: W = Windows software; E = Excel® spreadsheet; B = DOS BASIC

CEMC Models Currently Available (<http://www.trentu.ca/cemc>) - continued

	Version	Released	Model Format	Key Information; To answer questions of the type -
EQC	2.02	2003	W	evaluative multimedia fate; combines LI, LII and LIII calculations; facilitates a progressive understanding of a chemical's fate in a standard environment Q: In general terms, how will the (new) chemical "A" behave in the environment?
Fish	2	2004	W	single organism model treats the steady-state uptake and release of an organic chemical by a fish
	1	1991	B	
Foodweb	1	1991	B	contaminant flux through an aquatic foodweb
Generic	1	1991	B	early version of EQC
Level I	3.00	2004	W	multimedia partitioning
Level II	2.17	1999	W	multimedia partitioning and fate, assuming equilibrium
Level III	2.70	2004	W	multimedia partitioning and fate, assuming steady-state
Level I, II, III	1	1991	B	earlier version of the above set of software
QWASI	2.80	2002	W	Focus on aquatic environment (lake); aquatic fate, assuming single well-mixed waterbody and steady-state
	1	1991	B	Q: What is the concentration of chemical "C" in Dexe Lake?
RateCon	1	1991	B	designed to predict the fate and recovery times of contaminants in the Great Lakes; similar to QWASI but not using the fugacity concept
Sediment	2	2004	W	calculates the water-sediment exchange characteristics of a chemical based on its physical chemical properties and total water and sediment concentrations
Soil	2	2004	W	very simple assessment of the relative potential for degrading reaction, evaporation, and leaching of a pesticide applied to a surface soil
	1	1991	B	
STP	2.1	2004	W	engineered system sewage treatment plant
	1	1991	B	Q: What are the key removal processes for chemical "D" in a defined STP?
TaPL3	3.00	2003	W	Transport and persistence estimations P and LRT in multimedia environment, assuming steady-state Q: How do the persistence and LRT of the chemicals in this set compare?

Format Codes: W = Windows software; E = Excel® spreadsheet; B = DOS BASIC

CEMC Models Currently Available (<http://www.trentu.ca/cemc>) - continued

	Version	Released	Model Format	Key Information; To answer questions of the type -
PBPK	1	2003	E	Physiologically-Based Pharmacokinetic model, describes disposition of contaminants within the human body
Multi-species	1	2003	E	fate of a chemical with up to 3 other chemical species (reversible or irreversible conversion)
High resolution Multi-species	4.1	2003	E	multi-species chemical, more highly resolved and segmented environment

Format Codes: W = Windows software; E = Excel® spreadsheet; B = DOS BASIC

Wania Models Currently Available (<http://www.utsc.utoronto.ca/~wania>)

All are Windows software in format.

	Version	Released	Key Information
LSER-Level III	1	2003	calculates Z-values using linear solvation energy relationships (LSERs) rather than partitioning properties such as water solubility, vapour pressure, K_{ow}
Cozmo-POP	1	2000	dynamic multimedia fate and transport model describes the long-term fate of POPs in a coastal environment or the drainage basin of a large lake
Cozmo-POP 2	2	2005	increased and flexible spatial resolution of the aquatic environment and the improved description of the sediments and the processes associated with sediments
Globo-POP	1	1995	glo

ERTG Models Currently Available (<http://www.rem.sfu.ca/toxicology/>)

All are Excel® spreadsheet format.

	Version	Released	Key Information
BAF-AqWeb-Site	1	1993	site-specific aquatic food web bioaccumulation
BAF-AqWeb-Site	2	2004	site-specific aquatic food web bioaccumulation
BAF-AqWeb-QSAR	1	2003	generic aquatic food web bioaccumulation

Cautionary Note:

The entries in the chemical databases included with most software models listed here can be modified therefore it is the responsibility of the user to ensure that the values are correct by consulting a reference such as that of Mackay et al. (2000).

4.2.1 Choosing a model

When choosing the model for a particular task the parameters of the task, the expectations, and the acceptability of assumptions must be considered.

For general assessments not specific to a particular location, models such as EQC are helpful for gaining a general impression of the likely behaviour of a substance. These models are most useful for chemicals that are multimedia in nature, i.e., realistically present in all environmental media.

In chemical assessments, for single-medium substances, i.e., substances with a realistic presence in only one environmental medium, a model with a detailed description of processes in that medium should be used to understand the likely behaviour of the substance. For example, a very volatile substance with a low K_{OA} and a high K_{AW} is likely to be present only in air. For such a substance a dispersion model will provide an estimate of concentrations in the air at various distances from the source. For such a substance, a multimedia model such as EQC which gives an average concentration in air over a large area, would require more input information without providing the spatial detail available from the dispersion model.

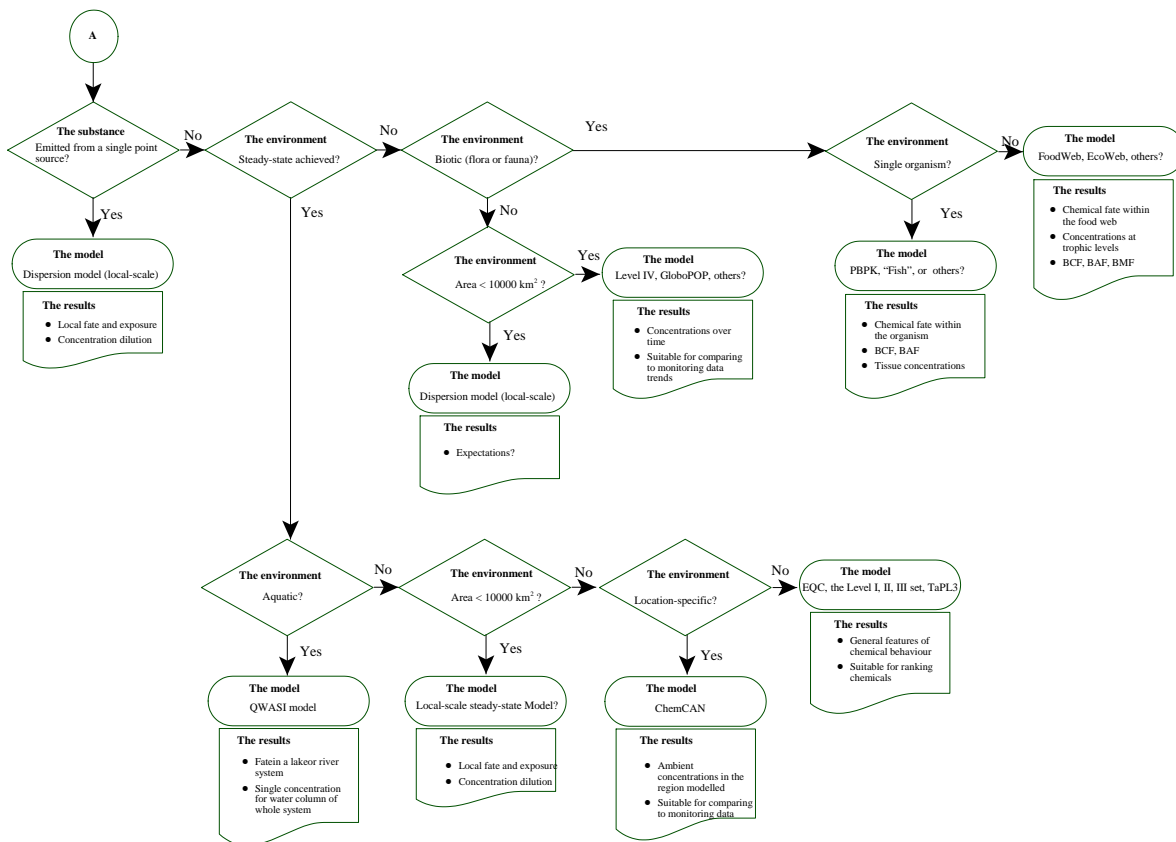
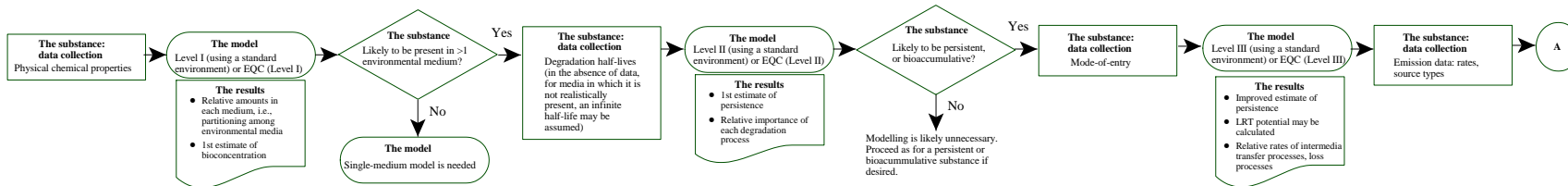
For a discharge to a river or lake, a water quality model such as QWASI gives an estimate of average concentrations in the water and sediment. Again, this is more useful in this case than a model such as EQC. If the substance being discharged is very volatile, QWASI gives an estimate of volatilization but assumes a fixed (user-specified) concentration in the air. To better determine the concentration in the air, a model which calculates this value must be used.

Some guidance is provided with each model as to the original intended uses of the model. Using a model for a purpose other than that for which it was designed may cause it to give mis-leading results and should be avoided. A careful examination of the equations in a model can assist in determining the appropriateness of a model for a purpose.

Some models such as Level III, EQC, TaPL3, and ChemCAN contain many of the same equations; they all contain Level III calculations. The differences are the result of a progressive increase in our understanding of environmental processes, the intended uses of the model, and the needs of the project(s) underway during the development of the model. The Level III model is a general implementation of the Level III calculations and can be made to mimic many of the results from the other three. However, it is easier to use ChemCAN, for example, when modelling chemical fate in Southern Quebec.

Figure 6 illustrates a suggested modelling process and decision tree that the user may find helpful.

Modelling Process and Decision Tree



4.3 Details on Selected CEMN Models

4.3.1 Level I Model (Version 3.00)

Model Overview

A Level I simulation is of the equilibrium distribution of a fixed quantity of conserved (ie. non-reacting) chemical, in a closed environment at equilibrium, with no degrading reactions, no advective processes, and no intermedia transport processes (e.g. no wet deposition, or sedimentation). The medium receiving the emission is unimportant because the chemical is assumed to become instantaneously distributed to an equilibrium condition.

Physical-chemical properties are used to quantify a chemical's behaviour in an evaluative environment. Three types of chemicals are treated in this model: chemicals that partition into all media (Type 1- excluding ionizing chemicals), involatile chemicals (Type 2), and chemicals with zero, or near-zero, solubility (Type 3). Details on chemical Types are given in Section 3.5.1.

Level I assumes a simple, evaluative, closed environment with user-defined volumes and densities for the following seven homogeneous environmental media (or compartments): air, water, soil, sediment, suspended particles, fish and aerosols.

If one of the environmental media, soil for example, is removed by reducing its volume to zero, then the chemical will partition among the remaining media. That is, the amount of chemical, expressed as a percentage of the total dose, will increase in each of air, water, sediment, suspended particles, fish and aerosols. The total amount of chemical remains the same. A feature of the model is that even with a zero volume of a medium, soil in this example, a concentration is still calculated for the zero volume medium. This is the concentration the medium would have if it were present. This is possible since equilibrium is assumed and thus the fugacity of the medium is known and the concentration is the product of the fugacity, f , and the fugacity capacity, Z , of the medium which is independent of the presence of the medium.

The required model input data and a list of results are given in Table 8.

A complete description of the Level I calculations is given in the textbook on fugacity models by Mackay (2001).

Intended Uses

This model is useful for establishing the general features of a new or existing chemical's behaviour. A Level I calculation gives the general impression of the likely media into which a chemical will tend to partition and an indication of relative concentrations in each medium and between sub-compartments in each medium. For example, a Level I calculation can be used to investigate the phase distribution of organic chemicals in the atmosphere at equilibrium, that is the relative distribution between gas phase, atmospheric particles, and water droplets. The results can again be displayed in partitioning space maps as discussed in Section 3.5.1 Similarly, Level I calculations can be used to investigate the equilibrium phase distribution within a soil (i.e. between the soil organic

matter, and pore air and pore water). The results of changes in chemical and environmental properties may be explored. This model is not suited to local-scale assessments such as the area around a stack.

Table 8: Level I input data and results

Chemical Properties:
chemical name, molar mass, data temperature
Type 1 chemicals (excluding ionizing substances)
water solubility, vapour pressure, log K_{ow} , melting point
Type 2 and 3 chemicals
partition coefficients

Environmental Properties:
volumes and densities for all seven media
organic carbon content for soil, sediment, and suspended particles
fish lipid content

Emissions:
chemical amount

Model Output:
partition coefficients
Z values
fugacity of the system
relative concentrations and amounts for each compartment
a summary diagram

Cautionary Note:

The concentrations and amounts reported by the Level I model are proportional to the arbitrary quantity of chemical introduced into the system. Therefore, the absolute values should not be used but rather the values relative to each other and the total amount, specifically, the amount as a % of the total quantity of chemical.

4.3.2 Level II Model (Version 2.17)

Model Overview

A Level II simulation describes a situation in which a chemical is continuously discharged at a constant rate and achieves a steady-state and equilibrium condition at which the input and output rates are equal. Degrading reactions and advective processes are the loss or output processes treated. Intermedia transport processes (eg. no wet deposition, or sedimentation) are not quantified. The medium receiving the emission is unimportant because the chemical is assumed to become instantaneously distributed to an equilibrium condition.

Physical-chemical properties are used to quantify a chemical's behaviour in an evaluative environment. Three types of chemicals are treated in this model: chemicals that partition into all media (Type 1- excluding ionizing chemicals), involatile chemicals (Type 2), and chemicals with zero, or near-zero, solubility (Type 3). Level II assumes a simple, evaluative environment with user-defined volumes and densities for the following homogeneous environmental media (or compartments): air, water, soil, sediment, suspended particles, fish and aerosols. "Fish" is a generic aquatic biotic organism.

Three residence times are calculated, an overall value, T_O , and individual residence time attributable to reaction only, T_R , and advection only, T_A . Note that $1/T_O$ equals the sum of $1/T_R$ and $1/T_A$. The relative contribution of advection and reaction to the total removal of chemical depends strongly on the size of the system as noted in Section 3.5.2. However, consideration of advection and reaction rates allows for the calculation of chemical persistence. It provides a first estimate of overall environmental persistence, which is a critical property of the chemical. It also shows which loss processes are likely to be most important. A fast reaction or short half-life may not be significant if relatively little of the chemical is subject to this reaction by virtue of its partitioning. The potential for the chemical to be subject to long-range atmospheric transport is also indicated by the magnitude of the air advection loss. While this version of the Level II model does not explicitly calculate LRT it can be calculated manually as the product of an assumed wind speed (km/h), the overall residence time of the chemical (h), and the ratio of the amount in air to the total amount in the system as was done for the collaboration with Fenner et al (2005) . The global chemical persistence is best indicated by the reaction residence time, whereas the local persistence is indicated by the overall residence time.

Note that in this version, reaction half-lives are requested for all seven media. In previous versions reactions in only four media were treated. In the absence of data, the half-lives for suspended particles and fish can be assumed to be equal to that of the water, and that of aerosols can be assumed to be equal to that of air.

The advective residence time selected for air applies to aerosols and the residence time for water applies to suspended sediment and fish. The advective residence time of aerosols, suspended particles and fish cannot be specified independently of the air and water residence times. These residence times are the time that the air, for example, spends in the modelled system. It is related to the prevailing wind direction and the distance across the region in that direction. Since only the

area of the region is specified in the model, the residence time is not automatically adjusted by the software when the area is changed by the user. When the total area of the region is reduced and the residence time is retained, the flow rate of the air (volume/residence time) is reduced. The D value for this loss process (flow rate \times Z value) is also reduced. The fugacities and concentrations all increase. Since the rates of loss are the product of the fugacity and the D value the increase in the fugacity is matched by the decrease in D value, no change is seen in the rates of loss. Similarly amounts of chemical, being the product of concentration and volume, are unchanged.

Cautionary Note:

These advective residence times do not represent dilution. The air and water are each considered to be well-mixed boxes and thus there is no dilution effect with distance. Advection is a removal process, physically moving the contaminated air or water into the adjoining region.

A Level II calculation is more realistic than a Level I calculation but requires additional information. The additional model input data and a list of results are given in Table 9.

A complete description of the Level II calculations is given in the textbook on fugacity models by Mackay (2001).

Intended Uses

This model is useful for establishing the general features of a new or existing chemical's behaviour. A Level II calculation gives an indication of the likely media into which a chemical will tend to partition and an indication of relative concentrations in each medium. The distribution between media is the same as in Level I. The results of changes in chemical and environmental properties may be explored. This model is not suited to local-scale assessments such as the area around a stack.

Table 9: Additional input data required by Level II and the additional results generated.

Chemical Properties:
reaction half-life estimates for all seven media
Environmental Properties:
advective flow residence time for air (including aerosols)
advective flow residence time for water (including suspended particles, fish)
advective flow residence time for sediment burial
Emissions:
chemical input rate
inflowing concentrations in air and water

Model Output:

D values
reaction and advection loss rates
chemical residence times (overall, reaction, and advection)

Cautionary Note:

As for Level I, the concentrations and amounts reported by the Level II model are proportional to the arbitrary emission rate. Therefore, the absolute values should not be used but rather the values relative to each other and the total amount. Specifically, of interest is the amount as a % of the total quantity of chemical and the relative importance of the loss processes.

4.3.3 Level III Model (Version 2.80)***Model Overview***

A Level III simulation describes a situation which is one step more complex and realistic than the Level II model. Like the Level II model, chemical is continuously discharged at a constant rate and achieves a steady state condition in which input and output rates are equal. The loss processes are degrading reactions and advection. Unlike the Level II model, equilibrium between media is not assumed and, in general, each medium is at a different fugacity. A mass balance applies not only to the system as a whole, but to each compartment. Rates of intermedia transport are calculated using D values which contain information on mass transfer coefficients, areas, deposition and resuspension rates, diffusion rates, and soil runoff rates. It is now essential to define inputs to each medium separately, whereas in Level II only the total input rate was requested. The additional model input data and a list of results are given in Table 8.

Mass balances are calculated for the four bulk media of air (gas + aerosol), water (solution + suspended particles + biota), soil, (solids + pore air + pore water), and sediment (solids + pore water). Equilibrium exists within, but not between media. For example, sediment solids and pore water are at equilibrium, but sediment is not necessarily at equilibrium with the overlying water.

Physical-chemical properties are used to quantify a chemical's behaviour in an evaluative environment. Three types of chemicals are treated in this model: chemicals that partition into all media (Type 1- excluding ionizing chemicals), involatile chemicals (Type 2), and chemicals with zero, or near-zero, solubility (Type 3). The model can not treat ionizing or speciating substances. The Level III model assumes a simple, evaluative environment with user-defined volumes and densities for the following homogeneous environmental media (or compartments): air, water, soil, sediment, suspended particles, fish and aerosols. "Fish" is a generic aquatic biotic organism.

A complete description of the Level III calculations is given in the textbook on fugacity models by Mackay (2001).

Intended Uses

This model is useful for establishing the general features of a new or existing chemical's behaviour. This model gives a more realistic description of a chemical's fate including the important degradation and advection losses and the intermedia transport processes. The distribution of the chemical between media depends on how the chemical enters the system, e.g. to air, to water, or to both. This mode of entry also affects persistence or residence time.

Three chemical residence times, are calculated, an overall value, T_O , and individual residence times attributable to reaction only, T_R , and advection only, T_A . Note that $1/T_O$ equals the sum of $1/T_R$ and $1/T_A$. While this version of the Level III model does not explicitly calculate LRT it can be calculated manually for an emission to air as the product of an assumed wind speed (km/h), the overall residence time of the chemical (h), and the ratio of the amount in air to the total amount in the system, or the TaPL3 model may be used. The global chemical persistence is best indicated by the reaction residence time, whereas the local persistence is indicated by the overall residence time.

The rates of intermedia transport are controlled by a series of twelve transport velocities. Reaction half-lives are requested for all seven media. The advective residence times are as described above for Level II.

The results of changes in chemical and environmental properties may be explored by modifying the input data. Incremental changes in input properties can be used to obtain a sensitivity analysis. This model is not suited to local-scale assessments such as the area around a stack.

Table 10: Additional input data required by Level III and the additional results generated.

Environmental Properties:
volume fractions and densities for all subcompartments
transport velocities
air side air-water mass transfer coefficient
water side air-water mass transfer coefficient
rain rate
aerosol deposition velocity (wet and dry combined)
soil air phase diffusion mass transfer coefficient
soil water phase diffusion mass transfer coefficient
soil air boundary layer mass transfer coefficient
sediment-water mass transfer coefficient
sediment deposition velocity
sediment resuspension velocity
soil water runoff rate
soil solids runoff rate

Emissions:
chemical input rates for each bulk medium or compartment inflow concentrations in air and water
Model Output:
fugacity of each medium intermedia transport rates and D values charts of key results

4.3.4 LSER-Level III

Model Overview

Breivik and Wania (2003) have developed a steady-state model, which differs with respect to the estimation of phase partitioning. Other Level III-type models require the input of physical chemical properties such as water solubility, vapour pressure, melting point, and K_{OW} . These input parameters are used to estimate such partition coefficients as K_{AW} , K_{OC} , and K_{QA} . Z-values for all environmental media are then derived from these partition coefficients.

The LSER-Level III model alternatively allows for the calculation of Z-values using linear solvation energy relationships (LSERs). These commonly take the form:

$$\log SP = c + rR_2 + s\pi_2^H + a\sum \alpha_2^H + b\sum \beta_2^H + vV_x \quad (35)$$

or

$$\log SP = c + rR_2 + s\pi_2^H + a\sum \alpha_2^H + b\sum \beta_2^H + l\log L^{16} \quad (36)$$

where SP refers to the phase partition property of interest. In general, equation (35) is commonly applied to processes involving two condensed phases, while equation (36) is typically applied for the distribution between the gas-phase and a condensed phase. These equations consist of product terms representing the properties of the chemical (solute descriptors) and the environmental media (system constants) - the latter as identified by lower-case Latin characters. Each product term thus represents the contribution of a specific intermolecular interaction to the overall partition property of interest.

The chemically specific solute descriptors in LSERs are the excess molar refraction (R_2 in $\text{cm}^3/10$), a dipolarity/polarizability term (π_2^H), the effective or overall hydrogen-bond acidity and hydrogen-bond basicity ($\sum \alpha_2^H$ and $\sum \beta_2^H$ respectively), as well as an indicator of solute size. LSERs of the type equation (35) use McGowan's characteristic volume V_x (in $\text{cm}^3 \text{mol}^{-1} / 100$), while

those of type equation (36) include the distribution constant for the chemical between the gas phase and n-hexadecane at 298 K ($\log L^{16}$). The coefficients (c, r, s, a, b, v and l) or system constants in equations 1 and 2 are defined by their complementary interactions with the solute descriptors. The r constant determines the difference in capacity of the two phases considered with respect to their n - or π -electron interaction with the chemical (solute). The s constant is a measure of the difference in capacity of the two phases to participate in dipole-dipole and dipole-induced dipole interactions with the chemical. The a and b constant express the difference between the two phases with respect to their hydrogen-bond acidity and hydrogen-bond basicity, respectively. Finally, the l and m constants are measures of the relative ease of the chemical to form a cavity in the two phases considered (i.e. the so-called cavity term).

In summary, whereas other Level III-type models require the input of a chemical's vapour pressure and water solubility, and K_{OW} , the LSER-Level III model requires the input of a chemical's LSER solute descriptors. Specifically, the use of the LSER-Level III model should be considered when:

- the validity of relationships in other Level III-type models is in doubt. This applies particularly to organic substances with polar functional groups that interact by hydrogen-bonds with various environmental phases (Breivik and Wania, 2003).
- if reliable experimental values of the "classical" physical-chemical partitioning properties ($P, S_w, K_{OW}, K_{AW}, K_{OA}$) are not available. The LSER solute descriptors, if not available from tabulations, can be estimated from molecular structure using quantitative structure property relationships (QSPR) (Platts et al., 1999).

4.3.5 EQC Model (Version 2.02)

Model Overview

The EQC, or Equilibrium Criterion, model uses chemical-physical properties to quantify a chemical's behaviour in an evaluative environment. This model combines the Level I, II and III calculations in a single model and treats the same three chemical Types but with a fixed environment to facilitate chemical-to-chemical comparison.

A more detailed description of the model is given in the three paper set by Mackay et al (1996a-c):

All of the chemical data required for a Level III calculation are required by EQC with the exception that the degradation half-lives for aerosols, suspended particles and fish are assumed to be equal to those for air and water respectively. Degradation data for these media are often unavailable making this a convenient model simplification.

The environmental parameters in this model may not be changed by the user. This focusses attention on chemical-to-chemical differences. In this version of the EQC model, unlike previous versions, all environmental properties are displayed and recorded with the model output. Only for the Level III calculations are the soil and sediment compartments sub-divided into solid, air, and water

fractions. The depth of the soil and sediment compartments for Level I and II calculations are reduced to simulate only the solid fraction. Thus the concentrations for these compartments should be considered as dry weight concentrations when comparing to Level III results.

A set amount of chemical and a set discharge rate are used in the Level I and II calculations in EQC. The user may specify the proportional emissions to air, water, and soil for the Level III calculation.

Intended Uses

This model is useful for establishing the general features of a new or existing chemical's behaviour, ie. the media into which the chemical will tend to partition, the primary loss mechanisms, and its tendency for intermedia transport. The result of various emission scenarios can be explored. This model is not suited to local-scale assessments such as the area around a stack.

4.3.6 ChemCAN Model (Version 6.00)

Model Overview

This far-field, Level III model contains a database of 24 regions of Canada. It estimates average concentrations in air, fresh surface water, fish, sediments, soils, vegetation, and marine near-shore waters. It is intended to assist in human exposure assessment where a specific target population may be identified. Designed for use in Canada, a database of 24 regions of Canada is included. Other regions can be defined by the user and added to the database, however, areas should have a radius of at least 300 km. For surface areas of a region it is not recommended going less than about 10000 km² (100x100 km) or larger than about 1000000 km² (1000 x 1000 km). For investigations involving smaller areas, air dispersion will dominate and a plume model may be more appropriate. If the user has data for the region of interest, this can be used in place of values in the database. Table 11 lists the environmental properties required.

The regional divisions of Canada were based on the ecozones identified by Environment Canada and with consideration of the distribution of population and industrial activity, political boundaries, drainage basins, and climate to give areas of sufficiently homogeneous ecological conditions such that meaningful assessments of chemical fate can be conducted.

Cautionary Note:

An "average" region is also included in the database. This should not be used for any chemical assessment. It is included to assist in any exploration of the range of results possible for different regions as was done by Webster et al (2004b). For a non-region specific evaluation, use the "EQC standard environment" included in the ChemCAN database with an emission proportional to the population density of southern Ontario compared to Canada as a whole. The releases will be different in each region and the fate will be different. Using the "average" region and an "average" emission will give a result unrepresentative of any region of Canada to a degree dependent upon the chemical.

To estimate upwind concentrations, an air (or water) inflow concentration of perhaps 25% of the output concentration can be selected from a “clean air” run of the model, and the model re-run with this estimate.

This model requires all of the same chemical property information as the Level III model, however, the user is given more options in entering the partitioning data for Type 1 chemicals. This is a matter of both convenience and allows a wider set of data to be mined for the best values. Any two of the three partition coefficients, octanol-water (K_{OW}), octanol-air (K_{OA}), or air-water (K_{AW}) are sufficient. This reflects the so-called three solubilities approach (Cole and Mackay 2000). If the user chooses to supply the air-water partition coefficient, it may be entered as the dimensionless partition coefficient K_{AW} , Henry’s law constant, or the water solubility and vapour pressure. The temperature dependence coefficients for the partition coefficients are also required for Type 1 chemicals. Thus, for Type 1 chemicals, the model is able to apply temperature corrections to the partitioning properties. For Types 2 and 3 these corrections must be made manually by the user. In addition, partitioning parameters for aerosols are required based on Finizio et al (1997) relationships. Only the four primary degradation half-lives are required.

The temperature dependence coefficients and the constants for the partitioning to aerosols estimation methods require data that may be difficult to obtain. Some “standard” values are provided, however these are based on a very limited data set. It is best to use chemical-specific values if possible. For more information, refer to the work of Beyer et al (2002) on temperature dependence and to the source of the partitioning to aerosols correlation (Finizio et al 1997).

Table 12 lists the emission data requirements for and results from ChemCAN.

A more complete description of this model is given by Webster et al (2004b).

Intended Uses

The ChemCAN model is intended to provide regionally-specific estimates of chemical concentrations in the primary media. These can be compared to monitoring data and used to estimate exposure. This model is not suited to local-scale assessments such as the area around a stack.

Table 11: Environmental properties required to define a region in ChemCAN.

dimensions of the environment

total surface area
% water cover by area
length of coastline for maritime regions
average air height
average water depth
average soil depth
average sediment depth
length of coastline
average coastal water depth
average coastal width
volume fractions and densities for all subcompartments

temperature conditions (winter, summer or annual average)
residence times for air, water, and coastal water
organic carbon content (soil, sediment, suspended particles) as a mass fraction
fish and vegetation lipid content as a mass fraction
a fitted, solids-water partition coefficient to calculate the sorption attenuation factor

transport velocities

air side air-water mass transfer coefficient
water side air-water mass transfer coefficient
rain rate
aerosol deposition (dry only)
soil air phase diffusion mass transfer coefficient
soil water phase diffusion mass transfer coefficient
soil air boundary layer mass transfer coefficient
sediment-water mass transfer coefficient
sediment deposition
sediment resuspension
soil water runoff
soil solids runoff
sediment burial
diffusion to higher altitudes
leaching from soil

rain and snow scavenging ratios

Table 12: Emission data requirements for and results from ChemCAN.

Emissions:
chemical input rates for each bulk medium or compartment inflow concentrations in air and water
Model Output:
partition coefficients Z values fugacity of each medium intermedia transport rates and D values reaction and advection D values and loss rates residence times (overall, reaction, and advection) concentrations and amounts for each medium a summary diagram

4.3.7 CoZMo-POP

Model Overview

CoZMo-POP 2 is a generic and flexible Level IV, i.e., dynamic, or unsteady-state, model that can be adapted to a variety of applications requiring dynamic approaches without the need to change the source code (Wania et al., submitted).

Even though the CoZMo-POP 2 was specifically designed as a dynamic multimedia fate and transport model for describing the long term fate of persistent organic pollutants (POPs) in a coastal environment or the drainage basin of a large lake, it is sufficiently generic and flexible to allow its use in a variety of contexts. The modelled environment, consisting of up to 19 compartments, includes the forest, soils and fresh water bodies of the drainage basin, and a variable number of sequentially arranged marine water units, representing estuarine, coastal, open and deep water environments. Two sediment compartments in each water basin allow to account for the possibility of the simultaneous occurrence of eroding and accumulating bottoms in a water basin. In order to allow the user flexibility in terms of the spatial resolution of the water body, it is possible to reduce the complexity of the aquatic system by eliminating all but one water and two sediment compartments. The model region could thus contain one (fresh water), two (fresh and estuarine water), three (fresh, estuarine and coastal water), or five water compartments. Additional simplification can be achieved by eliminating specific compartments (e.g. the forests, or soils) through defining artificially low compartment dimensions. The user may thus achieve a great degree of flexibility in terms of defining the environmental systems to be studied.

As the movement of organic chemicals in the environment is closely associated with the movement of air, water and organic matter, the model constructs complete mass budgets for air, water and particulate organic carbon (POC) between the model compartments from the environmental

parameters supplied by the user. This assures that these input parameters, which can be stored in a database, are all mutually compatible and internally consistent. In contrast to the fluxes of the contaminants, the fluxes of air water and POC are assumed to be constant in time, i.e. these three mass balances describe a steady-state situation. The model thus neglects the seasonality of the hydrological cycle (precipitation, evaporation, and riverine run-off), and of the cyclical nature of organic matter production and mineralisation in temperate environments. The CoZMo-POP 2 model, however, takes into account seasonably variable wind speeds, temperatures, canopy developments and OH-radical concentrations, and allows for the definition of time-variant emission scenarios.

The expressions for phase partitioning, intermedia transport and degradation build upon those from previous fugacity models, but some modifications have been made. Specifically,

- The description of the water-sediment exchange is based on the approach by Mackay et al. (1983, 1992), but the mass transfer coefficient for diffusive exchange across the sediment-water interface distinguishes between molecular diffusion in the water-filled pore space and bioturbative mixing.
- The description of air-water exchange is also based on Mackay et al. (1983, 1992), but mass transfer coefficients for air-water exchange are calculated from seasonally variable wind speed.
- The description of air-soil exchange builds upon the work by Jury et al. (1983) and Mackay and Stiver (1991), but includes the process of solid phase diffusion in the soil as advocated by McLachlan et al. (2002).
- The description of the terrestrial environment including the forest canopy compartments, and thus several fate processes such as atmosphere-canopy and canopy-forest soil exchange, is based on the work of Wania and McLachlan (2001).

CoZMo-POP 2 is an improved and expanded version of CoZMo-POP (Wania et al. 2000). Major differences from the earlier model are the increased and flexible spatial resolution of the aquatic environment and the improved description of the sediments and the processes associated with sediments. This earlier model has been used for studying the effect of forests on the environmental fate of organic contaminants (Wania and McLachlan, 2001) and for exploring the effect of sorbed phase transport in soil (McLachlan et al. 2002). These studies exemplify one of the potential uses of a dynamic model such CoZMo-POP, namely the detailed investigation of a particular fate process using generic or hypothetical, but realistic environmental scenarios. The aim of such studies is the prediction of the plausible impact of an environmental fate process on the behaviour of organic contaminants in general. But CoZMo-POP 2 should also be suitable for simulating the observed fate of a particular contaminant in a particular environment. This type of application will be greatly enhanced by the improved resolution of the aqueous and sedimentary environment, which should allow for the site-specific parameterisation of CoZMo-POP 2 to a wide variety of regions.

4.3.8 Globo-POP

Model Overview

The zonally-averaged, global transport model, Globo-POP, has been designed to investigate how the interplay of climatic parameters with the movement of atmosphere and oceans determines the long-term distribution of POPs along latitudinal gradients (Wania and Mackay, 1995). Globo-POP is a Level IV fugacity model. It divides the global environment into ten latitudinal bands or "climate zones" (Figure 7), each consisting of nine compartments representing the atmosphere (in four vertical layers), two different types of soil (cultivated and uncultivated), fresh water and fresh water sediments, and the surface ocean (Figure 8). The model calculates the interphase transfer of chemical in addition to meridional transfer of chemical in the atmosphere and surface ocean. Loss processes considered include the degradation of chemical in all media, fresh water sediment burial, and transport of chemical to the deep sea. A more detailed description of the model is provided elsewhere (Wania and Mackay 1995, 2000, Wania et al. 1999).

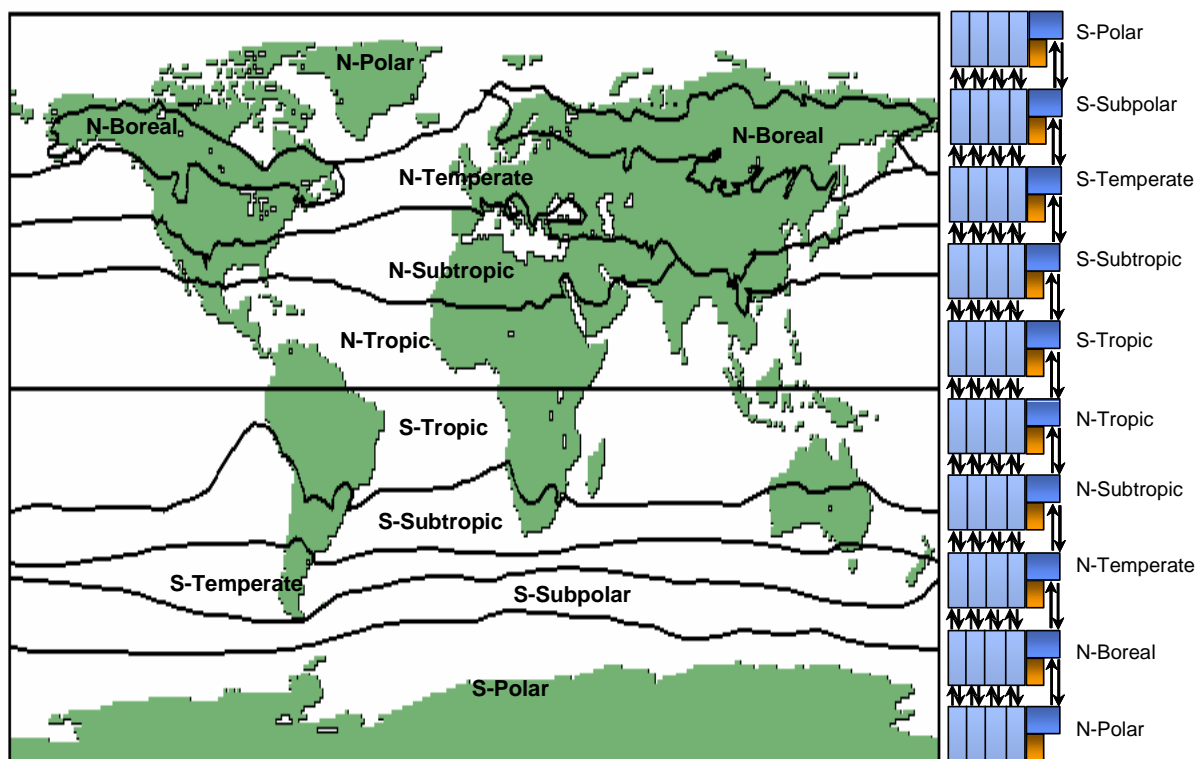


Figure 7: Globo-POP climate zones.

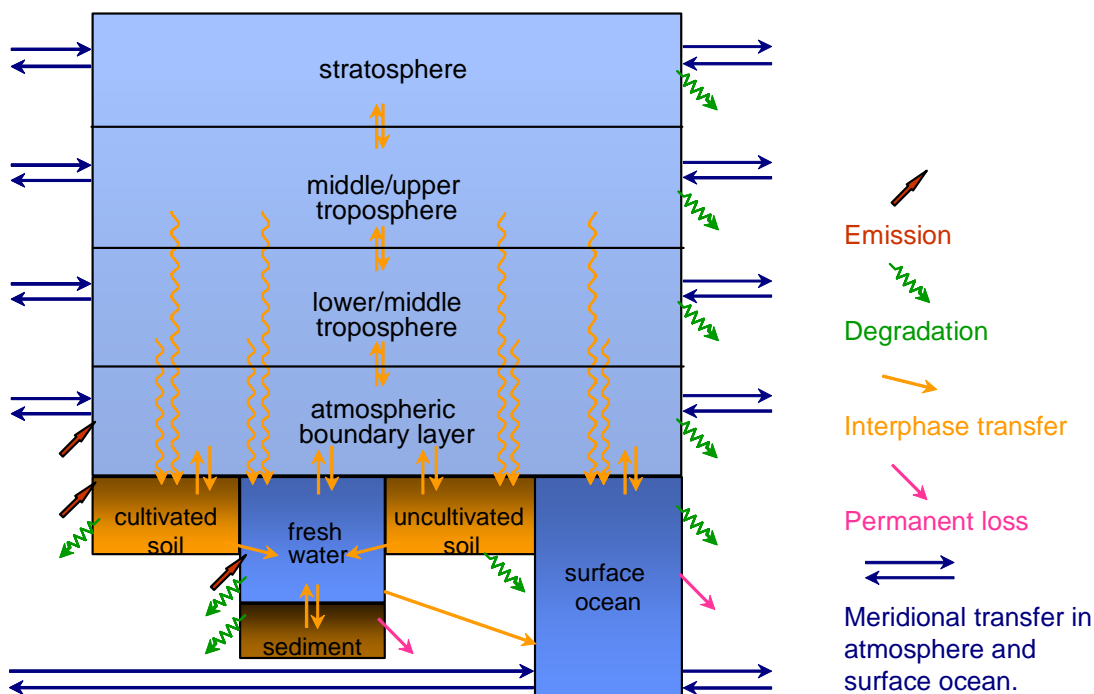


Figure 8: Globo-POP compartments.

The Globo-POP model has two primary applications.

1. The model can be used to investigate the long-term historical fate of global pollutants with the principal aims to gain confidence in the model's ability to accurately describe global chemical transport and distribution and to gain insight in the processes governing these processes. The limitation is the availability of suitably comprehensive emission estimates. Because such estimates are available for α -HCH and several PCBs congeners, the model has been primarily used to describe the global transport behaviour of these substances.
2. The model can be used evaluatively with the objective to predict the global transport and accumulation behaviour of a variety of organic chemicals as described previously in Section 3.6.5.

For interest we list below some of the applications of the model. It has been used

- To identify the major loss processes of the PCBs on a global scale, in particular its dependence on both degree of chlorination and time (Wania and Daly, 2002).
- To understand and identify the processes controlling the compositional changes that the PCBs undergo (i) between different environmental compartments, (ii) in time, and (iii) with latitude, i.e. the global fractionation behaviour of the PCBs (Wania and Su, 2004).

- To understand the processes that control, and to further seek to predict, the rate of decline of PCB air concentrations prior to, and after, the end of primary emissions (Hung et al., submitted).
- To evaluate its performance with regard to reproducing the observed fate and distribution of α -HCH in the global environment (Wania et al., 1999, Lakaschus et al., 2001).
- To understand the global cold-trapping behaviour of α -HCH, and understand its major global transport pathways (Wania and Mackay, 1999).

4.3.9 TaPL3 Model (Version 3.00)

Model Overview

The TaPL3 model uses a Level III simulation to evaluate a chemical's potential for long-range transport (LRT) in a mobile medium, either air, or water, its environmental persistence, and the average number of hops a molecule will experience.

Two scenarios are modelled; an emission to air where air is the mobile medium, and emission to water where water is the mobile medium. There is no advective removal from the environment. The stickiness of each stationary medium with respect to the mobile medium is calculated where "stickiness" is the ratio of the net intermedia transport flux to the mobile-to-stationary flux, i.e., the fraction of chemical transported to the stationary medium that remains there.

A more detailed description of the calculations for persistence and long-range transport in this model is given in Beyer et al (2000), Webster et al (1998), and Webster et al (2003).

This model requires all of the same chemical property information as the Level III model. In addition, for Type 1 chemicals, TaPL3 corrects both partitioning properties and degradation rates for temperature, thus the enthalpies for K_{OW} and K_{AW} and the activation energies for the half-lives are required. For Types 2 and 3, temperature corrections must be done manually by the user. In this version of the model a vegetation compartment is included in the mass balance therefore a degradation half-life in vegetation is also required.

If the chemical data were collected at the same temperature as the modelled environment, the equation collapses such that the value of the enthalpy has no effect. Of course, this is simplistic since the environment is seldom at the temperature of the lab. There are two "default" sets of values for the enthalpies based on categories of chemicals. As a first pass, broad generalization, the values -20 : 55 which were assigned to the X - hypothetical chemical for $K_{OW} : K_{AW}$ may be used. However, these values are based on a limited set of similar substances and so may be inappropriate. For chemical-specific data refer to Beyer et al (2002) and for a discussion of the influence of temperature on LRT refer to Beyer et al (2003) .

The environmental properties are as required by the Level III model except that wind and water speeds replace the advection residence times for air and water. Vegetation biomass, leaf area index,

leaf-air boundary layer mass transfer coefficient, and fraction of rain intercepted by vegetation are required for the vegetation calculations since equilibrium is not assumed here as it is in many Level III calculations.

This model assumes a single emission rate to air and to water and calculates chemical fate separately for each case.

Cautionary Note:

All media are considered to be well-mixed boxes and thus there is no dilution effect. Any emission is considered to be instantaneously distributed within the receiving medium of air or water.

In addition to the standard Level III-type results for each of emission to air and emission to water, TaPL3 also reports:

- overall environmental persistence (i.e., the residence time in the environment due only to the degradation of the chemical)
- long-range transport potential
 - L_A for emission to, and transport in air and
 - L_W for emission to, and transport in water
- stickiness
- average number of hops (emission to air only)

While the persistence may be taken as the longevity of the substance, the potential for long-range transport should be used as a ranking only and not as an actual distance travelled. Any distance exceeding 1000 km is considered evidence of potential for LRT.

Table 13: Qualitative interpretations of values for L_A

L_A	Qualitative interpretation
< 500	relatively little long-range atmospheric transport is expected
500 to 1000	potential for significant continental-scale transport
1000 to 3000	some global-scale transport is likely
> 3000	high potential for global-scale long-range atmospheric transport

Table 14: Persistence and LRT potential in air calculated by TaPL3 for a small set of substances.

Substance	Persistence, d	L _A , km
HCB	4000	8000
Chlorobenzene	10	3800
Biphenyl	3.6	1100
deca-PCB	3300	400
Aldrin	0.4	100

Intended Uses

This model is intended as an evaluative tool to be used in the detailed assessment and ranking of chemicals for potential for long-range transport in a mobile medium, either air, or water, and for persistence. It is expected that the model will be primarily used to compare chemicals, not to establish absolute values. The Level III model should be used for more general applications. This model is not suited to local-scale assessments such as the area around a stack.

4.3.10 QWASI Model (Version 2.80)

Model Overview

The “Quantitative Water Air Sediment Interaction” or “QWASI” model describes the steady-state (i.e., Level III) behaviour of an organic chemical in a lake subject to chemical inputs by direct discharge, inflow in rivers, and deposition from the atmosphere. Chemical is removed from the lake by evaporation, irreversible reaction in the water and sediment, outflow in the water, and sediment burial. The mass balance equations for the well-mixed water column and the well-mixed layer of surficial sediment also include sediment-water exchange by diffusion, deposition, and resuspension.

In contrast with, for example, the Level III model described in section 4.3.3, this Level III treatment of an air-water-sediment system has a simpler treatment of air as a potential source of chemical to the lake system. The air is assumed to maintain a constant concentration. No losses through degradation or advection in air are considered. The absence of any terrestrial compartment removes the need for any soil parameters. Thus only relevant data are required by the QWASI model, and attention is focussed on chemical behaviour in the lake system.

The model, as supplied, treats PCBs in Lake Ontario.

The required input data are given in Table 15.

Cautionary Note:

It is important to note that many of the environmental input parameters to QWASI are not independent of each other and great care is required to assure that they are consistent. This refers primarily to the concentrations, densities, composition and dynamics of the particles in the water/sediment system, but also to the hydrological parameters (rain rate, water inflow/outflow rate).

This model is described in the textbook by Mackay (2001) but was originally described by Mackay et al (1983a,b). It has been widely applied to a variety of systems (Mackay and Diamond 1989; Mackay 1989; Diamond et al 1994, 1996; Lun et al 1998; Woodfine et al 2000; Mackay and Hickie 2000; Milford 2002; Warren et al 2002).

Intended Uses

The QWASI model is designed to assist in understanding chemical fate in lakes.

Table 15: QWASI input data and results

Chemical Properties:
chemical name, molar mass, data temperature overall reaction half-lives in water and sediment
Type 1 chemicals (excluding ionizing substances)
water solubility, vapour pressure, log K_{ow} , melting point
Type 2 chemicals
partition coefficients
Lake Properties:
water surface area and total volume
depth of active layer of sediment
particle concentrations in the inflow water, lake water, aerosols in air, and volume fraction of solids in surface sediment
particle densities (suspended sediment, sediment solids, aerosols)
organic carbon fraction (suspended sediment, sediment solids, inflow suspended sediment, resuspended sediment)
water inflow and outflow rates (m^3/h)
sediment deposition, resuspension and burial rates (g/m^2 day)
atmospheric deposition parameters (aerosol dry deposition velocity, scavenging ratio, rain rate)
mass transfer coefficients (volatilization - air side and water side, sediment-water diffusion)

Emissions and Inflows:

direct discharges to the lake
concentration in inflow water and in air

Model output includes:

Z and D values
fugacities, concentrations and amounts in each compartment
details of process rates
a summary diagram

4.3.11 AQUAWEB (Version 1.0)

Model Overview

AQUAWEB provides site-specific estimates of chemical concentrations in organisms of aquatic food webs from chemical concentrations in the water and the sediment (Arnot and Gobas 2004). The model is presented in rate constant format for assessing the bioaccumulation of non-ionic hydrophobic organic chemicals at steady-state. For zooplankton, aquatic invertebrates and fish the model calculates rates of chemical uptake from the water and the diet and rates of chemical elimination to the water, feces and the “pseudo” loss mechanism of growth dilution. Reliable metabolic transformation rate data can also be included as a mechanism of chemical elimination. AQUAWEB is a modification of a previous model (BIO version 1.0; Gobas 1993). Key revisions include: (i) a new model for the partitioning of chemicals into organisms, (ii) kinetic models for predicting chemical concentrations in algae, phytoplankton and zooplankton, (iii) new allometric relationships for predicting gill ventilation rates in a wide range of aquatic species, and (iv) a mechanistic model for predicting gastrointestinal magnification of organic chemicals in a range of species. The performance of both versions has been evaluated using empirical data from three different freshwater ecosystems involving 1019 observations for 35 species and 64 chemicals. Table 16 lists the limited number of site-specific data inputs required by AQUAWEB.

Intended Uses

AQUAWEB is intended to estimate chemical concentrations and associated bioconcentration factors (BCF), bioaccumulation factors (BAF) and biota-sediment accumulation factors (BSAF) of non-ionic hydrophobic organic chemicals. The model is useful for assessing exposure and risks of chemicals in the water and sediment to aquatic ecosystems and higher trophic level organisms that eat aquatic species (i.e., fish) including humans. The model is limited in calculating chemical concentrations in species of aquatic macrophytes, algae, phytoplankton, zooplankton, invertebrates, and fish of different trophic levels. Site-specific measurements can be included to evaluate model performance.

Table 16: A summary of AQUAWEB input requirements.

Chemical properties:	
octanol-water partition coefficient (K_{ow})	
Environmental properties:	
mean water temperature	
concentration of	particulate organic carbon in the water dissolved organic carbon in the water suspended solids in the water
organic carbon content of the sediment	
chemical concentration in the	water sediment
Biological properties of each organism:	
wet weight	
lipid content	
feeding preferences	

4.3.12 BAF-QSAR (Version 1.1)

Model Overview

BAF-QSAR provides estimates of the bioaccumulation factor (BAF) for “generic” fish species in lower, middle and upper trophic levels of aquatic food webs. The BAF predictions are considered "generic" in that they are not considered to be for a particular species of fish. The model is essentially a quantitative structure activity relationship (QSAR) requiring *only* the K_{ow} of the chemical and the metabolic transformation rate constant (if available) as input parameters. BAF-QSAR is derived from the parameterization and calibration of a mechanistic bioaccumulation model to a large database of evaluated empirical BAFs from Canadian waters. The empirical BAFs are for chemicals that are poorly metabolized and are grouped into lower, middle and upper trophic levels of fish species. The model is calibrated to each trophic level of measured BAF values thus providing estimates that are in agreement with empirical BAFs. The model predictions can include the effect of metabolic transformation and trophic dilution on the BAF if a reliable estimate of the chemical’s metabolic transformation rate in fish is available. BAF-QSAR reflects the current understanding for the mechanisms of bioaccumulation in aquatic organisms including chemical uptake from the water and the diet and chemical elimination from the gills, feces, growth and metabolic transformation (BAF-QSAR version 1.0; Arnot and Gobas 2003). The three BAF predictions for each trophic level also include an estimate of uncertainty reflecting the uncertainty and variability in observed data.

Intended Uses

The model is intended to estimate the bioaccumulation factor (BAF) of non-ionic organic chemicals in three general trophic levels of fish (i.e., lower, middle and upper). Thus it provides generic estimates in the absence of site-specific measurements or estimates. The model can be used to predict dietary concentrations for higher trophic level predators (e.g., birds and mammals) including human exposure concentrations from fish in their diet. The model has been used to categorize chemicals for their potential to bioaccumulate in aquatic food webs. BAF-QSAR can also be used in the derivation of water quality guidelines and total maximum daily loadings by relating internal concentrations of organic chemicals in upper trophic fish species to corresponding concentrations in the water.

4.3.13 STP Model (Version 2.10)

Model Overview

The STP model estimates the fate of a chemical present in the influent to a conventional activated sludge plant as it becomes subject to evaporation, biodegradation, sorption to sludges and to loss in the final effluent. The most critical and uncertain variable is the biodegradation rate constant and its dependence on biomass concentration.

This version of the model allows the use of either degradation half-lives or the Ready test results. As with all other CEMN models, half-life data may be taken from property estimation software such as EPI Suite, however, monitoring data is to be preferred. Arnot et al (2005) provide guidance on this issue.

Treatment now includes the possibility of lagoons. The treatment plant is specified as a series of up to 3 tanks or lagoons. For tanks with aeration, surface volatilization is now included in addition to the volatilization due to the bubbling. The four fates for the system and for each tank individually are given as pie charts. To chart other results, or to produce more visually appealing charts, the output should be saved to a file and charted using spreadsheet software. The required input data are given in Table 17.

This model was based on the work of Clark et al (1995).

Intended Uses

This model is useful for establishing the general features of a new or existing chemical's behaviour, in a sewage treatment plant. It is not intended as an accurate simulation of chemical fate in a specific plant, rather it is a screening level model which seeks to estimate the likely proportions of the chemical which leave the system by biodegradation, in sludge, by evaporation, and in the water outflow. These proportions are dictated by both the physical chemical properties of the substance and the characteristics of the treatment plant. The effect of varying chemical properties such as half-lives and operating conditions can be readily explored.

Table 17: STP (version 2.10) input data and results

Chemical Properties:

chemical name, temperature, molar mass

biodegradation data as half-lives in a primary clarifier, aeration vessel, final setting tank, aerated and facultative lagoon or as the Ready test result

Type 1 chemicals (excluding ionizing substances)

water solubility, vapour pressure, log K_{ow}

Type 2 and 3 chemicals

partition coefficients

Treatment Plant Properties:

number of tanks

influent flow and VSS concentration

gas and liquid phase mass transfer coefficients for each tank or lagoon

for each tank or lagoon

- area and depth
 - biomass fraction of VSS
 - sludge VSS concentration
 - outflow (ML)VSS concentration
 - aeration rate, if applicable
 - recycled fraction, if applicable
 - removed fraction, if applicable
-

Model output includes:

Z and D values

steady state phase concentrations

process stream fluxes

relative amounts of chemical that are likely to be stripped or volatilized,
sorbed to sludge, biodegraded, and discharged in the effluent

summary diagrams and charts

5 ASPECTS OF INTERPRETING MODEL RESULTS

5.1 Understanding the Model Results

Every model produces results that are a unique combination of the model assumptions and the input parameters. Some types of results are produced by many multimedia models. For example, the relative amounts (or percent) of chemical in each environmental medium.

The percentage shows where most of the chemical is likely to be found. This can indicate in which medium effects are most likely to be experienced.

This is also useful in considering the importance of individual media half-lives. It has been suggested that if less than 5 % of the substance is present in a medium then the half-life in that medium can be estimated as infinite without significantly changing the model results for the other media.

Another common model result is concentration. Concentrations are calculated for each environmental medium, often in a variety of units, including both wet and dry weight. If an evaluative environment, or emission rate has been used, these concentrations should not be compared to measured concentrations. Any similarity would be coincidental. In the case of an evaluative environment, or emission rate, these concentrations should be compared to concentrations of other chemicals under the same model conditions. If actual environment and emission rate data are used, then comparing the concentrations to measured values will be instructive. There are a few typical reasons for the modelled and measured concentrations to not be in agreement. These include such issues as measurements not reflecting an average for the area modelled, and emission rate data being incomplete.

The concentration data can be useful when considering where to monitor. A very low calculated concentration may suggest that monitoring will be futile. Generally monitoring is most effective when C is high and detection is more reliable.

Many models provide chemical flux values for each modelled process. These are useful in understanding chemical movement within the modelled environment and for identifying the important processes and model inputs. These help to focus future research on those concepts where the most may be gained.

The relative amount lost by each removal process is given in many cases. Care must be taken in reading these results to note whether it is the percentage of the total removed or the percentage of the total removed in that medium. Knowledge of the relative importance of each removal process can focus attention on key processes.

5.2 Uncertainty and Variability in Environmental Fate Models

A model is necessarily a simplified representation of a real system composed of equations and parameters describing the complex processes experienced by a chemical in the environment. The equations describe quantitatively the natural processes being modelled, while the parameters, which include chemical properties, discharge or emission data, and landscape parameters, describe relevant properties of the specific scenario under investigation. As a result of the mathematical approximations and the uncertainty and variance in the parameters, a calculated quantity such as a concentration can not be expected to agree exactly with a measured value. The best that can be achieved is the comparison of a distribution of model values with a distribution of measured values. Ideally, the distribution should overlap. In this section we discuss how distributions of model values can be obtained, thus expressing model outcome uncertainty.

The need for uncertainty and sensitivity analyses of model outcome has been long recognised (Slob 1994; Jager and Slob, 1995; Cowan et al 1995; Mackay et al 1996a; Vermeire et al 1997; Barnhouse et al 1998; Bennett et al, 1999).

Uncertainty is a measure of the knowledge of the magnitude of a parameter or result. Uncertainty can be reduced by research, i.e., the parameter value can be refined.

Variance is a measure of the heterogeneity of a landscape parameter or the inherent variability in a chemical property. Variance can not be reduced by further research.

Both uncertainty and variability are quantifiable as distributions and are most frequently described by lognormal distributions. As discussed by Slob (1994), in cases in which uncertainty in inputs to a model are estimated based on expert judgment, the choice of a lognormal distribution to describe uncertainty is both warranted and advantageous.

An uncertainty in a chemical property such as solubility in water may be described as follows. A solubility in water may be reported as 50 mg/L but it can be difficult to measure low values accurately. Different workers may (and usually do) report different values. For some well-studied substances a critical review has been undertaken of all available data and a recommended value is selected based on the reviewers' perception of the accuracy of the results. It may be reported as 50 mg/L \pm 30 mg/L implying that the true value probably lies (with say 95% certainty) between 80 and 20 mg/L. This can be regarded as a normal distribution with a mean of 50 mg/L and a standard deviation (SD) of 15 mg/L. By definition, 95% of the data lie approximately within two standard deviations on either side of the mean for a normal distribution.

In many cases of high uncertainty it is preferable to assume a lognormal distribution to apply, rather than a normal distribution as assumed above. Using the above example the base ten log of 50 mg/L is 1.70. The 95% limits of 80 and 20 mg/L correspond to log values of 1.3 and 1.9, i.e., one is 0.2 log units higher and the other is 0.4 log units lower. On a lognormal distribution the SD is then approximately 0.15, 2 SDs are 0.3 and the limits are (1.7-0.3) or 1.4 and (1.7+0.3) or 2.0

corresponding to 25 and 100 mg/L. By using a lognormal distribution the low end is compressed, i.e., 20 becomes 25 and the high end is extended, i.e., 80 becomes 100.

This is very advantageous when the SD is large because a normal distribution can give negative numbers. For example, if the mean is 50 mg/L and the SD is 30 mg/L, the upper limit is $50 + 2 \times 30$ or 110 mg/L but the lower limit is $50 - 2 \times 30$ or -10 mg/L which is physically impossible. By using a log normal distribution the mean may be 50 mg/L (i.e., 1.7) and if 2 SDs are 0.4 the log limits are 2.1 and 1.3, then the corresponding solubility values are 125 and 20 mg/L. With a lognormal distribution it is impossible to obtain a negative value. For this reason it is generally preferable to use lognormal distributions in environmental models, especially when there is large uncertainty.

Note that the SD above of 0.3 corresponds to a constant factor of $10^{0.3}$ or 2.0 on either side of the mean. It is convenient to regard lognormal distributions as plus or minus a factor whereas normal distributions are plus or minus an absolute quantity. This factor can be termed a “confidence factor” which is a slight misnomer because it is really a “lack of confidence factor”, large values corresponding to greater uncertainty and thus less confidence (Macleod et al 2002). If a confidence factor of C_f is suggested along with a mean X , then the distribution is between XC_f and X/C_f . If the SD is σ then 2σ is $\log C_f$ or C_f is $10^{2\sigma}$. In some cases natural (base e) logarithms are used and C_f is $e^{2\sigma}$.

The model user should make a judgement as to the confidence factor based on the range of reported values and experience. When there are multiple input parameters each one can be assigned a confidence factor. Note that quantities such as a $\log K_{ow}$ of 6 are already in logarithmic form so a confidence factor of 2 implies that the true $\log K_{ow}$ value lies between 6.0 plus or minus $\log 2$ or 0.31 i.e., 5.7 to 6.3. The range is not 3 to 12. Some quantities such as molar mass are known very accurately and there is no need to assign an uncertainty.

The use of confidence factors is advantageous because it is easily understood and conveyed to others.

Also of concern is the possibility of mistakes, as distinct from errors, in both the parameters and in the model. The commonest mistake is wrong units, e.g., ng instead of mg or the use of \log_e (that is, ln) when \log_{10} is intended. Model infidelity is most commonly the result of using a model to describe a scenario outside the boundaries of the intentions of the model developers. The model may not properly represent a key process. It may not include it or the inclusion may involve erroneous assumptions. A water quality model may not include evaporation which can be important for some volatile substances. Ionization may not be included or its effect may be misrepresented. A key diet item in a bioaccumulation model may be omitted or metabolism may be wrongly ignored. These problems are usually the result of applying a model to a scenario it was not intended to represent. The user must be alert for any such problems. The best guarantee for avoiding them is close scrutiny of the results and a check of reasonableness.

5.3 Model Sensitivity

Model sensitivity, S , is a measure of the effect of input parameter uncertainty on a model outcome or result. This depends on the structure of the model equations and the values of the input parameters. It is useful to begin by considering the simple case of the effect of a single input parameter on the chosen outcome.

The effect of changing an individual input variable (I) by a fixed amount (ΔI) on the output of interest (O) can be approximated by the following where ΔO is the change in the output quantity.

$$S \approx (\Delta O/O) / (\Delta I/I) \quad (23)$$

The quantity S describes the sensitivity of O to small (0.1 to 1%) changes in I . In the limit as ΔI tends to zero (23) becomes:

$$S = (\partial O/O) / (\partial I/I) = \partial O / \partial I \cdot I/O = \partial \ln O / \partial \ln I \quad (24)$$

S is thus the partial derivative of O with respect to I .

For example, a chemical in water may be subject to degrading reactions expressed by a rate constant k_D and evaporation with a rate constant k_E . The equation for concentration could take the form

$$C = a / (b + k_D + k_E)$$

where “ a ” has a value of 100 and “ b ” a value of 20. The estimated value of k_D is 5 and k_E is 20. The estimated concentration is thus $100 / (20 + 5 + 20)$ or 2.2. But k_D and k_E are both uncertain, say within a confidence factor of 2. It is possible that k_D is then 10 and k_E is 40, thus C is $100 / (20 + 10 + 40)$ or 1.43. Alternatively, k_D could be 2.5 and k_E could be 10, thus C would be $100 / (20 + 2.5 + 10)$ or 3.07. The uncertainty in k_D alone will tend to cause the mean C to vary from $100 / (20 + 2.5 + 20)$ or 2.35 to $100 / (20 + 10 + 20)$ or 2.0, a range of about 0.2. In contrast, the same factor of 2 change in k_E will cause C to vary from 2.86 to 1.54, a much wider range.

The output, C , is thus more sensitive to k_E than it is to k_D . If b is larger or k_D is small then C becomes quite insensitive to k_E and uncertainty in it does not propagate through the equations to affect C .

It is important to appreciate how sensitivities vary because there may be little merit in seeking a more accurate value of k_D ; its value is of little importance.

Mathematically, we are comparing the partial derivative of C with respect to k_E and k_D but there is no need to undertake the calculus. A simple method is to calculate C for the mean value of k_E and k_D then change each one in sequence by a small quantity such as 1% or a factor of 1.01. The new values of C are calculated, the differences deduced and the difference expressed as a fraction of the mean C .

For example, in this case the mean C is 2.0. A 1% increase in k_D causes C to change to 1.9975, a difference of 2.5×10^{-3} . This is a 0.12 % change. For k_E a 1% increase causes C to change to 1.9902, a difference of 9.8×10^{-3} or change is 0.49 %. The ratio of the percentage change in C divided by the percentage change in k_D or k_E is the “sensitivity”, S . The values here are 0.12 for k_D and 0.49 for k_E . Note that they are always less than 1.0 except in unusual circumstances.

It is easy to run the model for each input parameter and determine S for each. This can identify and rank the sensitivity of the results to the each parameter.

The next stage is to combine the input confidence factor C_f and the sensitivity S for each parameter individually, then collectively. While Monte Carlo techniques remain the standard for assessing the variance, or uncertainty, in environmental fate and effects model outcomes (Calabrese, 1996), alternate methods have been proposed (Slob, 1994; MacLeod et al 2002).

Both Monte Carlo analysis and the analytical method of Macleod et al (2002) assume linearity between parameters and model outcomes, parameter independence and normal or lognormal distributions for each parameter. The inaccuracy of these assumptions must be acknowledged.

Not all parameters are linearly related to model outcome, for example, molar mass. However, these parameters are often assumed to be known perfectly and relative to other parameters this is an acceptable approximation. If the assumption of linearity is of concern it can be subjected to a simple test by exploring the effect of small changes in the input parameter.

Some parameters are correlated, notably those that describe certain physico-chemical properties (eg. water solubility and octanol-water partition coefficient), and environmental processes (eg. rates of sedimentation, sediment resuspension and sediment burial). Ignoring covariance simplifies the analysis, and is common practice (Kühne et al 1997; Ragas et al 1999). If a preliminary uncertainty assessment identifies input variables that are uncorrelated as the most significant contributors to output variance, including covariance in the analysis is unlikely to affect the results significantly Smith et al (1992).

Bukowski et al. (1995) examined the influence of distributional shape on the outcome of uncertainty analysis of additive and multiplicative models, and determined that in the majority of cases the parameter distribution have only a minor affect on the uncertainty associated with model outcomes. Thus it is acceptable to use the convenient lognormal distribution for preliminary analyses.

Thus, for an initial estimate of uncertainty these assumptions are commonly accepted and acceptable. Attention can then be focussed on improving the key variables.

The analytical method of Macleod et al (2002) is less computationally intensive than Monte Carlo analysis and is appropriate for preliminary assessment of uncertainty or when detailed parameterization of input uncertainties is unwarranted or impossible. The mathematics of this are described in detail by Macleod et al (2002). The confidence factor in the output quantity, C_{fO}

depends on the confidence factor in the input quantity (k_D and k_E in the example) C_{fi} and the sensitivity S_i as follows.

$$C_{fo} = C_{fi}^{|S_i|}$$

or

$$\log C_{fo} = |S_i| \log C_{fi}$$

Note that S can be positive or negative but the positive value or modulus is always used. Clearly if S_i is 1.0 then $C_{fo} = C_{fi}$. If S_i is very small then C_{fo} will be very small. The various values of C_{fo} can be calculated for each input parameter thus expressing the uncertainty attributable to both the input parameter and the sensitivity. The ranking of parameter confidence factors is likely to change as a result.

The final stage is the “add” all of the confidence factors for all of the input parameters. The total confidence factor C_{fT} can be approximated as

$$\ln C_{fT} = \left((S_1 \ln C_{f1})^2 + (S_2 \ln C_{f2})^2 + \dots + (S_n \ln C_{fn})^2 \right)^{1/2}$$

Essentially each $S_i \ln C_{fi}$ is squared, the sum of squares obtained and the square root taken.

$$\ln C_{fT} = \left(\sum_{i=1}^n (S_i \ln C_{fi})^2 \right)^{1/2}$$

This result is approximate and does not apply when confidence factors are large, but it is usually adequate for assessment purposes.

5.4 Model Validity and Fidelity

In the context of risk assessment and regulation, model validation becomes important, however environmental models can not be validated in the same sense as models of highly reproducible closed systems (Oreskes et al 1994; Oreskes 1998). Credibility, however, can be improved by documenting examples in which observed and predicted concentrations are compared, as single values or ranges with identification of dominant sources, fate processes, or partitioning characteristics. Such comparison studies have been performed on mass balance models of chemical fate with generally positive results (Devillers et al 1995; Mackay et al 1996c; Bintein and Devillers 1996a,b; Koprivnjak and Poissant 1997; MacLeod and Mackay 1999).

The equations in different environmental fate models tend to be very similar (Cowan et al, 1995) indicating a high level of communication and confidence within the research community. In their comparison of model results, Cowan et al (1995) found that differences were largely attributable to differing landscape parameters and not to model structure.

5.5 Uncertainty in Dynamic Model Outcomes

In the case of dynamic models, uncertainty and sensitivity analyses are complicated by the changing nature of the system. A process that is initially very important, over time, may assume less importance. An analysis such as that presented in section 5.2 can only identify uncertainties in model outcome at a single time in a time-dependent fate evaluation. It is difficult and potentially misleading to generalize about sensitivities. For dynamic models it is more useful to examine general trends.

When chemical is first introduced to a medium, the “receiving medium”, there is a period of uptake by the other media, “non-receiving media” during which the removal rates are insufficient to balance the intermedia transport of chemical into these media. During this time, the model outcome is most sensitive to the properties and processes of intermedia transport from the receiving medium to the non-receiving media. In the case of a constant discharge into the receiving medium, after some time, steady-state will be achieved and the results from an analysis such as that in section 5.5.2 will apply. At the other extreme, a single, one-time discharge will not lead to a steady-state scenario. The period of uptake by the non-receiving media will be followed by a period of clearance during which the advection and degradation rates will be more important than the intermedia transport rates.

The key consideration is the equilibrium status between the receiving medium and the non-receiving media, i.e., their relative fugacities. There is thus a potential for a profound change in sensitivity as the system approaches or digresses from equilibrium.

This “inspection” method is preferable for dynamic models since it conveys an inherent understanding of the model assumptions and the nature of the simulations.

5.6 Temperature Effects

It must be appreciated that most of the input parameters to the models are dependent on temperature. Some reaction rate are especially temperature sensitive. Obviously, if the aim is to model chemical fate in a specific system and a specific temperature, an attempt should be made to obtain parameter values appropriate to that temperature.

Most reported data are at 25 °C which is convenient for the laboratory determinations since being above ambient indoor temperatures, no refrigeration is required. Environmental temperatures are usually lower on the average and in temperate climates lie in the range of 10 to 15 °C, but extremes are possible.

The temperature correction for reaction rate constants is usually an activation energy that is specific to the conversion process. For microbial kinetics a Q_{10} is often used, the factor change in rate for a 10 °C change in temperature. Microbial kinetics are complicated because the community changes with temperature thus the organisms operating at 5 °C are different from those at 25 °C.

Partition coefficients also change with temperature and in a more predictable way. The usual approach is to estimate the enthalpy change ΔH associated with the phase-to-phase transfer and employ an equation of the type

$$K_{T_1} = K_{T_o} e^{-\Delta H / (R(T_1 - T_o))}$$

where K_{T_1} and K_{T_o} are the partition coefficients at temperature T_1 and T_o and R is the gas constant. The sign of ΔH can cause confusion and it is easier to deduce whether K increases or decreases using LeChateleur's Principle. For example, for water to air exchange an increase in temperature promotes evaporation so K increases, i.e., $K_{T_1} > K_{T_o}$ and $T_1 > T_o$, so ΔH must be positive or endothermic, i.e., heat is absorbed on evaporation. The Principle states that the system responds to an increase in temperature by seeking to counteract it and absorbing heat which implies evaporation. Enthalpies are particularly large and important for air-solid and air-liquid systems and can be up to 100 kJ/mol. For liquid-liquid systems or liquid-solid systems the enthalpy is generally less than 20 kJ/mol, but there are exceptions.

Some models such as ChemCAN (version 6.00) use enthalpy terms to correct partition coefficients and TaPL3 (version 3.00) corrects the half-lives. Most models, however, require the user to do these corrections manually and enter the temperature corrected values.

5.7 Steady-state v.s. Dynamic Assumptions

Steady-state models assume that neither chemical emissions, nor environmental parameters change in time, and that the sum of the chemical fluxes in and out of the various model compartments balances each other at any time. Whereas this limitation is justified for many model applications, it is not when the temporal variability of chemical fate and exposure is of interest. The use and emission of chemicals is hardly ever constant on any time scale. Similarly, the environment is undergoing constant change, e.g. on a diurnal, seasonal and a multi-year time scale, and many time-variant environmental factors (e.g. temperature) have an impact on chemical behaviour. But even for time-invariant emissions and environments, steady-state assumptions may not be valid. This is particularly relevant for chemicals with very slow degradation half-lives, which take a very long time for establishing steady-state distributions in the environment. To address chemical assessment situations that involve a temporal component a Level IV model is required.

5.8 Summary

There are three sources of uncertainty and variance contributing to uncertainty in the outcome from models of chemical fate in the environment; chemical properties, landscape properties, and emission data. There is an incentive to minimize error and uncertainty in all three. In focussing data research efforts, sensitivity analyses are helpful but care must be exercised to avoid misleading evidence. The cost of the effort to define or refine a parameter should be balanced against the expected reduction in outcome uncertainty.

6 WHERE TO FIND HELP

There are various sources of information on CEMN models and modelling in general. In addition to the information provided here and in the books and journal articles referenced, help may be found in the readme.txt that accompanies most models, the model's Help files, and by examining the calculations displayed in the model, and on CEMN websites

<http://www.trentu.ca/cemn>

<http://www.rem.sfu.ca/toxicology/>

<http://www.utsc.utoronto.ca/~wania/>

<http://www.trentu.ca/cemc>

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APPENDIX A: COMMONLY USED VARIABLES AND THEIR UNITS

Subscripts for environmental media

A or 1	air
W or 2	water
E or 3	soil or earth
S or 4	sediment
F or 5 or B	fish or biota
P or 6	suspended particles in water
Q or 7	aerosols
T	system total

R	the gas constant	$8.314 \text{ Pa} \cdot \text{m}^3 \text{ mol}^{-1} \text{ K}^{-1}$
T	temperature	K
A	area	m^2
V	volume	m^3
K_{ij}	partition coefficient between media i and j	dimensionless unless otherwise noted
K_{OW}	octanol-water partition coefficient	dimensionless
K_{OA}	octanol-air partition coefficient	dimensionless
K_{AW}	air-water partition coefficient	dimensionless
P^S	vapour pressure (solid state)	Pa
C^S	aqueous solubility (solid state)	mol/m^3
P^L	vapour pressure (liquid state)	Pa
C^L	aqueous solubility (liquid state)	mol/m^3
H	Henry's law constant	$\text{Pa m}^3/\text{mol}$
ρ	density	kg/L
τ	half-life	hours
t	time	hours
f	fugacity	Pa
C	concentration	mol/m^3
M	mass or amount of chemical	mol
E	emission	mol/h

Z	fugacity capacity	$\text{mol m}^{-3} \text{ Pa}^{-1}$
D	fugacity rate constant	$\text{mol Pa}^{-1} \text{ h}^{-1}$
T_O	overall residence time	
T_R or P	residence time due to degrading reactions, persistence	
T_A	residence time due to advection	
LRT	long-range transport	
S	model sensitivity	
C_f	confidence factor	

Order of magnitude values of transport parameters for Level III calculations. (Mackay 2001)

Parameter	Symbol	Suggested typical value
Air side MTC over water	k_{VA}	3 m/h
Water side MTC	k_{VW}	0.03 m/h
Transfer rate to higher altitude	U_S	0.01 m/h (90 m/y)
Rain rate (m ³ rain/m ² area.h)	U_R	9.7×10^{-5} m/h (0.85m/y)
Scavenging ratio	Q	200000
Vol. Fraction aerosols	v_Q	30×10^{-12}
Dry deposition velocity	U_Q	10.8 m/h (0.003 m/s)
Air side MTC over soil	k_{EA}	1 m/h
Diffusion path length in soil	Y3	0.05 m
Molecular diffusivity in air	B_{MA}	0.04 m ² /h
Molecular diffusivity in water	B_{MW}	4.0×10^{-6} m ² /h
Water runoff rate from soil	U_{WW}	3.9×10^{-5} m/h (0.34 m/y)
Solids runoff rate from soil	U_{SW}	2.3×10^{-8} m ³ /m ² h (0.0002 m/y)
Water side MTC over sediment	k_{SW}	0.01 m/h
Diffusion path length in sediment	Y4	0.005 m
Sediment deposition rate	U_{DP}	4.6×10^{-8} m ³ /m ² h (0.0004 m/y)
Sediment resuspension rate	U_{RS}	1.1×10^{-8} m ³ /m ² h (0.0001 m/y)
Sediment burial rate	U_{BS}	3.4×10^{-8} m ³ /m ² h (0.0003 m/y)
Leaching rate of water from soil to ground water	U_L	3.9×10^{-5} m ³ /m ² h (0.34 m/y)

Typical intermedia transfer D value equations for Level III calculations.

Compartments	Process	D Values
air(1)- water(2)	diffusion	$D_V = 1/(1/k_{VA} A_{12} Z_A + 1/k_{VW} A_{12} Z_W)$
	rain dissolution	$D_{RW} = A_{12} U_R Z_W$
	wet deposition	$D_{QW} = A_{12} U_R Q v_Q Z_Q$
	dry deposition	$D_{QD} = A_{12} U_Q v_Q Z_Q$
		$D_{12} = D_V + D_{RW2} + D_{QW2} + D_{QD2}$ $D_{21}=D_V$
air(1) - soil(3)	diffusion	$D_E = 1/(1/k_{EA} A_{13} Z_A + Y_3/(A_{13}(B_{MA} Z_A + B_{MW} Z_W)))$
	rain dissolution	$D_{RW3} = A_{13} U_R Z_W$
	wet deposition	$D_{QW3} = A_{13} U_R Q v_Q Z_Q$
	dry deposition	$D_{QD3} = A_{13} U_Q v_Q Z_Q$
		$D_{13} = D_E + D_{RW3} + D_{QW3} + D_{QD3}$ $D_{31}=D_E$
soil(3) - water(2)	soil runoff	$D_{SW} = A_{13} U_{EW} Z_E$
	water runoff	$D_{WW} = A_{13} U_{WW} Z_W$
		$D_{32} = D_{SW} + D_{WW}$ $D_{23} = 0$
sediment(4) - water(2)	diffusion	$D_Y = 1/(1/k_{SW} A_{24} Z_W + Y_4/B_{MW} A_{24} Z_W)$
	deposition	$D_{DS} = U_{DP} A_{24} Z_P$
	resuspension	$D_{RS} = U_{RS} A_{24} Z_S$
	$D_{24} = D_Y + D_{DS}$ $D_{42} = D_Y + D_{RS}$	
reaction either bulk phase i or sum of all phases		$D_{Ri} = kR_i V_i Z_i$ $D_{Ri} = \Sigma (kR_{ij} V_{ij} Z_{ij})$
advection bulk phase		$D_{Ai} = G_i Z_i$ or $U_i A_i Z_i$

A_{ij} is the horizontal area between media i and j

APPENDIX B: FREQUENTLY ASKED QUESTIONS

CEMC Software Installation

Q: Why can't I install your software on my computer?

A: If you are using either Windows NT or Windows 2000, our software will not install on your computer. Our software is designed to work with Windows 98 and XP.

Q: What operating systems will the models run on?

A: The models are known to run on Windows 98 and Windows XP. If you are having problems installing with these operating systems, it could be due to the degree of permission you have to install software if working on a networked computer. Look to your IT people for permission to install new programs that modify the system directory.

Q: Do any of your models operate on a Mac?

A: All of the downloadable models are self-extracting zip files which may not run on a Mac, but feel free to try.

General Modelling

Q: Is there a guidance manual or users guide for the software available from the CEMC?

A: We do not produce manuals for each model, instead we try to include sufficient information in the model labels and layout, and in the "Help" screens. Also, each model contains reference to a paper that may provide extra useful information.

Q: I am running two models but not getting the same results.

A: Are the processes included in each model the same? Is one of the two inappropriate for your task? If you are running two versions of the same model, check the newer model for improvements listed in the readme.txt, in the model, and on the website. Are the inputs consistent?

Q: How can I print the diagram?

A: All printing of model results is done from the main screen, under the “Print” button. Here the Tables and/or the Diagram may be selected. For some software, a few simple charts are also available.

Q: How can I save the results diagram to a file?

A: To save the diagram to file from our software, use the “Print Screen” button on your keyboard to save you screen to the computer’s clipboard. Using “Alt” + “Print Screen” will capture the image of only the prominent window (i.e., the diagram form). Paste into a document and save the document.

Q: There seems to be a bug in this model...

A: Please check the known bug report on the website. If it is not listed there, please e-mail us to inform us of the problem.

Q: Are any of the CEMC models are used for regulatory risk assessment of chemicals in North America?

A: It is our understanding that our EQC model and the Level I, II, III series (with emphasis on Levels II and III) are used both in Canada and in the USA. For details on how these models are used you should contact Environment Canada and the US EPA directly. In particular, try to get a copy of the “Guidance Manual for the Categorization of Organic and Inorganic Substances on Canada's Domestic Substances List” (June 2003) from the Existing Substances Branch of Environment Canada. This should give you a good starting point.

Q: Is there a multimedia model available on your site that does uncertainty and sensitivity analysis?

A: Most of the models available at the CEMC are set up to run with a single set of properties for each chemical, i.e., sensitivity and uncertainty analysis have to be done by hand. We are currently working on Level II and Level III Batch Mode software and they will simplify the process. They are not yet ready for public release.

Q: How can I determine long-range transport when using a model such as ChemCAN which does not provide for this?

A: Assuming an average (uni-directional) windspeed of 14.4 km/h, long-range transport, LRT, can be calculated as

$$\text{LRT in air} = 14.4 * \text{ResTime} * \text{mass fraction of chemical in air}$$

where ResTime is the overall residence time. This is what is done in the TaPL3 model.

The assumption of a constant uni-directional wind is a very broad one. One of the criticisms of this method has been that for very persistent, volatile chemicals, the distance calculated can be many times the Earth's circumference. In addition, a single temperature is assumed - also unrealistic over large distances. So, the intent is not to calculate place-to-place transport potential but rather to assign a relative, quantitative value to the potential for LRT.

Chemical Data

Q: Where is it possible to find the partition coefficients for Type 2 chemicals? Can they be derived from known values?

A: Normally we use Type 1 properties if at all possible. Type 2 and 3 properties provide a mechanism where the Type 1 properties are not measurable. (Type 2 and 3 data are actually calculated by the model when Type 1 data are input.) Our best data source is the Mackay et al (2000) handbooks. These are a compilation of data in the scientific literature. If you are still having difficulties finding data, you may need to rely on QSARs (Quantitative Structure Activity Relationships, more properly known as QSPRs, Quantitative Structure Property Relationships) to make estimates. Beware that using QSAR estimates of properties adds another level of uncertainty to your results!

Q: I don't have a value for the vapour pressure, is there a way to enter the Henry's Law Constant directly?

A: This is possible in some models, ChemCAN, for example. Otherwise, if you have a water solubility, S , and the Henry's Law Constant, H , it is possible to calculate a vapour pressure (Mackay 2001). See Section 3.5.1.

Q: I am dealing with chemicals that are completely miscible and chemicals that are gaseous, and these chemicals don't seem to fit into any of the three categories of chemical types. How can I model these chemicals?

A: Multimedia models are most useful for substances which partition into more than one environmental medium. For substances which partition exclusively (or nearly exclusively) to a single medium such as air, a multimedia model is not needed; the properties of the chemical alone are sufficient to understand its behaviour.

Q: For the chemical input, what does the temperature input represent?

A: This input is the temperature at which the chemical's properties were measured.

Q: In the model results, why are the times for the chemical to be in a medium shorter than predicted by the half-lives?

A: The half-life in each medium is the degradation half-life only and does not include transport either to other media, or out of the system.

Q: Where can I find chemical data to model site temperatures from -30C to +20C?

A: There are reviews of the temperature dependence of physico-chemical property data in the literature. The best journals to search are the Journal of Physical and Chemical Reference Data, Environmental Toxicology and Chemistry, and Environmental Science and Technology. One example is Ma et al (2001) which gives the temperature dependence of the aqueous solubility of selected chlorobenzenes and chlorotoluenes in the Journal of Chemical Engineering Data. Handbooks also contain some data of this type, especially recent editions. Alternatively, contact a researcher active in this area directly for advice.

Environmental Data

Q: What is the size difference between local-scale and far-field (or regional) model environments?

A: The surface area for a local-scale evaluation is less than 10000 km² (100x100 km) but typically less than a few kilometres in each direction. Far-field models should not be used for areas of less than 10000 km² or larger than about 1000000 km (1000 x 1000 km). Areas larger than 1000000 km should be sub-divided before evaluation to avoid over-simplifying the heterogeneity of each environmental compartment.

Q: What exactly are volume fractions?

A: This parameter is the fraction of a compartment - by volume - which is comprised of the specific sub-compartment. These values are used in calculating the Z-value. For example, the volume fraction of sediment solids is the fraction of the sediment which is solid particles (ie. not water)

Q: I would like some information on parameterization of environmental volumes. Different default environmental parameters were reported in old papers from those now used in model software.

A: The environmental properties in earlier models were selected to be typical of southern Ontario. For EQC, values were selected to be more useful internationally. In the Level III model it is possible to change the volumes to suit your requirements. It is important to note that it is the responsibility of the user to ensure that all input values are correct. We provide a couple of environments so that there is something there to start with. However, the values in the database can be changed - we have not locked these environments - so we can't make any guarantees about the results the user will see for a particular environment name.

EQC - Q&A

Q: What are the assumed environmental parameters for the EQC?

A: If you are running an old version of EQC, this information is not apparent. However, you may find it useful to download version 2.02 of the EQC model, as it has been upgraded to allow the user to view all of the environmental properties and all of the calculations in the model.

Q: How can I specify my own custom environment when using EQC?

A: EQC does not allow for user specified environments. To model specific locations, use the Level I, Level II, Level II set of models. They contain approximately the same calculations as EQC but allow the user to change the environmental properties. In addition, for Level III-type calculations, we have the ChemCAN model which was designed for regional analysis of the fate of chemicals in the environment.

Q: Why are there different volumes of soil and sediment in the Levels I and II as compared to Level III for EQC?

A: Partitioning in the soil and sediment is assumed to be primarily to the solid fraction and since, for Level I and II, the volume fractions of these environmental media are not normally defined, the volumes used are equal to the volume of the solid phase in the Level III calculation where the volume fractions are defined. This means that the Level II concentration in soil or sediment should

be treated as a dry weight concentration and compared to the Level III concentration in the solid fraction.

Q: Is EQC OK for modelling surfactants? Or do you have any caveats about fugacity modelling of this group of chemicals?

A: Treat surfactants as a Type 2 chemical.

Q: What does the advection process in the water and sediment refer to? Is this simply removal through dilution?

A: Advection is not dilution. Advection in water is loss of chemical as the river water flows out of the modelled area and is replaced by inflowing water. The inflowing water may also contain the chemical. Advection in sediment is the permanent burial of sediment as particles deposit out of the water column and the deeper layers of sediment become unavailable for chemical exchange with the modelled system.

Q: What is the difference between EQC and QWASI?

A: EQC is regional-scale, generic and unmodifiable environment, multimedia model with defined water and land cover. QWASI is an aquatic model suitable for modelling lakes with an emission to the water. A user-defined chemical concentration in the air above a waterbody contributes to chemical in the water and sediment. There is no landmass associated with the waterbody in QWASI. The lake properties are modifiable to represent any lake system. By considering “lakes” connected in series, a river may be simulated.

Q: How can I scale the results from EQC to model around a stack site?

A: The EQC standard environment is probably not the best one to use for estimating the partitioning of your compound. It assumes proportions of the four environmental media which may not be representative of the area you are concerned with.

In the area you are interested in, what fraction of the surface is covered by water? Use this with the total area in the EQC standard environment. Estimate a representative value for the depth of the water. The EQC standard values for the depths of soil and sediment are likely sufficiently close. Ask the local weather office for a rain rate and scale the related transport velocities. These can have a large effect. Depending on the properties of your compound, these changes in the environmental properties may or may not have a significant effect on the proportions of the compound in each medium.

Once you have the fractions of compound in each medium from the Level III software you can apply them to the small area around the stack. If you have the measured concentration in the air, that can be used to calculate the concentration in each of the other media.

Q: I am having a problem running EQC. What are my options?

A: Try using the Level I, II and III models. They are based on the same equations as EQC.

FoodWeb - Q&A

Q: Can the FoodWeb model be used for river ecosystems?

A: This model can be used for a river ecosystem but it will not treat the water flow effects, i.e., it will assume that your water is a single, well-mixed compartment.

Q: Is there a newer version of the FoodWeb model available to download?

A: A software version has been written and is being tested in preparation for release.

ChemCAN - Q&A

Q: How many Canadian regions are there in ChemCAN and how were they decided?

A: There are 24 regions of Canada in the ChemCAN model. The regional divisions of Canada were based on the ecozones identified by Environment Canada and with consideration of the distribution of population and industrial activity, political boundaries, drainage basins, and climate to give areas of sufficiently homogeneous ecological conditions such that meaningful assessments of chemical fate can be conducted.

Q: What is the maximum or minimum areas you can use for a region?

A: For surface areas of a region it is not recommended going less than about 10000 km² (100x100 km) or larger than about 1000000 km (1000 x 1000 km).

Q: I am estimating that 1000 kg/year is released in Canada, and I am using the "average" region of Canada. I am therefore dividing the 1000 kg/year by 24, because the region is 1/24th the size of Canada. Is this an appropriate method of using this region?

A: No. ChemCAN is intended for region-specific evaluations. The “Average” region is a mathematical average of the values for each of the 24 specific regions of Canada and was designed to allow an investigation of regional differences. For a non-region specific evaluation, use the “EQC standard environment” included in the ChemCAN database with an emission proportional to the population density of southern Ontario compared to Canada as a whole. The releases will be different in each region and the fate will be different. Using the "average" region and an "average" emission will give a result unrepresentative of any region of Canada to a degree dependent upon the chemical.

Q: I have a tested value for my chemical in the water (0.5 ng/L), is this the most appropriate value to enter for advection inflow concentration of water?

A: That depends on where this sample was taken. The correct value should be representative of the rivers flowing into the region of interest.

Q: Can I run the model iteratively to estimate the advection inflow concentration in air?

A: Yes. In the absence of concentration data for the upwind region running the model assuming a zero inflow air concentration, to get an initial concentration in the air and then re-running the model using this concentration for the inflow air this is a good estimation method. Either the calculated concentration in the air may be used or some fraction (such as 1/4) of that value may be used.

Q: In ChemCAN, are the values used for the temperature dependence coefficients and the one parameter method of estimating partitioning to aerosols standard defaults that can be used for all Type 1 chemicals, or do these need to be changed for each chemical? How can these values be estimated?

A: These are chemical specific inputs and the “default” value are provided for use with those chemicals where the values are not known. It is best to use chemical-specific values if possible. For more information, refer to the the work of Beyer et al (2002) on temperature dependence and to the source of the partitioning to aerosols correlation (Finizio et al 1997).

Q: How can I save the diagram to an image file?

A: To save the diagram to file from our software, use the "Print Screen" button on your keyboard to save you screen to the computer's clipboard. Using Alt + "Print Screen" will capture the image of only the prominent window (i.e., the diagram form). Paste into a document and save the document.

TaPL3 - Q&A

Q: I don't have data for the enthalpy energy requirements for K_{OW} and K_{AW} in TaPL3. Is there a way to bypass having to enter these numbers?

A: If your chemical data were collected at the same temperature as your modelled environment, the equation collapses such that the value of the enthalpy has no effect. Of course, this is quite unrealistic since the environment is seldom at the temperature of the lab. There are a couple of "default" sets of values for the enthalpies based on categories of chemicals. As a first pass, broad generalization, you could use -20 : 55 which were assigned to the X - hypothetical chemical for K_{OW} : K_{AW} . However, these values are based on a limited set of similar substances and so may be inappropriate for your substances. For chemical-specific data refer to Beyer et al (2002).

Q: TaPL3 does not account for advective losses. However the substance is deposited over an area (not a point). Can you provide me suggestions on how to infer the size of deposition area?

A: TaPL3 is intended for the evaluation of persistence. Since advection moves chemical to another region, it was decided that it was more honest to include only degradation. Air to surface (water or soil) deposition happens over the entire simulated area.

STP - Q&A

Q: Where can I get half-life data for this model?

A: Preferably, use monitoring data. If this is not available, property estimation models such as EPIWIN may be helpful.

QWASI - Q&A

Q: How does QWASI differ from the other CEMC models, such as EQC?

A: The QWASI model is specific to aquatic systems and does not contain a soil (landmass) compartment.

Levels I, II, III - Q&A

Q: When running Level I, if the soil compartment is reduced to zero, it merely "amplifies" the same relative compartmentalization in the remaining media as when the soil was present. Is this ok?

A: The odd thing you will notice is that the concentration in soil is still calculated. This is because the concentration is the product of the Z and the fugacity, neither of which (in Level I) depend on the presence of the medium. If you consider the "total" chemical present in the system to be the sum of that in air, water, fish, suspended particles, and sediment and calculate the percentages based on this new total, you should see results identical to the "no soil" run. Your thinking is correct.

Q: When modifying the area should I also change the advective flow residence time? It seems that if I reduce the linear dimension in the direction of the wind by 10 fold and reduce the total area by 100-fold, that the advective flow residence times should be reduced proportionally. If all else is unchanged, reducing the size of the box should reduce the residence time, I would think. However, I find that if I leave the advective residence times unchanged but do change the dimensions, the proportion of chemical input into air that exits the air due to advection is unchanged. When I reduce the advection proportionally, the amount advected out of the air increases dramatically. Both results seem counter-intuitive.

A: The "Advective Flow Residence Time" is the time that the air or water will spend in the defined region. You are right in thinking that this is related to the size of the region. We do not automatically modify these values when the areas are changed because we believe that the user knows better than we do what shape the region is and how that relates to the prevailing wind direction. For example, if the region is rectangular and not square, the residence time of the air will depend on whether the wind blows in the short or the long direction and thus affect the residence time of the air.

By reducing the areas, the volumes of all media are reduced. If the residence times (and all other values) are unchanged, the flow rate of the air (volume/res time) is reduced. The D value (flow rate \times Z value) is also reduced. All the fugacities are increased! The concentrations (fugacities \times Z values) are increased. Therefore... the loss rates (fugacity \times D value) and amounts (conc \times volume) are not affected.

When the "Advective Flow Residence Time" is reduced, the air is effectively being removed more quickly and any chemical in the air is also being removed more quickly.

Q: In your Level III program results under the tab "Phase Properties" the amounts are 87.8 % to the bulk water phase and 11.9 % to the sediment phase. However, in the losses section, the amount is 98.6% to the water phase for percent of total losses. What is the information in these two columns and why is there a difference?

A: In your case, of the chemical remaining in the system, 87.8% is in the water and 11.9% is in the sediment. Of the chemical removed from the system, 98.6% is removed by processes in the water phase.

Q: How is the Level III in your models different from the Level III available through the EPI Suite program.?

A: We were not involved with the creation of the EPI Suite program so we cannot say for sure. Their documentation is your best source of information on the equations and assumptions they use. The equations used in our models are available under the "Display Calculations" button in the top right corner of the main window.

CEMC DOS Models

Q: I am trying to use the old DOS models from the website but I am having no luck. After I choose my scenarios and hit enter, the program seems to just stop. Please help!

A: There are two possible causes.

- First, this program sends all output to the default printer. Make sure you have a printer connected.
- Second, some newer equipment will not run these models. Check to see if there is a newer Windows version of this model available on the website, you may want to download this and see if this works for you.

License Issues

Q: Can we make your models available on our website?

A: No, we would prefer that you do NOT distribute out models, but please feel free to link to our website.

Q: Can we have the code for your models?

A: No, the source code for our models is NOT available. These models are licenced software. Please refer to the licence agreement for details. The models are transparent by design, thus allowing you full access to visually inspect the model calculations.

References for FAQs

Beyer, A., Wania, F., Gouin, T., Mackay, D., Matthies, M. 2002. Selecting Internally Consistent Physical-Chemical Properties of Organic Compounds. *Environ. Toxicol. Chem.* 21: 941-953.

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Ma, J.H.Y, Hung, H., Shiu, W.-Y., Mackay, D. 2001. Temperature Dependence of the Aqueous Solubility of Selected Chlorobenzenes and Chlorotoluenes. *J. Chem. Eng. Data* 46: 619-622.

APPENDIX C: CASE STUDY OF CHEMICAL EVALUATION

Using the Level I, II, and III models for practice.

Instructions:

Install the Level I, II, and III models from the website.

Use a chemical in the Level I model database. (Note that these databases are not a source of chemical properties.) If this chemical is not in the Level II and Level III databases, you will need to assign half-lives. The values you use are unimportant as this is just an exercise in using the models - the results obtained are irrelevant; “the process is the thing”. Fill in the blanks below and consider the meaning of your results as you proceed.

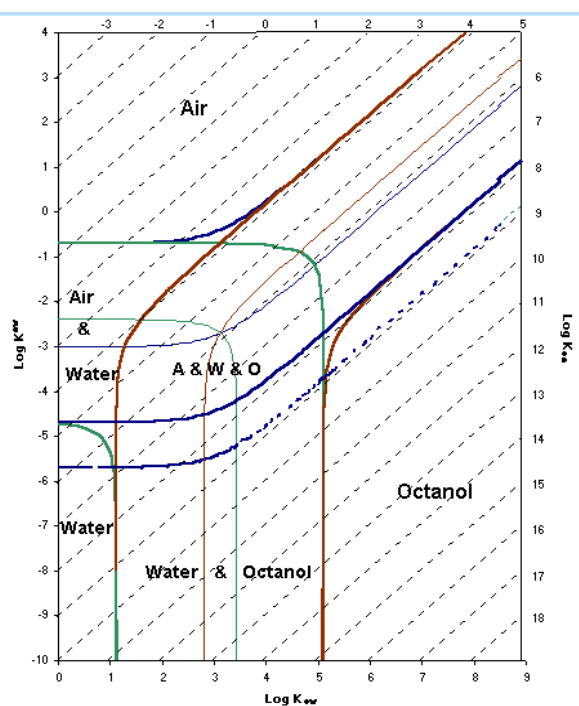
Level I

Chemical Properties – Partitioning

Chemical Name			
Vapour pressure		Pa	
Water solubility		g/m ³	mol/m ³
Henry's Law Constant, H		Pa/mol m ³	
log K _{OW}		K _{OW}	
		K _{AW}	
log K _{OA}		K _{OA} = K _{OW} / K _{AW}	

Mark your chemical on the plot below.

Summary statement: This chemical tends to partition to...



Z Values

Z (air)	
Z (water)	
Z (soil)	
Z (sed)	

Summary Statement: Fugacity capacities suggest that this chemical has the greatest affinity for ...

fugacity (Pa)	
---------------	--

	partitioning (%)	concentration (mol/m ³)
Air		
Water		
Soil		
Sediment		

Comment on relative amounts and relative concentrations...

Level II

	Half-lives (h)
Air	
Water	
Soil	
Sediment	
Suspended Particles	
Fish	
Aerosols	

Based on Level I results, to what extent is this chemical present in the medium where its half-life is greatest?

fugacity (Pa)	
---------------	--

	partitioning (%)	concentration (mol/m ³)
Air		
Water		
Soil		
Sediment		

Compare these results to the Level I results.

	loss by advection (%)	loss by reaction (%)
Air		
Water		
Soil	-	
Sediment	-	
Total		

Which is the most important removal process?

Persistence (hours)

Attributable to reaction	
Attributable to advection	
Total Persistence	

How important are the advective processes in determining the total persistence?

Repeat the above calculations with a second chemical and compare the Persistence values for the two chemicals.

LRT estimate

assume square region, 2 km high	
distance = square root of total area	
wind speed = distance / residence time of air	
total mass in air (mol)	
total rate of removal by reaction (mol/h)	
LRT = wind * total mass in air / total rate of removal by reaction	

Repeat with your second chemical and compare the LRT values for the two chemicals.

Level III

	Emission (kg)	Inflow Concentrations
Air		
Water		
Soil		
Sediment		

Bulk compartments	fugacity (Pa)	partitioning (%)	concentration (mol/m ³)
Air			
Water			
Soil			
Sediment			

Persistence (hours)

Attributable to reaction	
Attributable to advection	
Total Persistence	

How important are the advective processes in determining the total persistence?
Repeat with your second chemical and compare the persistences for the two chemicals.

LRT estimate

assume square region, 2 km high	
distance = square root of total area	
wind speed = distance / residence time of air	
total mass in air (mol)	
total rate of removal by reaction (mol/h)	
LRT = wind * total mass in air / total rate of removal by reaction	

Repeat with your second chemical and compare the LRT values for the two chemicals.
Take a few minutes to read through the calculations as displayed in Level III. Follow one of the input properties (e.g. organic carbon fraction in soil) through the calculations to see how it impacts an output parameter (e.g. total persistence).

APPENDIX D: LEVEL I CALCULATION OF DDT IN A WATER BODY SUCH AS A LAKE OR HARBOUR.

A lake is 10 km by 10 km by 50 m deep and contains sediment of 5 % organic carbon (OC) and density 2 g/cm³ to a depth of 5 cm. Suspended particulate matter (SPM) has a concentration of 20 mg/L (density 2 g/cm³) and 10 % organic carbon. Biota (eg. fish) are present at 5 mg/L (density 1 g/cm³) of lipid content 10 %. The lake contains 8000 kg of DDT. Calculate its equilibrium distribution at 25 °C in the lake and in the air to a height of 1000 m above the water.

Properties at 25 °C

	MW	Solubility (g/m ³)	Vapour Pressure Pa	Log K _{OW}
DDT	354	0.0031	0.00002	6.2
HCB	285	0.005	0.0023	5.5
DCB	147	83	90	3.4

Answer

(1) Calculate Volumes

$$\text{Air} \quad V_A = (10 \times 1000)^2 \times 1000 = 10^{11} \text{ m}^3$$

$$\text{Water} \quad V_W = (10 \times 1000)^2 \times 50 = 5 \times 10^9 \text{ m}^3$$

$$\text{Sediment} \quad V_S = (10 \times 1000)^2 \times 0.05 = 5 \times 10^6 \text{ m}^3$$

$$\text{SPM} \quad V_P = V_W \times 20 \times 10^{-6} / 2 = 5 \times 10^4 \text{ m}^3$$

$$\text{Biota} \quad V_B = V_W \times 5 \times 10^{-6} = 25000 \text{ m}^3$$

(2) Calculate Z values (mol/m³·Pa)

$$Z_A = 1 / RT = 1 / (8.314 \times 298) = 4.04 \times 10^{-4}$$

$$Z_W = C^S / P^S = (0.0031 / 354) / 0.00002 = 0.438$$

$$Z_S = Z_W \times K_{SW} = Z_W \times \text{OC} \times 0.4 \times K_{OW} \quad \text{OC is organic carbon content}$$

$$= 0.438 \times 0.05 \times 0.4 \times 1.58 \times 10^6 \times 2 = 27700$$

$$Z_p = Z_w \times K_{pw} \text{ (similarly)} = 55400$$

$$Z_b = Z_w \times \text{lipid content} \times K_{ow} = 69200$$

(3) Calculate VZ and ΣVZ

air	water	sediment	particles	biota	
40.4	2190	138500	2770	1730	(all x 10 ⁶)
Total = 145230 x 10 ⁶					

(4) Calculate fugacity etc.

$$\text{Amount} = 8000 \text{ kg} = 8000 \times 1000 / 354 = 22600 \text{ mol} = M$$

$$f = M / \Sigma VZ = 22600 / 145230 \times 10^6 = 1.56 \times 10^{-7} \text{ Pa.}$$

	C = Zf mol/m ³	C g/m ³	Mass = VC / 1000 kg	%	C in other units
air	6.2 x 10 ⁻¹¹	2.2 x 10 ⁻⁸	2.2	0	22 ng/m ³
water	6.8 x 10 ⁻⁸	2.4 x 10 ⁻⁵	120	1.5	24 ng/L
sediment	0.0043	1.53	7599	95.4	0.75 μg/g
particles	0.0086	3.04	152	1.9	1.5 μg/g
biota	0.0107	3.8	95	<u>1.2</u>	3.8 μg/g
				100	

Note bioconcentration factor $3.8 / 24 \times 10^{-6} = 158000 = \text{lipid content} \times K_{ow}$

Repeat the Level I calculation for either hexachlorobenzene (HCB) or 1,4 dichlorobenzene (DCB) with the properties given earlier .

APPENDIX E: LEVEL II CALCULATION FOR DDT IN A LAKE.

The same lake receives a total input of 10 kg/h of DDT. Do a level II calculation assuming the following:

Residence times:

air	1 hour
water	240 hours

Reaction half-lives:

air	infinite
water	1 year
sediment	2 years
susp sediment	1 year
biota	4 months

We calculate D values as follows:

		Rates (Df)	
Advection	air $G = 10^{11} \text{ m}^3/\text{h}$	$D = GZ = 40.4 \times 10^6$	20.04
	water $G = 5 \times 10^9 / 240$	$D = GZ = 9.1 \times 10^6$	4.6
Reaction	note $k = 0.693 / \text{half life}$	$D = VZk$	
	air	$D = 0$	0
	water	$D = 5 \times 10^9 \times 0.438 \times 0.693 / 8760 = 0.17 \times 10^6$	0.01
	sediment	$D = 5 \times 10^6 \times 27700 \times 0.693 / 17520 = 5.49 \times 10^6$	2.8
	susp. sediment	$D = 0.22 \times 10^6$	0.1
	fish	$D = 0.41 \times 10^6$	<u>0.2</u>
Total D	55.7×10^6	Total 28.2	

$$\text{Emission} = 10 \times 1000 / 354 = 28.25 \text{ mol/h} = Df$$

$$\therefore f = 5.07 \times 10^{-7} \text{ Pa}$$

Concentrations and masses can be calculated as before.

Total amount is 73700 mol = 26000 kg

Residence time or persistence = 26000 / 10 = 2600h = 108 days

Note relative importance of D values

The rates are calculated as Df , and are given on the previous page. They add to the total input rate. Repeat the Level II calculation for either HCB or DCB emitted at 10 kg/h assuming the same residence times but the following half lives.

	HCB	DCB
air	infinite	infinite
water	1 year	1 day
sediment	2 years	2 days
susp sediment	1 year	1 day
fish	4 months	12 hours

APPENDIX F: LEVEL III CALCULATION OF DDT IN A LAKE.

From information on intermedia transport rates, the following D values are estimated. This is a fairly time consuming task, so it is not given in detail here. Note: air is 1, water is 2 and sediment is 3.

Emission into water 10 kg/h or 28.25 mol/Pa·h

D value for air - water transfer $D_{12} = 15 \times 10^6$ mol/Pa·h

D value for water - air transfer $D_{21} = 10 \times 10^6$

D value for sediment - water $D_{32} = 30 \times 10^6$

D value for water - sediment $D_{23} = 50 \times 10^6$

Advection and reaction D values D_{A1} and D_{A2} , and D_{R2} and D_{R3} are as before. We write mass balance equations for each compartment.

Air

$$f_2 D_{21} = f_1 (D_{12} + D_{A2})$$

$$\therefore f_1 = f_2 \times 10 \times 10^6 / (15 + 40.4) \times 10^6 = f_2 \times 0.18$$

Sediment

$$f_2 D_{23} = f_3 (D_{32} + D_{R3})$$

$$\therefore f_3 = f_2 \times 50 / (30 + 5.49) = f_2 \times 1.41$$

Water

$$E + f_1 D_{12} + f_3 D_{32} = f_2 (D_{A2} + D_{R2} + D_{21} + D_{23})$$

Note: D_{R2} should include reaction in suspended sediment and fish, i.e., a total of 0.8×10^6

$$f_2 = E / (D_{A2} + D_{R2} + D_{21} + D_{23} - 0.18 D_{12} - 1.41 D_{32})$$

$$= 28.25 / (9.1 + 0.8 + 10 + 50 - 0.18 \times 15 - 1.41 \times 30)10^6$$

$$= 1.13 \times 10^{-6}$$

$$f_1 = 0.2 \times 10^{-6} \quad f_3 = 1.6 \times 10^{-6}$$

Check that total net losses equal inputs.

$$\text{Loss from air} \quad f_1 D_{A1} = 8.22$$

$$\text{Loss from water} \quad f_2 (D_{A2} + D_{R2}) = 11.20$$

$$\text{Loss from sediment} \quad f_3 D_{R3} = 8.78 \quad \text{Total} = 28.20 \text{ (close enough)}$$

Note that now the fugacity (and concentration and amount) in water has increased by a factor of 2.2, air has fallen by a factor of 2.5, and sediment has increased by a factor of 3.1. These changes are caused by the intermedia transport resistances. The relative importance of the loss processes thus changes. Previously, in Level II, the loss from air was $D_{A1}f_1$ or 20.5 mol/h. It is now 8.2 mol/h. Water was 4.9; it is now 11.2. Sediment has increased from 2.8 to 8.8 reflecting the larger amounts in water and sediment, caused by the resistance to evaporation.

These Level III fugacities and concentrations should be comparable to monitored values, i.e., some validation is possible.

This Level III could be repeated for HCB and DCB using the following intermedia values (all $\times 10^6$)

	HCB	DCB
D_{12}	5	1.2
D_{21}	4	1
D_{32}	10	0.4
D_{23}	12	0.5

APPENDIX G

Groundwater Model for Assessing the Potential Transport of New Substances to Surface Water Bodies via Recharge (from CCME 1996)

To determine whether a new substance released to soil is at a critical concentration that will result in a surface water concentration exceeding a CTV the following generic equation can be used modified from Appendix D of CCME (1996).

$$Y = DF \times CTV_{\text{water}} (K_d + \theta_m)$$

where,

Y = critical soil concentration (mg/kg)

DF = dilution factor (default = 50)

CTV_{water} = critical threshold value for water (mg/L)

K_d = soil-water partition coefficient

θ_m = soil moisture content (default = 41% from Level III model)

The rationale for the above equation is presented in the following sections.

Numerous studies have shown that sorption of organics by soils is highly correlated with the organic matter content (e.g., Chiou et al. 1979, Hassett et al. 1980). Chiou (1989) presents evidence that the linearity of sorption with organic contaminant concentration and correlation with soil organic matter content reflect dissolution of the organic contaminant into the soil organic matter phase -- as opposed to sorption to organic matter surfaces. Normally a Freundlich isotherm is fitted to the sorption data:

$$C_s = K_d \times C_w^{1/n} \quad [1]$$

where

C _s	=	concentration in solid phase (mg/kg)
K _d	=	distribution coefficient
C _w	=	concentration in aqueous phase (mg/L)
n	=	empirical constant

For most non-ionic organics n=1 and sorption is a linear function of equilibrium solution concentration up to 60% to 80% of its water solubility (Hassett and Banwart 1989). The relationship

between solution concentrations and the *sorbed* concentration is described in equation 1. The relationship between *total* soil concentration and soil solution concentration can be derived using a simple method based only on mass ratio of water over soil considerations.

Consider a unit dry mass of soil, M_s , at field capacity moisture content θ_m defined by

$$\theta_m = M_w/M_s \quad [2]$$

where

$$\begin{aligned} M_w &= \text{mass of water} \\ M_s &= \text{dry mass of soil} \end{aligned}$$

The soil is contaminated by a chemical to a concentration Y (mg kg^{-1} dry mass basis) and the chemical is partitioned between solid and aqueous phases of the moist soil per:

$$K_d = C_s/C_w \quad [3]$$

By mass balance we have:

$$Y \times M_s = (C_s \times M_s) + (C_w \times M_w) \quad [4]$$

Rearranging [2] and substituting in [4]:

$$Y \times M_s = (C_s \times M_s) + (C_w \times \theta_m \times M_s)$$

and

$$\begin{aligned} Y &= C_s + (C_w \times \theta_m) \\ C_s &= Y - (C_w \times \theta_m) \end{aligned} \quad [5]$$

Substituting [5] into [3]:

$$K_d = \frac{Y - (C_w \times \theta_m)}{C_w}$$

which, after rearrangement yields

$$Y = C_w(K_d + \theta_m) \quad [6]$$

Dissociating Organic Contaminants

Equilibrium partitioning isotherms effectively describe the behaviour of non-dissociating organic contaminants in soils. This description may be extended to dissociating organic contaminants provided sorption of both the dissociated and non-dissociated forms is understood and easily treated.

These conditions are met for some weak organic acids, such as chlorophenols, because only the non-dissociated form is appreciably sorbed. Like many other anions, the phenate generated by the dissociation of the parent chlorophenol is mobile in soils. Because of this difference, chlorophenol partitioning can be predicted from the concentration of the non-ionized form, which is a function of pH. The pH-dependent distribution coefficient can then be calculated (Schellenberg et al. 1984) as the product of the partitioning coefficient for the chlorophenol and the proportion of the non-ionized form:

$$K_d = K_{oc} \times F_{oc} \times Q \quad [7]$$

where K_{oc} = organic carbon-normalized coefficient for non-ionized chlorophenol
 F_{oc} = fraction of organic carbon in soil
 Q = proportion of chlorophenol in non-ionized form

It is important to note that experimental data that nominate K_{oc} have been referenced to the concentration of non-dissociated chlorophenol.

Q is derived from the equilibrium acidity expression for the chlorophenol:

$$Q = 1/(1 + K_a/[H^+]) \quad [8]$$

where K_a = acidity constant

Substituting [7] and [8] into [6]:

$$Y = C_w[(K_{oc} \times F_{oc}/(1 + K_a/[H^+]) + \theta_m]$$

The additional information required to calculate the total soil concentration of a weak acid contaminant in equilibrium with the desired water quality is therefore:

- soil pH,
- acidity constant of the contaminant, and
- partition coefficient for the non-ionized acid.

Organic contaminants that protonate to cationic forms (e.g., amines) cannot be accommodated by the above treatment because, in soils, cations are competitively sorbed on colloids, which vary with soil type.

Development of the Dilution Factor (DF)

Equilibrium partitioning concepts predict the concentration of a non-polar organic chemical in water draining from a soil at a contaminated site. Estimating the effect of this recharge on groundwater quality requires that dilution be considered. Dilution of an organic contaminant in recharge water may be described by considering the contaminant fluxes in recharge and groundwater to an effective

mixing depth as it leaves the contaminated site. The vertical contaminant flux through the vadose zone is given by:

$$Q_{cv} = C_{sw} \times R \times A \quad [1]$$

where Q_{cv} = vertical contaminant flux mg yr^{-1}

C_{sw} = concentration of contaminant in soil water (recharge), mg/m^3

R = recharge, m/yr

A = area of contaminated site, m^2

Neglecting lateral dispersion, the horizontal contaminant flux in groundwater is approximated by

$$Q_{ch} = C_{gw} [(W \times B \times q) + (R \times A)] \quad [2]$$

where Q_{ch} = horizontal contaminant flux, mg/yr

C_{gw} = concentration of contaminant in groundwater, mg/m^3

W = width of contaminated zone, m

B = effective mixing depth in aquifer, m

q = Darcy velocity of aquifer, m/yr

Applying mass balance considerations, contaminant transport in the vadose and saturated zones is set equal:

$$C_{sw} \times R \times A = C_{gw} [(W \times B \times q) + (R \times A)] \quad [3]$$

And a dilution factor (DF) may be defined as:

$$DF = C_{sw}/C_{gw} = [(W \times B \times q) + (R \times A)]/R \times A \quad [4]$$

Which reduces to

$$DF = (W \times B \times q/R \times A) + 1 \quad [5]$$

By definition

$$A = W \times L \quad [6]$$

where L = length of contaminated site, m

Substituting [6] into [4] yields

$$DF = (B \times q/R \times L) + 1 \quad [7]$$

Finally, estimates of the Darcy velocity are usually obtained by considering the saturated hydraulic conductivity and gradient:

$$q = K_{\text{sat}} \times i \quad [8]$$

where K_{sat} = saturated hydraulic conductivity, m yr^{-1}

i = hydraulic gradient (dimensionless)

A working formula for estimating expected steady state dilution for an organic contaminant in groundwater is therefore:

$$DF = (B \times K \times i/R \times L) + 1 \quad [9]$$

The basis for the general estimation of dilution effects in groundwater observed at the down-gradient boundary of a contaminated site is formed in equation 9. Appropriate ranges for effective mixing depth, hydraulic conductivity and gradient, recharge, and site length are developed below.

Dilution Factor Parameter Ranges

Effective Mixing Depth

On the lower end of the range, the effective mixing depth (B) is restricted by the practical consideration that a generic unconfined aquifer targeted for protection at the human drinking water guideline must have sufficient yield to be exploitable as a potable source. Taken alongside well design requirements, it is assumed that B must equal or exceed 1 m.

An upper limit for B will be set by the balance between rates of vertical dispersion and lateral transport over the length of the contaminated site. Effective mixing depth would be expected to be maximized by high recharge, low groundwater velocity, high permeability and large site lengths. However, these conditions do not necessarily co-occur — high recharge and permeability usually are associated with high velocity. A further practical constraint on the observed concentration of a contaminant in water drawn from a potable well is the effect of the slotted or perforated zone on "depth averaging" of well water. Slotted or perforated zones of potable wells can be expected to exceed the thickness of the contaminated zone in many instances. In such cases the well will tend to function as a mixing cell over the depth of the slotted or perforated zone.

These considerations suggest that an effective mixing depth between 1 and 5 m is reasonable. Because high yield aquifers (which minimize requirements for slotted zone thickness) are sought as potable sources a modal effective thickness is nominated downrange at 2 m.

Hydraulic Conductivity of Aquifer

Common Canadian aquifers have textures ranging from silty sands (fine) to intermediate gravels (coarse) (Brown 1967). Freeze and Cherry (1979) give typical hydraulic conductivity values for silty sands to intermediate gravels in the range of 10^{-6} to 10^{-2} m sec⁻¹. A majority of productive aquifers are sands to fine gravels (Brown 1967). Such aquifers would have a hydraulic conductivity (K_{sat}) in the region of 10^{-4} m sec⁻¹.

Hydraulic Gradient

The hydraulic gradient is a dimensionless quantity describing the steepness of the water potential gradient. In unconfined aquifers it is roughly equivalent to the gradient of the water table. The hydraulic gradient is assumed to vary between 0.5 and 5% at most contaminated sites. In the absence of a systematic data review the modal gradient was assumed equal to the mean of the bounding estimates.

Recharge

Methodology

Recharge is rarely measured directly but can be calculated by a water balance approach (Hillel 1971):

$$S = P - ET - R - U \quad [10]$$

where

- S = storage in soil
- P = precipitation
- ET = evapo-transpiration
- R = recharge
- U = surface runoff

Over long periods of time (e.g., years) $S = 0$ and

$$R = P - ET - U \quad [11]$$

Meteorological monitoring stations throughout Canada make direct measurements of precipitation and these have been compiled into thirty-year means (Environment Canada 1982), which provide an excellent source of data for water balance purposes. Actual evapo-transpiration estimates computed from class A pans and local-to-regional water balances are also available (Fisheries and Environment Canada 1978). Unfortunately, runoff calculated from gauging rivers includes both recharge and surface runoff (in this case runoff is defined for the entire drainage basin and the storage term includes subsurface drainage). Such runoff estimates are also affected by heavy

precipitation in mountainous regions that do not represent contaminated sites, which typically occur at lower elevations.

Because surface runoff in cultivated areas is an erosion hazard, it is normally managed against and represents a small proportion of the total water balance (Hillel 1971). Therefore, problems caused here by lack of surface runoff measurements can be circumvented by computing recharge and surface runoff (R + O) estimates from precipitation and evapotranspiration and allowing for a maximum surface runoff:

$$R + U = P - ET \quad [12]$$

Surface runoff is not likely to represent more than a quarter of the total amount of recharge and surface runoff at a majority of populated locations in Canada and may be close to zero in some locations (C. Maulé, pers. comm.). Factors affecting the relative proportions of drainage and surface runoff include vegetal cover, surface soil condition, slope, intensity of precipitation events, and extent of ice lensing during spring snowmelt. Many of these factors vary greatly on local rather than regional scales. In the absence of a systematic data summary, surface runoff is assumed to average 15% of the total amount of recharge and surface runoff:

$$R = 0.85 (P - ET) \quad [13]$$

Precipitation data were obtained from Environment Canada (1982) and actual evapo-transpiration data taken from small scale maps prepared by Fisheries and Environment Canada (1978). Where more than one precipitation monitoring station was available for a given location the mean of all observations was used.

Results

Recharge calculated from equation [13] for a range of locations spanning most climatic types common to populous areas of Canada. Estimates range from nearly 1 m in maritime regions to near zero in some prairie locations. Small, negative values of drainage occur in some arid locations. These likely are caused by underestimation of precipitation by standard gauges (Fisheries and Environment Canada 1978) and, in any event, deviate from more direct estimates of drainage in these locations by only a few mm (C. Maulé 1993, pers. comm.).

Extreme values of recharge (>1 m) occur, or are expected to occur, in maritime regions of high rainfall -- particularly exposed coastal areas of British Columbia and Newfoundland. However, in these areas groundwater protection concerns are in part mitigated by:

- the relatively infrequent occurrence of potable, unconfined, shallow aquifers (related to the preponderance of poorly permeable igneous and metamorphic bedrock types); and
- low human population densities, which should indicate low contaminated site frequency.

Recharge in high precipitation regions is likely overestimated in equation [13] because surface runoff and interflow is increased by:

- the generally greater relief existing in these areas of Canada, and
- the frequency and duration of precipitation can exceed the soil infiltration capacity.

Recharge in populated areas of Quebec surrounding the St. Lawrence river has been estimated to range to about 0.3 m (L. Martel 1994, pers. comm.).

For the screening purposes here recharge was taken to vary between 0.005 and 0.5 m yr⁻¹. A modal recharge of 0.2 m yr⁻¹ was chosen to reflect the abundance of contaminated sites in the Windsor to Quebec City corridor.

Site Length

The length of a contaminated site in the present context is merely the surface lateral dimension parallel to the direction of groundwater flow. The lower limit could be a retail gas station (with a width as small as 15 m). The upper limit could include local-to-regional contamination phenomena produced by severe atmospheric deposition (e.g., smelters, automotive emissions). However, these latter sites are rarely, if ever, addressed through the application of generic guidelines — more likely they will be subject to risk assessment. The practical upper limit for the application of generic guidelines might be represented by a moderate size industrial site. In the absence of a systematic review of industrial site dimensions the upper limit for site length is 200 m.

Uncertainty Analysis

A dilution factor protective of groundwater at a majority of sites might be estimated by inserting expected or conservatively chosen values for each parameter in equation [9]. As pointed out by Thompson et al. (1992), however, these procedures provide an unknown but potentially extreme level of conservatism based on improbable or impossible combinations of parameters.

As an alternative to this approach uncertainty in the parameters of the dilution expression is evaluated through a modified Monte Carlo (Latin hypercube sampling) uncertainty analysis. A generic dilution factor is recommended by entering the cumulative frequency distribution for the response variable at a plausible level of risk.

Correlations among parameters which, left unaddressed, overestimate the uncertainty in the result (Smith et al. 1992) were accommodated using Crystal Ball (Decisioneering Corp. (1993)). Results are reported from simulation runs of ten thousand iterations. Increasing iterations to twenty thousand resulted in less than 1% change in percentiles of the cumulative frequency distribution.

Parameter Distributions

Few data were available with which to judge the appropriate distributions for each parameter. However, in every case sufficient information existed to nominate a range and suggest an intermediate value that was expected more often than either end-member. This information is sufficient to specify a triangular distribution. Parameters in the uncertainty analysis are presented along with their ranges and modes in Table 1.

Table 1: Parameters Used in Estimation of Dilution Factor

Parameter	Distribution	Min	Max	Mode	Correlations
B, effective mixing depth in aquifer	Triangular	1 m	5 m	2 m	
K, saturated hydraulic conductivity of aquifer	Triangular	31.5 m yr ⁻¹	315000 m yr ⁻¹	3150 m yr ⁻¹	i, r = -0.5*
i, hydraulic gradient	Triangular	0.005	0.05	0.028	K, r = -0.5
R, recharge	Triangular	0.005	0.5	0.2	
L, site length	Triangular	15 m	200 m	50 m	i, r = -0.8

* the combination of high conductivity and gradient is infrequent (Trudell 1994, pers. comm.)

† due to constraints governing control of run-on and runoff, long sites are rarely found on steep slopes

Results

The cumulative frequency distribution for the dilution factor is shown in Fig. D.4. All simulated values of contaminant dilution were less than 3 500 while about half of all simulations produced a dilution factor less than 300. From a perspective of controlling environmental risks and associated liabilities the lower quantiles of the distribution are of interest (Table 2).

Table 2: Lower Quantile Values of Cumulative Frequency Distribution for Dilution Factor

Percentile	Dilution Factor
0	3.28
5	40.26
10	66.04
15	88.39
20	113.28
25	139.73

In this region a quasi-linear relationship exists between the modelled magnitude of dilution and its expected frequency of occurrence at contaminated sites. A dilution factor of 65 or less is expected to occur at about 10% of sites conforming to the model assumptions, whereas only 5% of conforming sites should have dilutions less than about 40.

A 5% probability of accepting an incorrect decision has a long tradition in statistical evaluation of scientific and engineering data (Steel and Torrie 1980) and, in the absence of catastrophic consequences of an error, is a reasonable point of departure here. In view of the uncertainty in the design of the model itself, which by virtue of assumed stratigraphy and contaminant distribution is probably conservative, a dilution factor of 50 is recommended to protect against excessive leaching of organic contaminants to groundwater.

Application of Dilution Factor

At equilibrium, the relationship between the contaminant concentration in soil pore water (C_{ws}) and in the aquifer (C_{wa}) is:

$$C_{ws} = DF \times C_{wa} \quad [14]$$

And a final check on the critical soil concentration (critical EEV_{soil}) can be performed by substituting equation [14] above into equation [6] and calculating the critical soil concentration, Y:

$$Y = DF \times C_{wa}(K_d + \theta_m)$$

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